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Landscape Phenology, Vegetation Condition, and Relations with Climate at Capitol Reef National Park, 2000–2019

Natural Resource Report NPS/NCPN/NRR—2023/2498



ON THE COVER

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Natural Resource Report NPS/NCPN/NRR—2023/2498

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Acronyms and Abbreviations

Acronyms and abbreviations used in this report.

Acronym	Meaning
AET	actual evapotranspiration
D	climatic water deficit
GDD	growing degree days
LOS	length of season
Meltd	date of complete snowmelt
P	annual precipitation
PACK	snowpack water equivalent
PET	potential evapotranspiration
RAIN	rain
SNOW	snow
SOIL	soil moisture
SOS	start of season/green-up
SRAD	solar radiation
T	temperature (annual average)
Tmax	temperature (maximum)
Tmin	temperature (minimum)
VP	vapor pressure
VPD	vapor-pressure deficit
W	water as rain plus snowmelt

Executive Summary

Quantitatively linking satellite observations of vegetation condition and climate data over time provides insight to climate influences on primary production, phenology (timing of growth), and sensitivity of vegetation to weather and longer-term patterns of weather referred to as climate. This in turn provides a basis for understanding potential climate impacts to vegetation—and the potential to anticipate cascading ecological effects, such as impacts to forage, habitat, fire potential, and erosion, as climate changes in the future.

This report provides baseline information about vegetation production and condition over time at Capitol Reef National Park (NP), as derived from satellite remote sensing. Its objective is to demonstrate methods of analysis, share findings, and document historic climate exposure and sensitivity of vegetation to weather and climate as a driver of vegetation change.

This report represents a quantitative foundation of vegetation–climate relationships on an annual timestep. The methods can be modified to finer temporal resolution and other spatial scales if further analyses are needed to inform park planning and management. The knowledge provided in this report can inform vulnerability assessments for Climate Smart Conservation planning by park managers. Patterns of pivot points and responses can serve as a guide to anticipate what, where, when, and why vegetation change may occur.

For this analysis, vegetation alliance groups were derived from vegetation-map polygons (Von Loh et al. 2007) by lumping vegetation types expected to respond similarly to climate. Relationships between vegetation production and phenology were evaluated for each alliance map unit larger than a satellite pixel (~300 × 300 m). We used a water-balance model to characterize the climate experienced by plants. Water balance translates temperature and precipitation into more biophysically relevant climate metrics, such as soil moisture and drought stress, that are often more strongly correlated with vegetation condition than temperature or precipitation are. By accounting for the interactions between temperature, precipitation, and site characteristics, water balance helps make regional climate assessments relevant to local scales.

The results provide a foundation for interpreting weather and climate as a driver of changes in primary production over a 20-year period at the polygon and alliance-group scale. Additionally, they demonstrate how vegetation type and site characteristics, such as soil properties, slope, and aspect, interact with climate at local scales to determine trends in vegetation condition. This report quantitatively defines critical water needs of vegetation and identifies which alliance types, in which locations, may be most susceptible to climate-change impacts in the future. Finally, this report explains how findings can be used in the Climate Smart Conservation framework, with scenario planning, to help manage park resources through transitions imposed by climate change.

Key findings:

- During the study period (2000–2019), there were dry years, as well as dry periods extending for several years, that resulted in substantial declines in vegetation production. At the end of the study period, vegetation condition at Capitol Reef NP was close to the long-term average after rebounding from a three-year drought. *(see Section 3.4)*

- Multiple indicators suggest annual trends in vegetation production increased 82–86% and decreased 14–18%, depending on indicator, in the area analyzed in and near Capitol Reef National Park between 2000 and 2019. *(see Section 3.3, Table 3-1)*

- Areas that decreased in multiple measures of annual production were in the Sparsely Vegetated, Dry Sagebrush, and Wet Shrubland alliance groups. *(see Section 3.3, Table 3-1)*

- Growing-season production increased in all forested alliance groups (Bristlecone Pine, Douglas-fir, Juniper, Pinyon-Juniper, Ponderosa Pine), and in the Riparian, Mesic Sagebrush, Mixed Montane Shrubland, Geology, and Water alliance groups. *(see Section 3.4, Figure 3-2)*

- Annual precipitation and annual production were generally among the most strongly correlated variables, suggesting that increases in annual precipitation may have caused the increase in production over the study period. *(see Sections 3.5.1, 3.5.2, Figure 3-5)*

- Bristlecone Pine, Douglas-fir, and Mixed Montane Shrubland were the least dry-tolerant alliance groups. Blackbrush, Riparian, and Disturbed areas were the most dry-tolerant. *(see Section 3.6.1, Figure 3-6)*

- Disturbed areas, including road corridors and agricultural areas outside the park boundary, and Wet Shrubland were the alliance groups most sensitive to variation in annual precipitation. Bristlecone Pine and Ponderosa Pine were the least-sensitive. *(see Section 3.7.2, Figure 3-9)*

- Three years of precipitation was the best indicator of annual production at the park scale. This was also generally true at the alliance-group scale. *(see Section 3.8, Figure 3-14)*

- By the end of the study period, the growing season was starting earlier for all alliance groups except Quaking Aspen and Mesic Sagebrush. *(see Section 3.9, Table 3-2)*

- By the end of the study period, the growing season was ending later for most alliance groups, but earlier for Juniper, Quaking Aspen, Mesic Sagebrush, and Bristlecone Pine. *(see Section 3.9, Table 3-2)*

- During the study period, the growing season lengthened for all alliance groups except Quaking Aspen and Mesic Sagebrush. *(see Section 3.9, Table 3-2)*

- Growing degree days and precipitation were the most important determinants of start of growing season. *(see Section 3.10.1, Figure 3-16)*

By plugging this type of information into different future climate scenarios, managers can get an idea of what may happen under many possible climate futures at Capitol Reef National Park (see Section 4.4.).

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1 Introduction

This report provides baseline information about vegetation production and condition over time as derived from satellite remote sensing at three scales: park, vegetation-alliance group, and vegetation-alliance polygon. The objective is to demonstrate the methods of analysis, share standardized findings, and document historic climate exposure and sensitivity to weather and climate as a driver of vegetation change at Capitol Reef National Park.

This study quantitatively defines critical water needs of vegetation and demonstrates how vegetation type and site characteristics interact with climate at local scales to determine trends in vegetation condition. It provides a foundation for interpreting weather and climate as a driver of changes in primary production over a 20-year period at local scales. It can be used to quantitatively determine which alliance types, in which locations, may be most susceptible to climate-change impacts in the future, providing a basis for vulnerability assessments in Climate Smart Conservation planning (see Chapter 4).

1.1 The importance of primary production

Photosynthesis is the process in plants that converts sunlight, CO_2, water, and minerals into the chemical forms of energy (carbohydrates, and sugars) essential to life. All terrestrial life depends on photosynthesis, also called "primary production" because it is the foundation of food webs. As the first step in creating food and habitat for wildlife, vegetation production is an important indicator, or "vital sign" of ecosystem health that can be tracked over time to assess conditions that support higher life forms in parks. The complex temporal and spatial patterns of primary production are influenced by many factors, including disturbances, such as wildfire and drought; differences in precipitation across space or over time; vegetation assemblages that differ in response to change; topography and soil properties; land use; and invasive species (Kariyeva and van Leeuwen 2011).

Sunlight provides the energy used to synthesize organic compounds from CO_2 and water. In semi-arid environments like the Colorado Plateau, sunlight and CO_2 are abundant and rarely limit production. But primary production is controlled by different environmental variables in different places. In tropical forests, primary production may be controlled by availability of sunlight (due to cloud cover and dense canopy) or soil nutrients. In deserts, primary production is mostly controlled by water availability. At the highest elevations on the Colorado Plateau, it may be controlled by cold temperatures and a short growing season. Changes in primary production may indicate changes in ecological structure (e.g., change in vegetation assemblage) or ecological function (e.g., loss of vegetation cover, resulting in erosion and loss of soil nutrients).

1.2 Satellite monitoring of primary production

Assessing the rate of photosynthesis and the condition of vegetation at broad landscape scales provides insight to the cycles of energy, nutrients, and water that sustain life in parks. Sunlight reflected from vegetation provides information about photosynthetic rates, leaf area, and biomass accumulation, as well as conditions of habitat and forage for animals. High-frequency satellite remote sensing of vegetation condition provides a broad-scale view of spatial patterns in vegetation condition, production, and change in response to climate, disturbance, and management actions over time.

The Northern Colorado Plateau Network (NCPN) monitors landscape-scale vegetation condition and trends using satellite imagery collected more frequently than ground-based data (which are also collected). The high frequency of observations and complete spatial coverage of satellite images is ideal for assessing seasonal as well as annual conditions and trends. The imagery also provides insight on the response of vegetation to climate patterns and disturbance (e.g., fire and forest disease, often mediated by climate) that cannot be obtained at broad scales in any other cost-effective way.

Quantitatively linking satellite observations of vegetation condition and climate data over time provides insight to climate influences on primary production, phenology (timing of growth), and sensitivity of vegetation to weather and long-term weather patterns referred to as climate. This in turn provides a basis for understanding potential climate impacts to vegetation and the potential to anticipate cascading ecological effects, such as impacts to forage, habitat, fire potential, and erosion, as climate changes in the future.

Primary production assessed via satellite remote sensing does not indicate which species are present, or which species are performing well or poorly. It is also not a "good" or "bad" judgement (i.e., increased or decreased production is not inherently good or bad). Monitoring primary production is analogous to monitoring river flow. Flow monitoring measures the timing and abundance of water that provides habitat for animals and other essential ecological functions. It does not indicate which organisms are present, or how different species are faring.

1.3 Vegetation condition and vulnerability

Vegetation condition and trend are monitored as changes in vegetation production, or the cumulative green biomass of leaves that grow via photosynthesis. Green biomass is strongly correlated with near-infrared and red wavelengths of solar energy reflecting off vegetation measured by satellites (Thoma et al. 2002). The satellite imagery reveals repeating seasonal fluctuations in growth and senescence, as well as annual trends or abrupt changes due to disturbances. Although disturbances can be detected, it is beyond the scope of this analysis to do so, because it requires different methods than those used here. However, all the data used to detect disturbance is available and can be made available via the author.

Climate is a strong driver of vegetation distributions (Stephenson 1998). Variation in weather strongly affects vegetation condition through stress (e.g., drought) and disturbance (e.g., wildfire). Linking changes in vegetation production to climate provides important information about resistance to disturbance (resilient or susceptible) and recovery from disturbance (fast or slow). Vegetation that is susceptible to disturbance and slow to recover is considered the most vulnerable to change (Kariyeva and van Leeuwen 2011). This kind of information can be used to assess vulnerability of vegetation assemblages to climate change.

1.4 Pivot points and responses

In semi-arid environments, vegetation persists when there is a positive balance between growth and resistance to drought (Noy-Meir 1973). Vegetation traits, such as rooting depth, xylem diameter, and stomatal density and resistance, interact with climate and site conditions to determine how vegetation responds to climate. Understanding these interactions provides insight to potential changes in vegetation condition and composition as the climate changes. When coupled with climate data, two important ecological characteristics, pivot points and responses, can be derived from measuring change in vegetation condition over time (Munson 2013).

A pivot point is the value of a climate variable at which annual vegetation production teeters between above- or below-average condition. For water variables, pivot points determine whether vegetation increases or decreases given the water available for growth. They are analogous to the recommended eight glasses of water per day for adults: Except in extreme cases, more water is generally not bad—but less can have detrimental effects, even when unmet needs are slight. Semi-arid plants generally respond more positively than humans to water that exceeds minimum needs. But as with people, receiving less than the minimum can cause deterioration in condition. As such, the pivot point can be considered a critical water need as well as an indicator of drought tolerance. A species' basic water needs, and the rate of change when those needs are unmet or exceeded, are important ecological variables that determine how plants respond to weather and climate.

Plant traits determine how much water vegetation needs to maintain production. How much water is available depends on climate, weather, soil, and site properties. These interactions can cause variation in the magnitude of pivot points for the same climate variable across vegetation types. Some types may be tolerant of drought conditions than others.

Vegetation response is the sensitivity of vegetation to a climate variable or, more specifically, the amount of change in vegetation production per unit change in a climate variable. This rate of change is a quantitative measure of vegetation sensitivity to climate. Cumulative annual vegetation production and phenology are indicators of vegetation response to a suite of environmental conditions. Some conditions are spatially variable but stable over time, such as slope, aspect, and soil properties. Others, such as climate, vary both temporally and spatially. The vegetation growing at any location reflects adaptations suited to the environment where it persists. If conditions change, either abruptly or gradually, plants respond. The change can be spatial, due to differences in soil type or elevation. It can also be temporal, as weather and climate change over time.

Differences in vegetation response rates, or sensitivity, to water availability reflect survival strategies for persisting through drought or responding rapidly when water is available, making species more competitive if water needs are met. The survival strategies are a consequence of vegetation traits, including rooting depth, stomatal density, leaf area, and perennial versus annual growth forms that confer competitive advantage under different climate conditions. Generally, plant traits that favor one strategy preclude the other (Noy-Meir 1973; Thoma et al. 2019).

Individual plant responses to environmental conditions cumulatively scale up, resulting in spatial and temporal landscape patterns that can be detected in satellite imagery (Thoma et al. 2019). Because vegetation production and phenological responses are interrelated, it is useful to measure and report the two simultaneously. It is not always possible to know what caused a change noted in satellite imagery, but communication with park staff and ground-based monitoring can help interpret findings in this report.

1.5 Phenology

The annual cycle of vegetation growth and senescence, and reoccurring biological phenomena, such as seasonal migrations, are collectively known as phenology. Commonly known measures of vegetation phenology include timing of bud burst, first leaf, and spring bloom, all observed from the ground (de Beurs and Henebry 2010). The high-frequency observations from satellites make it possible to track vegetation phenology (Dunn and de Beurs 2011) at broad spatial scales that include an assemblage of different vegetation species, referred to as "land-surface phenology." Land-surface phenology is an indicator of ecosystem health because it regulates dependent ecological functions, such as pollination and onset of forage production (Dunn and de Beurs 2011). On the Colorado Plateau, springtime vegetation growth is preceded by warming temperatures and snowmelt. In drier regions, onset of springtime growth may be limited if there was insufficient accumulation of fall and winter soil moisture. (Workie and Debella 2018).

Changes in land-surface phenology can provide an early indication of cascading climate-change impacts that may result in changing species assemblages and shifting range boundaries (Workie and Debella 2018). These may affect pollinators, as well as bird and mammal migrations. Determining the drivers of phenology, and changes in phenology over time, provides insights to cascading effects on pollinators and migrating animals that depend on growth initiation, forage production, and the length of growing season.

2 Methods

2.1 Overview

During a November 2002 scoping meeting, network and park staff identified a project boundary of approximately 1.6 kilometers (1 mile) around the edge of the park. The total project mapping area was 146,754 hectares (362,637 acres). Of this area, 98,650 hectares (243,769 ac) occurred within the boundaries of Capitol Reef National Park (NP), and 48,104 hectares (118,868 ac) were in the surrounding area.

This report was compiled by comparing climate data with variation in land-surface greenness over time, as measured in satellite imagery of the park's vegetation-mapping project area (Von Loh et al. 2007). A large archive of (460) remotely sensed satellite images was downloaded and processed in the statistical analysis software "R." A large amount of gridded climate data for the same area was downloaded and processed in Excel to estimate water-balance variables, such as soil moisture, evapotranspiration, and water deficit from temperature and precipitation (see Section 2.6). The imagery and water-balance/climate data were merged in R separately for each vegetation alliance polygon (see Section 2.2) and analyzed statistically for spatial patterns across park landscapes and over time for trends.

2.2 Remote-sensing targets

Three scales of analysis are presented in this report: vegetation-alliance polygon, vegetation-alliance group, and parkwide. Vegetation-alliance polygons were derived from vegetation-map polygons by lumping together vegetation types expected to respond similarly to ecological disturbances, such as climate change (Von Loh et al. 2007; Jennings et al. 2009). The polygon scale features finer spatial resolution—but with higher resolution comes more complexity in interpretation. The group scale lumps similar alliances together for broader-scale interpretations, but is not always as accurate as the polygon scale, because lumping even slightly dissimilar objects introduces variation. The park-scale analysis is achieved by lumping all alliance polygons into a single analysis or by averaging analysis results across alliances to the park scale.

It is important to note that satellite-based proxies for primary production reflect production from all vegetation within a sampling unit, regardless of the alliance name (which identifies the dominant or diagnostic vegetation types). For example, a forested alliance type may include shrub or graminoid understory that influences the satellite signal. Untangling the proportional influence of the named alliance components from background components is beyond the scope of this report.

2.2.1 Alliance polygons

The National Vegetation Classification Standard (NVCS) identifies eight hierarchical levels of classification, ranging from Formation Class (Level 1, the broadest scale) to Association (Level 8, the finest scale). The finest scale used in this report was the vegetation Alliance (Level 7). The NVCS defines a vegetation alliance as "A characteristic range of species composition, habitat conditions, physiognomy, and diagnostic species, typically at least one of which is found in the uppermost or dominant stratum of the vegetation layer, and reflecting regional to subregional climate, substrates, hydrology, moisture/nutrient factors and disturbance regimes" (FGDC 2008).

Vegetation alliances are useful targets for tracking production and phenology because they are spatially repeating, relatively homogenous in type composition, and share similar affinities and responses to environmental conditions, such as climate. They are also mapped consistently across park units, making them an ideal classification level for cross-park analysis. Polygon boundaries for the alliances were obtained from park vegetation maps (Von Loh et al. 2007).

Analysis at the alliance, rather than association level, resulted in larger and fewer polygon targets that contained a larger number of satellite pixels. This helped ensure that satellite-image pixels fell mostly within target polygons and improved the

signal-to-noise ratio necessary for tracking change in response to climate. Improving the signal-to-noise ratio in remote sensing of sparsely vegetated environments is achieved by spatially averaging pixels within relatively homogenous areas (Thoma et al. 2017). For this reason, the analysis in this report relies primarily on aggregated pixel means across the spatial extent of each target polygon, rather than per-pixel analysis. More detail on pixel screening to exclude low-quality observations and outliers can be found in Sections 2.3 and 2.5, below.

2.2.2 NCPN alliance groups

For purposes of this study, alliances that respond similarly to climate based on structure and life-history traits were further grouped by NCPN ecologist Dana Witwicki (personal comm.) to simplify interpretations and reporting.[1] We created our own grouping system to simplify the alliance naming conventions and reduce the large number of alliances, which were too numerous for meaningful reporting.

Beginning with 98 different National Vegetation Classification (NVC) alliances mapped consistently across NCPN park units, we created 32 alliance groups based on floristics, canopy structure, and local environmental conditions. Of those, 19 occurred in Capitol Reef NP (Table 2-1, Figure 2-1). The alliance group names are shortened representations of the dominant alliance(s) in each group. Hereafter, we refer to the grouped alliance categories as "NCPN alliance groups" or "alliance groups," but it should be noted that these groups are similar to, but different than, Level 6 of the NVC. A key that links each NCPN alliance group to vegetation-mapping units familiar to NCPN managers can be found in Table S-1 of Thoma (2023).

The image-analysis targets were the ungrouped alliance polygons, so while results reported in the body of this report are for NCPN alliance groups, results are also available by polygon for ungrouped alliances in Appendix A.

2.3 Satellite imagery

Since early 2000, the moderate resolution imaging sensor (MODIS) on the Terra satellite, operated by NASA, has collected daily imagery of Earth at a spatial resolution of 250 meters (820 ft). Because cloud cover, cloud shadows, aerosols, smoke, and water vapor can interfere with clear views of Earth's surfaces, daily images are composited into a single image for 16-day windows of time (product MOD13Q1). The 16-day composite images are created from values obtained from the clearest view during the 16-day window. They are generally the best-quality observations for every pixel during the 16-day window.

Even so, during extended periods of poor atmospheric viewing conditions, some pixel values are unreliable. For this reason, each pixel in the composite image is tagged with a quality rating that can be used to screen out unreliable values influenced by poor viewing conditions. Sophisticated algorithms are used to assess the quality of every pixel value based on measurements of atmospheric conditions made by the satellite during image acquisition (Didan et al. 2015).

There are 23 fixed, 16-day window periods in a year (with a few overlapping days at year's end to account for leap years). Thus, there were theoretically 457 viewing opportunities and 23 observations per year for every pixel in the NCPN during the period of record covered in this report. Observation completeness was calculated on an annual and seasonal basis (March–November) for the 20-year study period as the percentage of pixels in each polygon that were observed under high-quality viewing conditions. In semi-arid environments, there are typically many high-quality observations during the growing season, but fewer in winter due to clouds and snow cover.

2.4 Soil Adjusted Vegetation Index

The Soil Adjusted Vegetation Index (SAVI) is a measure of primary production (Bunting et al. 2019; Qi et al. 1994) obtained from reflectance in red and near-infrared wave-

[1]Although there is an NVC Group level (6), the "NCPN alliance groups" discussed in this report were developed independently from the NVC for purposes of this study.

Table 2-1. NCPN alliance groups and corresponding National Vegetation Classification alliances, Capitol Reef National Park.

NCPN alliance group	National Vegetation Classification alliance(s)[1]
Blackbrush	Blackbrush Colorado Plateau Shrubland Alliance
Bristlecone Pine	Great Basin Bristlecone Pine Forest & Woodland Alliance
Disturbed	Non-natural
Douglas-fir	Douglas-fir Southern Rocky Mountain Forest & Woodland Alliance
	Douglas-fir Middle Rocky Mountain Mesic-Wet Forest Alliance
Dry Wash	Fourwing Saltbush - Rubber Rabbitbrush Desert Wash Alliance
	Apache Plume Desert Wash Scrub Alliance
Geology	Barren Wash Bottom
	Geology
	Slickrock Fin Pocket
C3/C4 Grassland	Mormon-tea Colorado Plateau Shrubland Alliance
	Indian Ricegrass - Bluebunch Wheatgrass - Sandhill Muhly Grassland Alliance
	James' Galleta Grassland Alliance
Juniper	Utah Juniper / Shrub Understory Woodland Alliance
	Utah Juniper / Herbaceous Understory Open Woodland Alliance
Pinyon-Juniper	Two-needle Pinyon - Utah Juniper / Shrub Understory Foothill & Lower Montane Dry-Mesic Woodland Alliance
	Two-needle Pinyon - Utah Juniper / Shrub Understory Colorado Plateau Woodland & Scrub Alliance
Ponderosa Pine	Ponderosa Pine - Littleleaf Mountain-mahogany Bedrock Cliff & Canyon Wooded Scrub Alliance
	Ponderosa Pine Southern Rocky Mountain Forest & Woodland Alliance
Quaking Aspen	Quaking Aspen Rocky Mountain Forest & Woodland Alliance
Riparian	Tamarisk species Ruderal Riparian Scrub Alliance
	Water Birch Wet Shrubland Alliance
	Fremont Cottonwood - Velvet Ash - Goodding's Willow Riparian Forest & Woodland Alliance
	Narrowleaf Willow Warm Desert Wet Shrubland Alliance
Dry Sagebrush	Sagebrush species - Mixed Shrub Ruderal Understory Shrubland Alliance
	Sand Sagebrush Colorado Plateau Shrubland Alliance
	Wyoming Big Sagebrush Dry Steppe & Shrubland Alliance
	Black Sagebrush Steppe & Shrubland Alliance
Mesic Sagebrush	Mountain Big Sagebrush - Mixed Steppe & Shrubland Alliance
Dry Shrubland	Shadscale Saltbush Scrub Alliance
	Gardner's Saltbush Low Scrub Alliance
	Torrey's Joint-fir Shrubland Alliance
	Rubber Rabbitbrush Steppe & Shrubland Alliance
	Mormon-tea - Yellow Rabbitbrush - Skunkbush Sumac Talus Sparse Scrub Alliance
Mixed Montane Shrubland	Curl-leaf Mountain-mahogany / Shrub Understory Woodland Alliance
	Greenleaf Manzanita - Pinemat Manzanita Shrubland Alliance
	Gambel Oak - Mountain Snowberry Shrubland Alliance
Wet Shrubland	Greasewood Intermountain Wet Shrubland Alliance
Sparsely Vegetated	Sparse Cushion Plant Herbaceous Vegetation
	Joint-fir species - Saline Wildrye - Crispleaf Buckwheat Badlands Cold Desert Sparse Vegetation Alliance
Water	Water

[1]The alliance names shown in this table do not match those found in Von Loh and others (2007) because alliance names and codes in that report were subsequently updated to USNVC Version 2.01, released March 30, 2017. The names from 2007 were cross-walked to the current names displayed here. For information on nomenclature, see FGDC (2008).

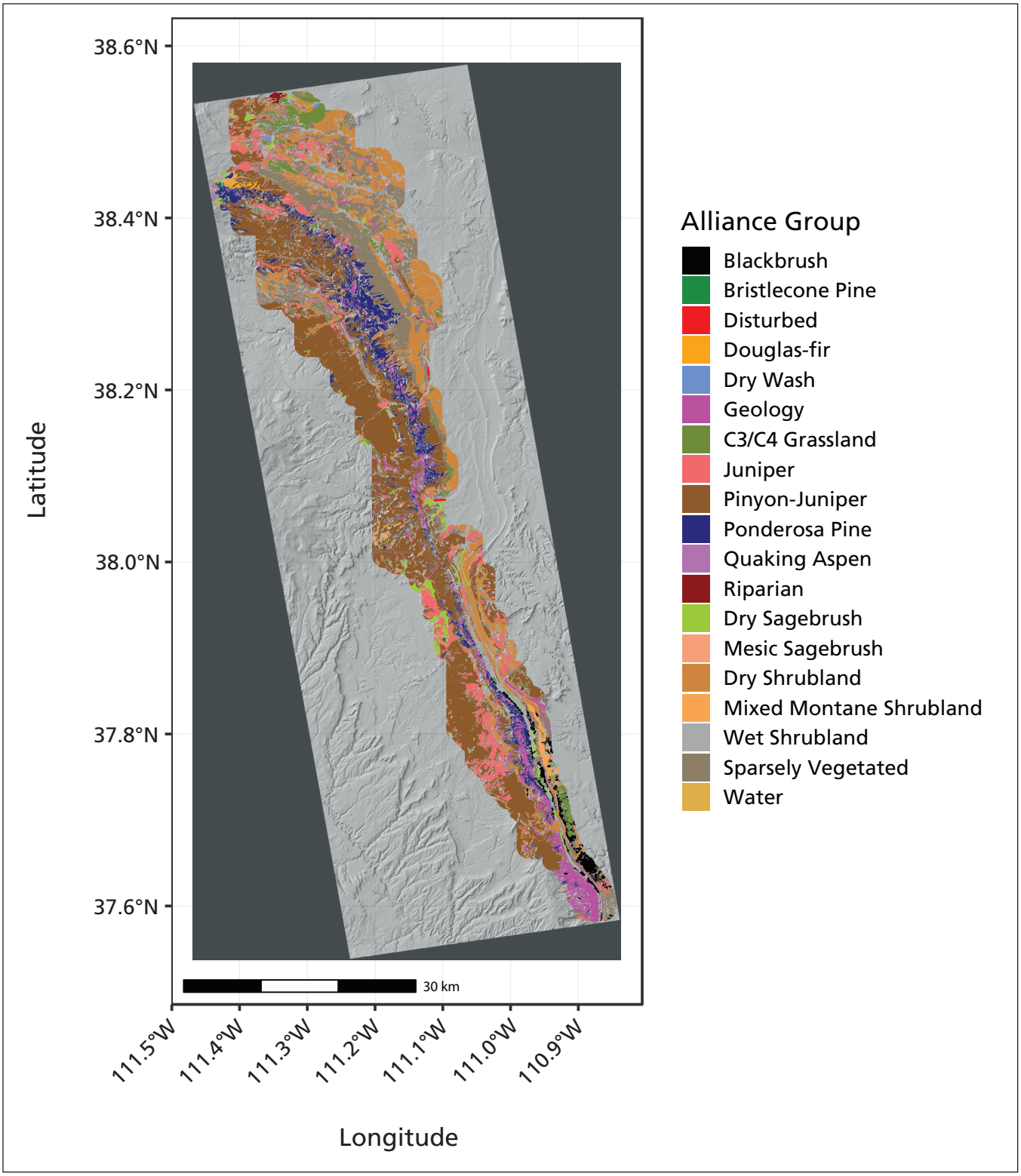


Figure 2-1. NCPN alliance groups analyzed for trends in production, phenology, and climate relations at Capitol Reef National Park. Areas not colored within the study boundary are alliance polygons smaller than the minimum target area of 8.3 hectares.

lengths, adjusted for soil backgrounds in semi-arid environments (Huete 1988). It is calculated as

$$SAVI = \frac{(1 + L)(NIR - Red)}{(NIR + Red + L)}$$

where *L* is the soil background adjustment factor (L=0.5), *NIR* is reflectance in the near-infrared wavelengths, and *Red* is reflectance in red wavelengths.

These wavelengths were selected specifically because they are sensitive to the abundance of green vegetation and optimized for detecting spatial and temporal changes in vegetation. We use SAVI in this report, rather than the normalized difference vegetation index (NDVI), because of the thin vegetation cover and soil background reflectance in most NCPN parks. This combination of factors results in unfavorable signal-to-noise ratios that can make remote sensing of spatial and temporal change difficult in semi-arid environments. However, in addition to working with a vegetation index specifically designed to reduce the background noise caused by soil variability (SAVI), there are many steps in the processing workflow that help further alleviate these issues.

2.5 Pixel timeseries extraction and smoothing

Despite precautions taken by the imagery providers to minimize noise and provide an analysis-ready product from the MODIS sensor, there were lingering issues that required attention prior to analysis (Thoma et al. 2017). Each polygon larger than 8.3 hectares (the area of a MODIS pixel at NCPN latitude) was analyzed independently for trends in production and phenology and its relationship with multiple climate variables. Pixels extracted from target polygons above the 90th percentile or below the 10th percentile of average greenness were removed from analysis. This step served several purposes. First, it removed pixels that fell on alliance-group boundaries if the reflectance was very different across the boundary, such as riparian ribbons adjacent to dry uplands. Second, it eliminated areas that may have been classified incorrectly in the vegetation maps if the result of misclassification included an area of substantially different reflectance. It also eliminated inclusions of contrasting reflectance (if any occurred).

After outliers were removed, each pixel was weighted according to its proportional area that fell within the target (see Section 2.2). This step further minimized the effect of any remaining pixels that overlapped target boundaries. After computing an area-averaged value at each timestep for the pixels within a polygon target, missing polygon-average values were interpolated. Missing daily values were a consequence of the 16-day periodicity of observations and quality screening in earlier steps. Finally, a smoothing filter was used to remove high-frequency noise from the timeseries of each polygon. After smoothing across large gaps in winter, prominent dips in timeseries (artificially low values that were an artifact of the smoothing) were truncated to a minimum winter value based on pixel values that were likely reflectance from bare soil or senesced vegetation (Wang et al. 2018). This process resulted in a smoothed and complete daily timeseries for each polygon.

2.6 Water-balance model

Vegetation responds to seasonal and interannual variations in temperature and water availability depending on adaptations and site conditions (Munson et al. 2015; Thoma et al. 2019). To understand climate drivers of vegetation condition and potential impacts across large environmental gradients (hot/dry to cold/wet), we used a water-balance model to determine how different aspects of climate and site characteristics affected vegetation production and phenology. The water-balance model quantitatively accounts for temperature, precipitation, and their interactions with local site conditions (such as soil water-holding capacity, slope, and aspect) that affect soil moisture, evapotranspiration, and drought stress (Thoma et al. 2020). To calculate the water-balance variables in the model, we used 1-kilometer, gridded, Daymet temperature and precipitation data (Thornton et al. 2018).

The model partitions precipitation (P, mm) into rain (RAIN, mm) or snow (SNOW, mm

of water), which accumulates until warming temperature (T, °C) causes it to melt. Soil moisture (SOIL, mm) is stored in the top meter of soil, which was treated in the model as a single layer with a maximum storage defined by its water-holding capacity. Water-holding capacity was obtained from soil surveys where data were available (NRCS 2015) but was fixed at 100 millimeters otherwise. This fixed value accommodated analyses where soil surveys have not yet been conducted, and accounted for some water storage in geologic structures (cracks or porous, rocky material) that may be mapped as having no water-holding capacity, but support sparse vegetation detected by the satellite sensor. Water input that exceeds the storage capacity becomes runoff.

Potential evapotranspiration (PET, mm), calculated via the Oudin method, is the amount of water that could be evapotranspired from a short grass with available energy if water was unlimited. The Oudin method calculates PET using daily mean temperature, daylength, and solar radiation (Oudin et al. 2005). Actual evapotranspiration (AET, mm) is the loss of water from soil via evapotranspiration, limited by soil-water availability. Climatic water deficit (D, mm) is the unmet water need of vegetation, and is a measure of drought stress calculated as the difference between PET and AET (Stephenson 1998).

Water-availability variables include P, RAIN, SNOW, and SOIL. Variables that estimate water use include AET and PET; however, AET is limited by dry soil. Two aspects of heat that influence vegetation growth are average annual temperature (T) and growing degree days (GDD) (McMaster and Wilhem 1997).

This study also evaluated two variables that could influence vegetation production and phenology: vapor-pressure deficit (VPD, Pa) and solar radiation (SRAD, W m^{-2}), available from Daymet. Vapor-pressure deficit is the difference between the amount of water vapor air can hold and the amount of vapor in the air. Solar radiation is the energy plants receive from the sun that can be used for photosynthesis (Grossiord et al. 2020; Seager et al. 2015; Stephenson 1990).

In the following sections, state variables, representing condition at a point in time (e.g., SOIL, T, VPD), are averaged (Table 2-2). Variables representing fluxes of water (water passing through the environment) are

Table 2-2. Climate and water-balance variables used to identify correlations with vegetation production and phenology.

Variable	Abbreviation	Units	Type	Temporal summary
Precipitation	P	mm	flux	sum
Rain	RAIN	mm	flux	sum
Snow	SNOW	mm	flux	sum
Snowpack Water Equivalent	PACK	mm	flux	sum
Water as Rain plus Snowmelt	W	mm	flux	sum
Date of Complete Snowmelt	Meltd	day-of-year	state	day
Actual Evapotranspiration	AET	mm	flux	sum
Potential Evapotranspiration	PET	mm	flux	sum
Climatic Water Deficit	D	mm	flux	sum
Solar Radiation	SRAD	W m^{-2}	state	mean
Temperature (Annual Average)	T	°C	state	mean
Temperature (Maximum)	Tmax	°C	state	maximum
Temperature (Minimum)	Tmin	°C	state	minimum
Soil Moisture	SOIL	mm	state	mean
Vapor Pressure	VP	Pa	state	mean
Vapor-pressure Deficit	VPD	Pa	state	mean
Growing Degree Days	GDD	°C	state	sum

summed annually or seasonally—except for SOIL, which is presented as an average value because soil water-holding capacity has an upper limit that summation could exceed, which is physically impossible.

The effects of summing or averaging have important implications for interpreting results. Whereas additional precipitation can substantially change the cumulative magnitude of annual precipitation, it takes a large amount of water input to change an annual average soil-moisture value even a small amount. For example, 10 millimeters of additional precipitation falling on dry soil on one day in one year would increase annual precipitation by 10 millimeters, but increase average annual soil moisture by just 0.027 millimeters (10/365). Temperature and pressure variables are also summarized as means in this analysis. Units of measure are millimeters for water terms, degrees Celsius for temperature, and pascals for pressure.

Snowmelt date (Meltd) used in the phenology analysis was determined from the water-balance model variable, PACK. This term is the daily snow water equivalent (mm) stored in the snowpack that accumulated over winter. In spring, PACK declines rapidly with warming temperature. Determining the day of complete melt is somewhat subjective due to flashy spring snow events that may linger for one to a few days, even in late spring after the initiation of vegetation growth. The algorithm used to identify day of melt begins by searching backward from May 1 (user-specified date) for PACK values greater than four millimeters (user-specified depth) for a span of three days (also user-specified). In the water-balance model, the combination of depth and span are the minimum degree-days necessary to yield the user-specified depth of snowmelt water. Visual inspection of snowmelt date plotted on timeseries of daily PACK values confirmed this was a reasonable approach to differentiating between periods that were predominantly snow-covered or snow-free.

2.7 Measures of vegetation production

Because net primary production is generated through photosynthesis and spectral indexes are responsive to abundance of photosynthetically active vegetation, vegetation indexes are good proxies for primary production (Paruelo et al. 2000). Characteristics of the annual repeating cycle of SAVI curves were used as proxies for production and phenology (Figure 2-2). We determined trends in three variables derived from the SAVI timeseries for each polygon:

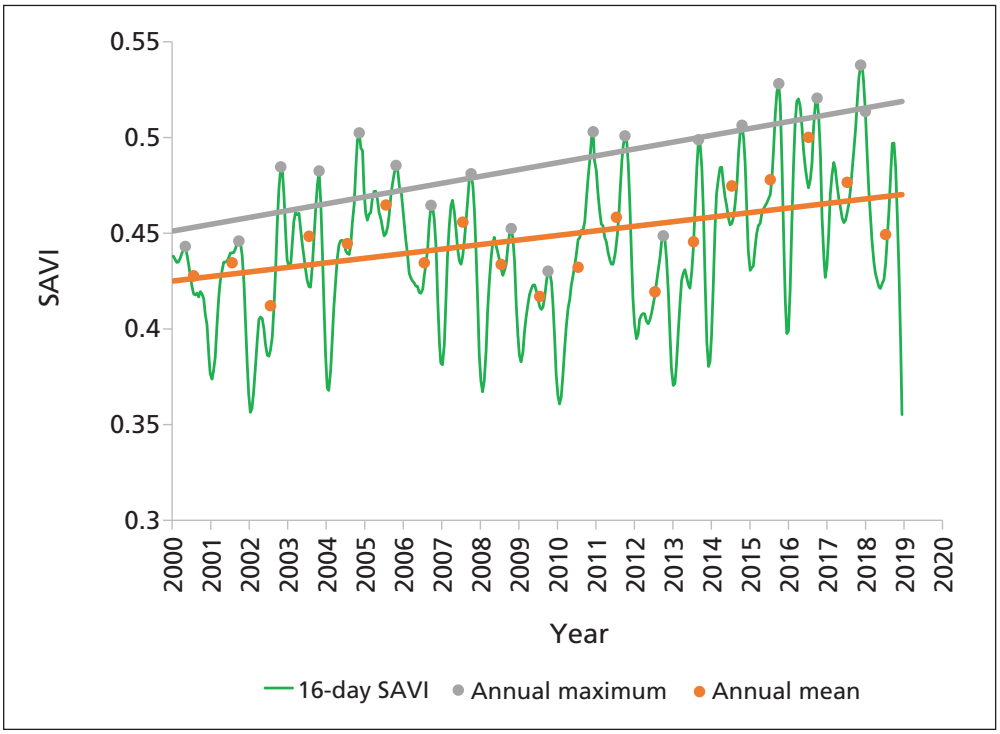


Figure 2-2. Measures of annual production from a timeseries of 16-day soil adjusted vegetation index (SAVI) derived from MODIS imagery. Linear trends against time for annual maximum and annual mean values are shown as straight lines.

mean annual SAVI, maximum annual SAVI (maximum value regardless of month in which it occurred), and iSAVI (or sum of daily interpolated SAVI), the area under the curve during the growing season (February through October). Daily interpolation was made via linear regression with a maximum gap size of 16 days. Mean annual SAVI (sum of 16-day observations/23 periods per year) is a measure of average productivity across the calendar year. Maximum annual SAVI is an indication of peak instantaneous rate of vegetation production, or rate of maximum biomass accumulation. It is strongly correlated with both green cover and leaf-area index. Sum of SAVI (iSAVI) is a proxy for vegetation production during the growing season. It is most strongly correlated with biomass production and cumulative productivity (Garroutte et al. 2016; Johnson et al. 2018; Thoma et al. 2002).

2.8 Calculating the measures

Trends in production were assessed by tracking changes in maximum and mean annual SAVI for 2000–2019 using the annual aggregated timeseries method (AAT) and Mann-Kendall trend test (Forkel et al. 2013) (see Figure 2-2). The rate of change (regression slope) was estimated via linear regression. Significance of change was estimated by the Mann-Kendall trend test (Forkel et al. 2013). Trends in annual maximum values were more reliable than changes in mean annual values due to use of the full annual curves that include screened and gap-filled winter values. Trends in growing-season greenness were considered in the annual pivot-points analyses below.

Representing the sum of daily interpolated SAVI values during the growing season, iSAVI is an holistic measure of the cumulative production of actively photosynthesizing vegetation (Mendez-Barroso et al. 2009; Reed et al. 1994). This proxy for aboveground net primary production results from the intentional construction of spectral indexes, such as SAVI, that are strongly responsive to absorbed, photosynthetically active radiation used by plant canopies to capture and store carbon and energy during growth.

Trends in iSAVI timeseries were noted in some polygons, which confounds relationships with climate unless the trend in iSAVI is removed before analysis. For this reason, we used the first difference in iSAVI, which represents the change in growing-season production from one year to the next, as an indicator of response to weather on an annual basis. This helps guard against overfitting when a trend exists in a timeseries. The annual change in iSAVI was calculated as

$$\Delta iSAVI = \frac{iSAVIt_2 - iSAVIt_1}{t_2 - t_1}$$

where $iSAVIt_1$ is sum of growing-season SAVI for year t_1, and $iSAVIt_2$ is sum of growing-season SAVI for year t_2. Positive values indicate increasing growth from one year to the next. Negative values indicate decreasing growth. This framing of the response variable makes increase or decrease in growth contingent on conditions in the previous year, allowing change to be linked to variation in weather from one year to the next. This is essentially equivalent ($r^2 \sim 0.99$) to the specific-growth concept used in plot-based studies of vegetation response to climate over long periods of time (Munson 2013).

2.9 Climate correlates with annual production

Two types of analysis were used to explore climate relations with annual production (iSAVI).

2.9.1 Single-variable analysis

First, simple linear-regression models compared a single climate variable to the annual increase or decrease in production relative to the prior year. The adjusted r^2 measured the strength of relationships between the climate variable and vegetation production in a range between 0 and 1, with stronger relationships closer to one. This revealed the proportion of variation in △iSAVI explained by variation in climate.

Simple linear regression was used to determine pivot points and production responses (Figure 2-3; see Section 1.4). Every polygon was tested against every climate and water-balance variable. After screening

out relationships that were not significant (p-value >0.05), the adjusted r^2 terms were averaged across polygons from the same alliance group to see if any one climate variable outperformed the others as a predictor of vegetation production.

2.9.2 Multiple-variable analysis

Second, multiple-regression models compared multiple climate variables simultaneously with production. These included lagged climate effects characterizing climate condition 1–2 years prior to an observation. This modeling framework helps account for legacy effects, or conditions in prior years that set limits on production in a current year (Sala et al. 2012). By accounting for legacy effects, the longer-term cumulative effects of drought or good growing conditions in prior years can be considered.

Additionally, this approach can help determine if climate variables interact in important ways to influence production. Whereas the linear-regression framework used to determine pivot points only considers the influence of a single variable, such as AET, on production, multiple regression can determine interactions of AET and D, or other interactions and lags that include effects from prior years.

To account for multiple interacting factors that collectively influence vegetation production on an annual basis, we established a suite of a priori candidate models (Table 2-3) based on expected vegetation responses to climate variables and lags from our earlier experience working in semi-arid landscapes. In those studies (Thoma et al. 2019; Witwicki et al. 2016), we found water-balance variables to be better predictors of vegetation response than temperature and precipitation. In addition, lag effects were apparent as much as two years prior to a given satellite observation.

We then competed the models against each other using multiple regression models and identified competitive models based on delta AICc values of <4 to determine the best combination of climate variables acting over one or more years to influence growing-season vegetation production. Models that did not compete well (AICc >4) were excluded. Several models could be competitive in any given polygon because every a priori model was evaluated for every polygon. We first looked for a global best model of primary production at the park level by aggregating results from all polygons in the park. Then, we tabulated how frequently the top model occurred by NCPN alliance group to determine the best climate correlates and lags or cumulative duration of effect on production at the alliance-group level.

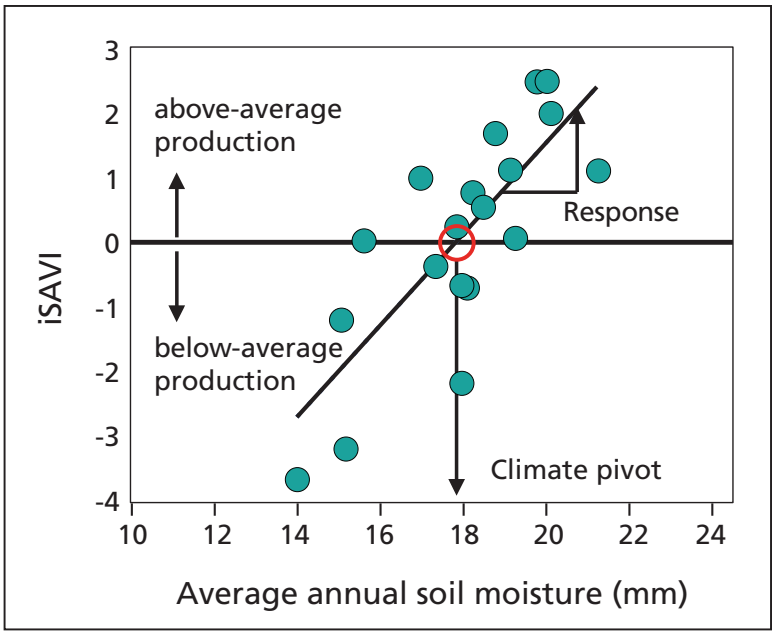


Figure 2-3. Conceptual diagram of soil-moisture pivot point and response of a single vegetation-alliance map unit to variation in annual average soil moisture. Vegetation production transitions from below- to above-average condition at the soil moisture pivot point. The response is the rate of change in iSAVI per millimeter of change in average soil moisture. Pivot-point figures like this can be constructed for other climate variables.

2.10 Conceptual basis of climate pivot points and responses

Using the pivot-point framework, annual change (ΔiSAVI) was regressed (linear regression) with climate data to determine the degree to which annual variation in weather affected production (Munson 2013). In this analysis, a pivot point is the critical magnitude of a climate or water-balance variable where vegetation "teeters" between above- or below-average condition. Generally, water pivot points are important in water-limited environments. Temperature

Table 2-3. A priori models of climate influence on vegetation production competed via generalized linear mixed-effects modeling to identify best correlates with production.

Model[1]	Climate variables[2]											
	AET	AET (lag1)	AET (lag2)	D	D (lag1)	D (lag2)	SOIL	SOIL (lag1)	SOIL (lag2)	P	P (lag1)	P (lag2)
AET1	X	–	–	–	–	–	–	–	–	–	–	–
AET12	X	X	–	–	–	–	–	–	–	–	–	–
AET123	X	X	X	–	–	–	–	–	–	–	–	–
AET123_D1	X	X	X	X	–	–	–	–	–	–	–	–
AET123_D12	X	X	X	X	X	–	–	–	–	–	–	–
AET123_D123	X	X	X	X	X	X	–	–	–	–	–	–
SOIL1	–	–	–	–	–	–	X	–	–	–	–	–
SOIL12	–	–	–	–	–	–	X	X	–	–	–	–
SOIL123	–	–	–	–	–	–	X	X	X	–	–	–
AET1_D1	X	–	–	X	–	–	–	–	–	–	–	–
AET12_D12	X	X	–	X	X	–	–	–	–	–	–	–
D1_SOIL1	–	–	–	X	–	–	X	–	–	–	–	–
D1_SOIL2	–	–	–	X	–	–	–	X	–	–	–	–
D1_SOIL12	–	–	–	X	–	–	X	X	–	–	–	–
P1	–	–	–	–	–	–	–	–	–	X	–	–
P12	–	–	–	–	–	–	–	–	–	X	X	–
P123	–	–	–	–	–	–	–	–	–	X	X	X

[1]See Table 2-2 for acronyms.
[2]X = variable used in model, – = variable not used in model. Lags refer to models that include the water balance term for the preceding one (lag1) or two (lag2) years.

pivot points are important at higher elevations, where cold temperature limits growth (Thoma et al. 2020).

Vegetation response, or sensitivity to climate or water balance, is the rate of change in condition per unit change in water or temperature. In this analysis, vegetation response is the slope of the regression line when interannual change in iSAVI is related to the corresponding change in climate or water balance for the same period (see Figure 2-3).

Pivot points and responses are depicted graphically (see Figure 2-3) as the x-axis value where each linear-regression line crosses the horizontal line, indicating no change from one year to the next. Production values plotting above the x-axis indicate that vegetation increased in production from the previous year. Points below the line indicate vegetation production decreased from the previous year. If a deficit pivot point was exceeded during the growing season, vegetation production was likely below average, because vegetation has an inverse or negative relationship with water deficit, a measure of drought stress. If an AET pivot point was exceeded during the growing season, vegetation production was likely above average, because production is positively related to AET. Thus, the interpretation of exceeding a pivot point depends on the influence the climate variable has on vegetation (negative for water deficit, positive for water-availability variables).

Polygons that cross the horizontal line further to the left, where the x-axis variable is a water-availability metric, are considered more dry-tolerant. That is, their performance is above average at lower water availability than a polygon that crosses the horizontal line further to the right. For example, a vegetation assemblage with a precipitation pivot point of 250 millimeters is more dry-tolerant than a vegetation assem-

blage with a pivot point of 300 millimeters. The opposite is true if the x-axis is deficit. In that case, polygons that cross the horizontal line further to the left are less dry-tolerant; that is, their production declines to below-average condition when drought stress is relatively low.

The slope of the regression line quantifies the expected change in iSAVI per millimeter of water or degree of temperature. Polygons with steeper regression slopes are more sensitive to a change in water availability. For each unit change in a climate or water-balance variable, a more sensitive vegetation type will have a larger change in growing-season iSAVI.

Together, pivot points and responses determined from historical observations of vegetation condition and weather are two important ecological indicators of critical water amounts (in arid environments) or heat (in alpine environments) needed for growth, and how growth changes per unit change in the climate variable. For this reason, pivot points and responses are indicators of expected change in vegetation production as the climate changes in the future (Munson et al. 2011). When linked with projections of future climate, these two important ecological variables can be used in quantitative vulnerability assessments as part of the Climate Smart planning framework (Stein et al. 2014), which helps managers set long-range goals achieved by near-term tactical actions (see Section 3.8).

2.10.1 Operational use of pivot points for "now-casts"

This report provides information for estimating vegetation condition using climate data. By tracking the climate variable with the strongest relationship to production in real time relative to its corresponding pivot point, we can determine if weather conditions are below, near, or above predetermined pivot points, and by what amount. In this way, we can use climate data to provide real-time "now-casts" indicating when weather conditions suggest production is likely to be above or below that of the previous year.

The interpretation of climate conditions against a pivot-point value requires consideration of pivot-point precision determined by the standard error around the regression line where it crosses the horizontal axis. The range is larger in polygons where the relationship between climate and vegetation growth is more variable. At the alliance-group level, pivot-point range is caused by variability in plant assemblages in different polygons of the same alliance, as well as variations in soil and site properties. Additional variation is caused by noise in the MODIS sensor near its sensitivity limits in sparsely vegetated environments, errors in the gridded climate data, and vegetation-legacy effects that cause plants to respond differently to the same weather, depending on what happened in the recent past.

All these factors contribute to the observed standard error of a pivot point that brackets weather conditions determining if vegetation is likely performing above or below average.[2]

Vegetation performance when weather conditions are within the standard error of the pivot point is best described as "near average." When weather conditions exceed the standard error of the pivot point (above or below the pivot point), there is higher confidence that vegetation production is above or below average.

2.10.2 Lapse-rate adjustment of station data for operational use with pivot points

Operationally, vegetation condition can be reported in real time using weather-station data to calculate daily water balance (see ClimateAnalyzer.org). These data can be compared to a pivot point. The relationships between climate and vegetation in this report used Daymet (a gridded climate dataset). For this reason, before operational reporting commences using weather-station data, a relationship needs to be established between the Daymet climate dataset at a

[2]Although not shown graphically, the standard error of pivot points is available for every polygon (Table S-2 in Thoma 2023).

location of interest and the weather station, typically located at park headquarters. Specifically, station temperature and precipitation data should be lapse-rate adjusted for the location of interest if there is more than 500 meters' difference in elevation between the weather station and the site of interest. This is because temperature and precipitation change with elevation.

These considerations were made in a demonstration project in which daily water-balance calculations made from the park's headquarters weather station were used to determine how conditions at grazing allotments evolve in real-time with respect to the pivot point during the growing season. Weekly updates are sent via an auto-generated e-mail to park staff.

2.11 Phenology metrics and climate drivers

Phenology metrics were determined using the derivative method in the greenbrown R package (Forkel 2015) after smoothing and interpolation to daily values. The derivative method identifies growing-season phenology by recognizing inflections in the annual curves where growth rate accelerates (start), peaks (maximum) and decelerates (end) (Figure 2-4). Length of growing season (LOS) is the span of time between start and end. Phenology metrics were determined for every alliance polygon but summarized across alliance groups.

Climate drivers of phenology were identified by focusing on start of season (SOS, "green-up"), because plant growth in spring is most sensitive to climate (Fu et al. 2014). A suite of climate variables was reduced by dropping variables highly correlated with day-of-year (r^2 >0.7) and determining pairwise correlations between remaining explanatory variables. In the case of correlated variable pairs, the more integrative variable was retained. For example, VPD, SRAD, and day length (measured in seconds) were strongly correlated with day-of-year and excluded, but because AET integrates the effects of SRAD and day length and was not as strongly correlated with day-of-year, it was retained.

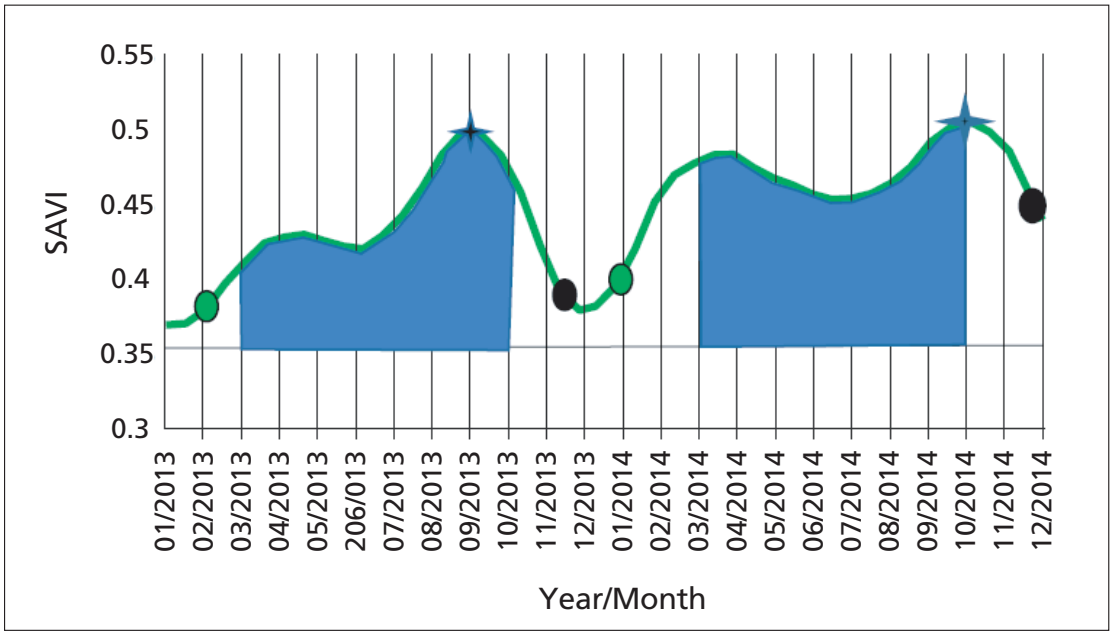


Figure 2-4. Integrated area under the growing-season curve (shaded area) for one polygon over two calendar years. The months used to calculate growing-season production are fixed and include March through October. Phenology metrics are derived using a process that determines inflection points on the annual curves determined by the derivative (rate of change in growth rate) method. In some years, phenology cannot be determined in semi-arid environments due to weak or noisy signals. The fixed growing-season duration used for calculating iSAVI and the start and end of growing season determined from phenology analysis may not always agree. Start of growing season (green point), peak (star), and end of growing season (black point) indicate important annual milestones in vegetation growth over two consecutive years.

The timing of SOS, peak greenness and its magnitude, senescence, and LOS were derived from the full annual curves for each polygon with winter-period minimums truncated to 60% of the winter maximum during the period of record. The cutoff value of 60% was determined via trial and error that both minimized lengths of winter gaps and included growing-season SAVI in every year. This prevented long periods of snow or winter cloud cover from having undue influence on the timing of phenology. The truncation of winter minimum values described above was a simplified version of published methods (Wang et al. 2018).

Some vegetation types (primarily conifer and shrublands) in the western US exhibit a peak satellite-observed greenness (measured using the normalized difference vegetation index, or NDVI) in winter months that is an artifact of shadows when the angle between reflected sunlight and the satellite is large (Norris and Walker 2020). It is unknown how this issue affects SAVI. The bands used to calculate SAVI are the same as those used to calculate NDVI, but a soil-correction factor is applied in SAVI to account for variable background reflectance. There is currently no known solution for resolving this shadowing effect. Thus, polygons-year combinations that exhibit winter peaks cannot be used in phenology analysis. These polygon-years were removed by determining if their annual peak of greenness occurred during winter months, defined as the period between November 1 and April 1. If any winter peaks in SAVI occurred during any year of the study period, the polygon-year was removed from analysis for that year. This allowed retention of polygon-year combinations that did not result in spurious satellite observations, rather than removing a polygon from analysis if only a few years failed the screening rules.

Two statistical methods were used to identify climate drivers of SOS, or green-up. This helped identify multiple lines of evidence for drivers if both methods yielded similar results. The first method relied on preconceived notions of phenology drivers. The second method, a machine-learning approach, let the data tell the story. In the first method, a priori models thought to be important in western rangelands were identified (Table 2-4) (St. Peter 2015). These models were competed against one another to determine top models of SOS.

Second, the random-forests machine-learning technique was used to determine important drivers of phenology and provide predictive models of SOS (Breiman 2001). Random forests is a learning method for regression that constructs a multitude of decision trees until the optimum combination of factors describing a result is obtained. In this case, a priori candidate models were not specified beforehand. Rather, the random-forests algorithm used climate and water-balance variables to identify a top model using a subset consisting of 70% of the data, which was randomly selected. The random-forests algorithm generated 2,000 decision trees with a maximum of four variables randomly chosen per split, which is the recommended number for a model testing the utility of 12 predictors. Sampling was done with replacement, which is the default setting.

The top model was validated against a hold-out dataset consisting of the remaining 30% of observations. Variable-importance plots were used to identify which variables had the most predictive power. They consisted of percent increase in mean square error (%IncMSE), which is a measure of model improvement when adding each variable to the model, and increase in node purity (IncNodePurity), which is the difference in residual sum-of-squares in regression with or without each variable.

After model fitting with the training data, predictions for the start of the growing season were made using the validation dataset, where SOS predictions could be compared against observations in the validation dataset. The accuracy of predictions for SOS were characterized by the mean absolute error (MAE), which is the mean value of differences between predictions and observations with units of days. The MAE is the average magnitude of error in days without specifying direction of error, thus specifying the average plus or minus error rate of predictions.

Table 2-4. A priori models of climate influence on timing of green-up (start of the growing season).

Model[1]	Climate variable											
	AET	GDD	P	RAIN	D	VPD	SRAD	Tmax	Tmin	VP	SOIL	Meltd
AET	X	–	–	–	–	–	–	–	–	–	–	–
GDD	–	X	–	–	–	–	–	–	–	–	–	–
P	–	–	X	–	–	–	–	–	–	–	–	–
RAIN	–	–	–	X	–	–	–	–	–	–	–	–
D	–	–	–	–	X	–	–	–	–	–	–	–
VPD	–	–	–	–	–	X	–	–	–	–	–	–
SRAD	–	–	–	–	–	–	X	–	–	–	–	–
Tmax	–	–	–	–	–	–	–	X	–	–	–	–
Tmin	–	–	–	–	–	–	–	–	X	–	–	–
VP	–	–	–	–	–	–	–	–	–	X	–	–
GDD_P	–	X	X	–	–	–	–	–	–	–	–	–
GDD_RAIN	–	X	–	X	–	–	–	–	–	–	–	–
GDD_SOIL	–	X	–	–	–	–	–	–	–	–	X	–
GDD_AET	X	X	–	–	–	–	–	–	–	–	–	–
SRAD_AET	X	–	–	–	–	–	X	–	–	–	–	–
SRAD_P	–	–	X	–	–	–	X	–	–	–	–	–
Meltd	–	–	–	–	–	–	–	–	–	–	–	X
Meltd_SRAD	–	–	–	–	–	–	X	–	–	–	–	X
Meltd_P	–	–	X	–	–	–	–	–	–	–	–	X

[1]Models were competed via generalized linear mixed-effects modeling to identify best correlates with green-up. All climate variables were summed or averaged from January 1 to the day of year when green-up began. See Table 2-2 for acronyms.
[2]X = variable used in model, – = variable not used in model.

3 Results and Discussion

3.1 Completeness of satellite observations

Completeness of growing-season observations was 99% across all targets for the 20-year period of analysis. Clouds, aerosols, and snow cover reduced the average completeness of high-quality observations to 92% of potential observations on an annual basis across all polygon targets. The minimum completeness for any single polygon on an annual or seasonal basis was 63.7% and 64%, respectively.

Before analyzing satellite observations with climate and water balance, gaps were linearly interpolated to a daily timestep prior to smoothing, which removed high-frequency noise in the timeseries that could not be removed via quality screening. There were no missing climate or water-balance data.

3.2 Timeseries

At Capitol Reef NP, SAVI observations reflected differences in vegetation response to climate that were apparent when organized by NCPN alliance group (Figure 3-1). Some polygons were generally greener than others (indicating higher productivity and biomass accumulation), but within alliance groups there was considerable variation. That variation can be due to species assemblages within the alliance and site properties that modify the local effects of climate. If primary interest is in the central tendency of an alliance group, then some outlier polygons within alliance groups might need to be considered separately in future analyses.

Gap-filled and smoothed timeseries illustrated a broadly similar upward trend in all alliance groups during the study period (see Figure 3-1). However, the alliance groups showed a high degree of variability in amplitude and timing of peak values. It was typical for some polygons in an alliance group to exhibit "outlying character," indicating they were not a good fit with other polygons in the group. This issue was most notable in the Disturbed, Dry Wash, and Mixed Montane Shrubland alliance groups. This could happen for several reasons, including grouping vegetation types into alliance groups, which forces some dissimilarity among group members; misclassification in the vegetation-mapping process; or, less commonly, disturbance since mapping was completed that resulted in a different vegetation response signal than what is characteristic of other polygons in the alliance group.

It is important to evaluate "outlier" polygons carefully, with consideration given to potential effects of land use or disturbance. For instance, timeseries in the Geology alliance group were surprising because based on the alliance-group name, little if any vegetation should have been present. Strong seasonality and relatively high-amplitude SAVI suggested (1) there was some vegetation growing in areas mapped as Dry Wash and Geology, or/and (2) there was some pixel overlap of polygon boundaries picking up vegetation signals that could not be removed despite the rigorous screening criteria. Without further investigation, it is not possible to know which of these issues, or a combination, were in play.

3.3 Trends in indicators of annual production

Significant upward trends ($p < 0.05$) in maximum and mean annual SAVI occurred across 82% and 86% of the study area, respectively. Only 18% and 14% of the study area decreased in maximum or mean annual SAVI, respectively. The greatest area of mean annual and maximum annual SAVI increase occurred in the Sparsely Vegetated alliance group, representing 45% of the area analyzed in and near Capitol Reef NP (Table 3-1; Appendix A, Figure A-1). No decreases were noted in forested alliance groups (i.e., Bristlecone Pine, Douglas-fir, Juniper, Pinyon-Juniper, Ponderosa Pine). Areas that decreased in multiple measures of annual production were in the Sparsely Vegetated, Dry Sagebrush, and Wet Shrubland alliance groups. The downward trends in production may be due to natural disturbance, management actions, disease, fire, or drought—any of which could be verified by site visits.

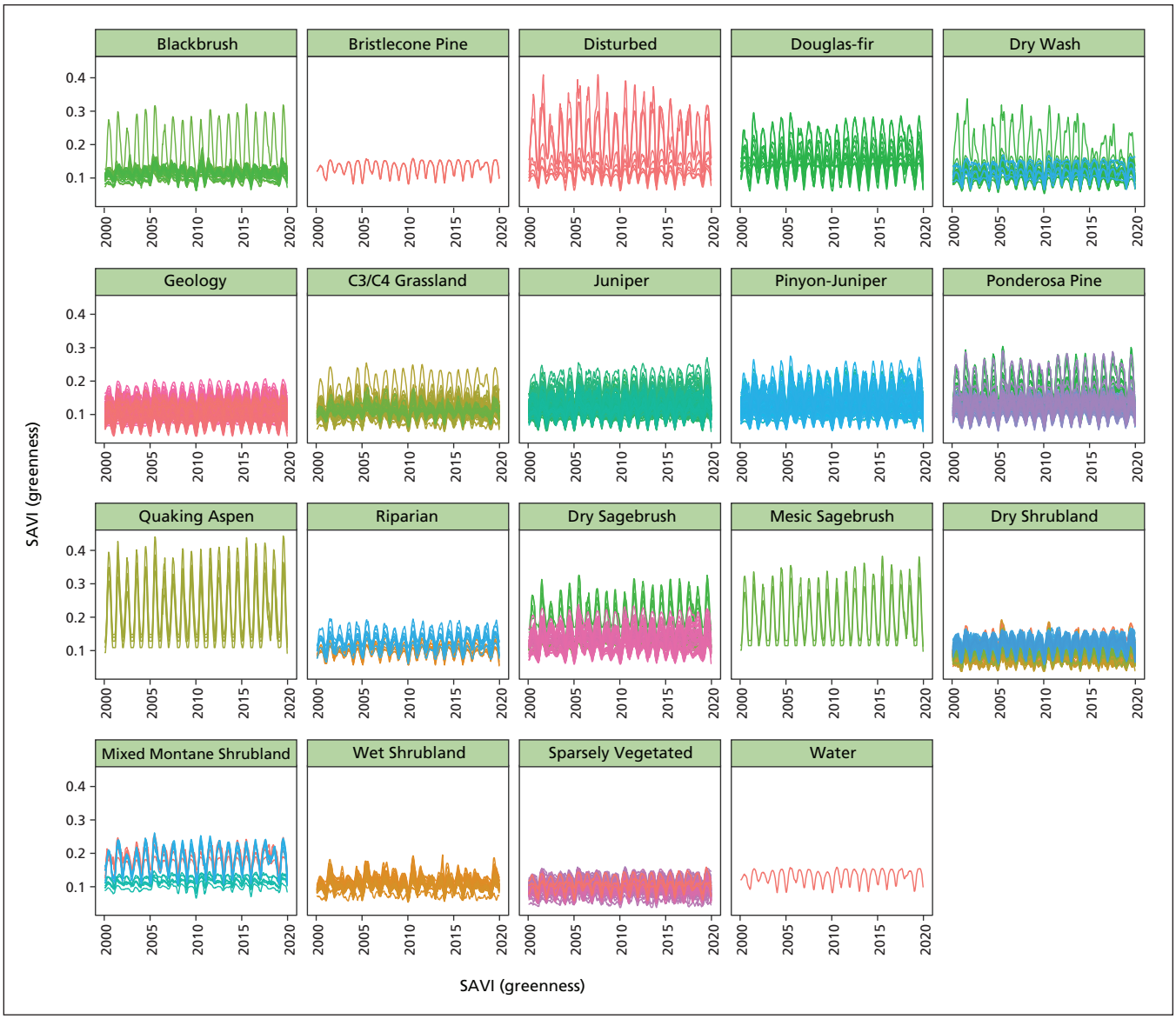


Figure 3-1. Soil-adjusted vegetation index timeseries by alliance group for 1,795 polygons analyzed for 2000–2019. Colors help differentiate individual polygon timeseries traces. Each polygon timeseries was filtered to include only high-quality pixel values, with minimum values truncated to winter minimum, gap-filled, and then smoothed with a Savitsky-Golay moving-window filter to remove high-frequency noise. Analysis was limited to polygons of >8.3 hectares. A single-line trace indicates either all polygon units have similar seasonality and interannual variation, or only one polygon of the alliance group was sampled.

Table 3-1. Amount of park area with increasing (+) or decreasing (–) trends in mean annual or maximum annual SAVI by alliance group.

Alliance group	Annual trend[1]		Hectares	# of polygons	% of analysis area[2]
	Maximum	Mean			
Sparsely Vegetated	+	+	13,192.1	161	45.48
Geology	+	+	7,166.5	162	24.71
Sparsely Vegetated	–	–	2,633.8	37	9.08
Sparsely Vegetated	–	+	1,681.6	18	5.8
Dry Sagebrush	+	+	1,109.0	24	3.82
Dry Shrubland	+	+	925.6	23	3.19
Wet Shrubland	–	–	703.0	20	2.42
Ponderosa Pine	+	+	417.5	9	1.44
Wet Shrubland	+	+	370.1	15	1.28
Dry Sagebrush	+	–	210.5	6	0.73
Dry Sagebrush	–	–	181.0	8	0.62
Wet Shrubland	+	–	177.1	4	0.61
Sparsely Vegetated	+	–	139.0	4	0.48
Geology	+	–	31.8	3	0.11
Dry Sagebrush	–	+	41.1	2	0.14
Wet Shrubland	–	+	25.8	2	0.09

[1]Production estimated as linear trend in annual or maximum annual SAVI. [2]Percent area was calculated as the ratio of total park area analyzed to area of polygons where trends were significant ($p<0.05$).

Results by polygon for significant trends in maximum and mean annual SAVI can be found in Table S-3 of Thoma (2023).

3.4 Trends in indicators of growing-season production

Upward trends in growing-season iSAVI, determined via simple linear regression, were found at the alliance-group level for all alliance groups, but trends were not significant for eight groups: Blackbrush, Disturbed, Dry Wash, C3/C4 Grassland, Dry Sagebrush, Dry Shrubland, Wet Shrubland, and Sparsely Vegetated alliance groups (Figure 3-2). Growing-season production increased in all forested alliance groups, and in the Riparian, Mesic Sagebrush, Mixed Montane Shrubland, Geology, and Water alliance groups.

As a subset of the annual period, the growing-season iSAVI focused on March through October of each year, revealing climate-driven temporal patterns in all alliance groups. The study began in 2000, which was a low-production year. Other low-production years included 2002–2003, 2008–2009, 2012, and 2018, all of which corresponded with dry periods. Most dry periods were followed by periods of recovery, which peaked in 2005. A pronounced downward trend occurred in 2015–2018. By the end of the study, above-average levels of production were observed in most alliance groups (Figure 3-3), demonstrating the resilience of vegetation production at Capitol Reef NP to short-term drought stress during the study. This finding does not imply vegetation composition was not affected, or did not change. Composition change cannot be determined from this analysis.

Evaluation of simple linear-regression slopes showed the Quaking Aspen and Mesic Sagebrush alliance groups increased in greenness at a faster rate than other groups during the 20-year period of observations. There was no discernible spatial pattern of upward trends in growing-season production; alliance groups exhibiting increases in production occurred in all areas of the park (Figure 3-4; see Figure 3-2 for slopes).

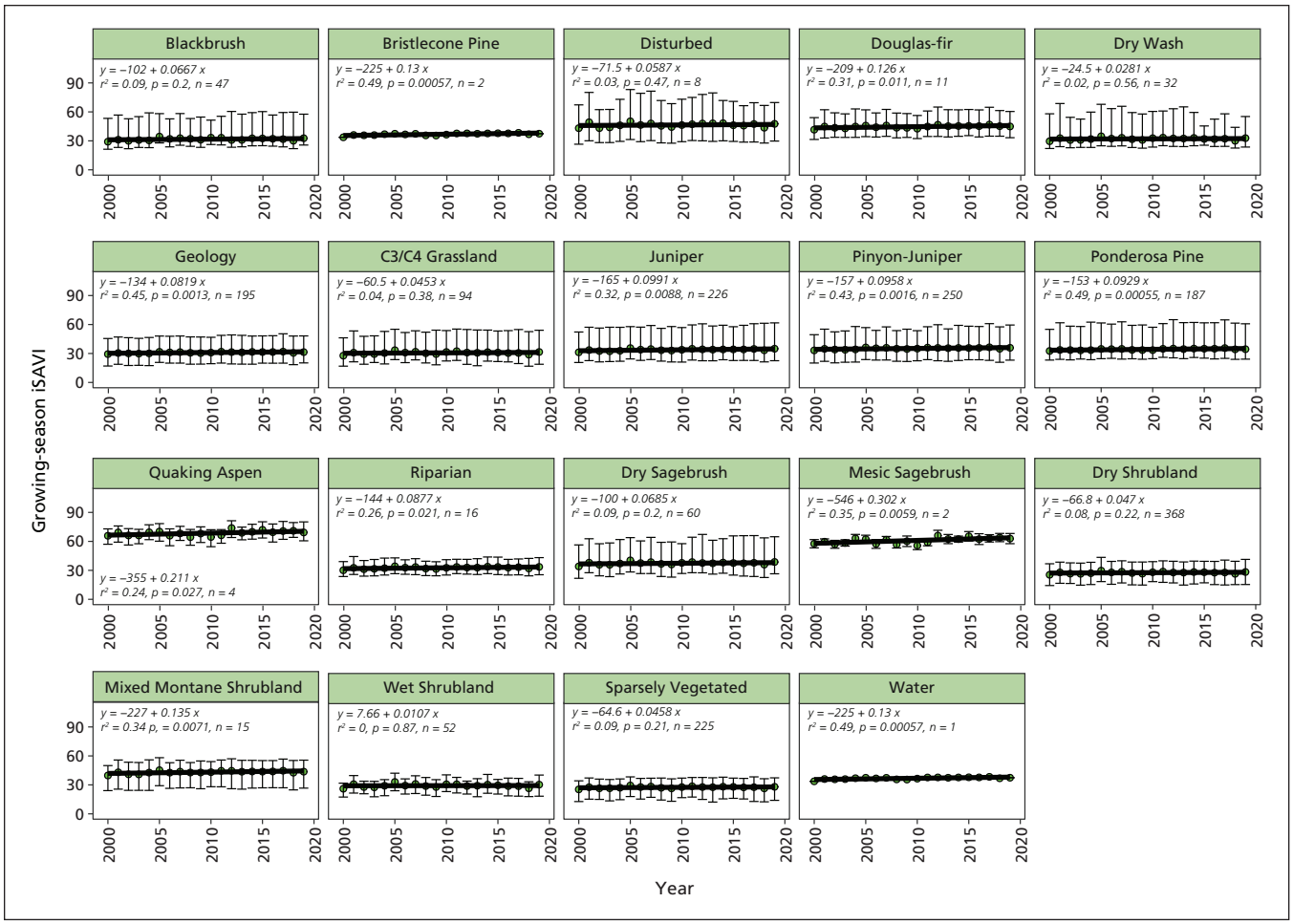


Figure 3-2. Trends in mean growing-season iSAVI by alliance group, determined via simple linear regression. Bars are standard error among polygons within each alliance group. y = growing-season iSAVI, x = year, r^2= coefficient of determination, p = p-value, n = number of polygons analyzed in each alliance group.

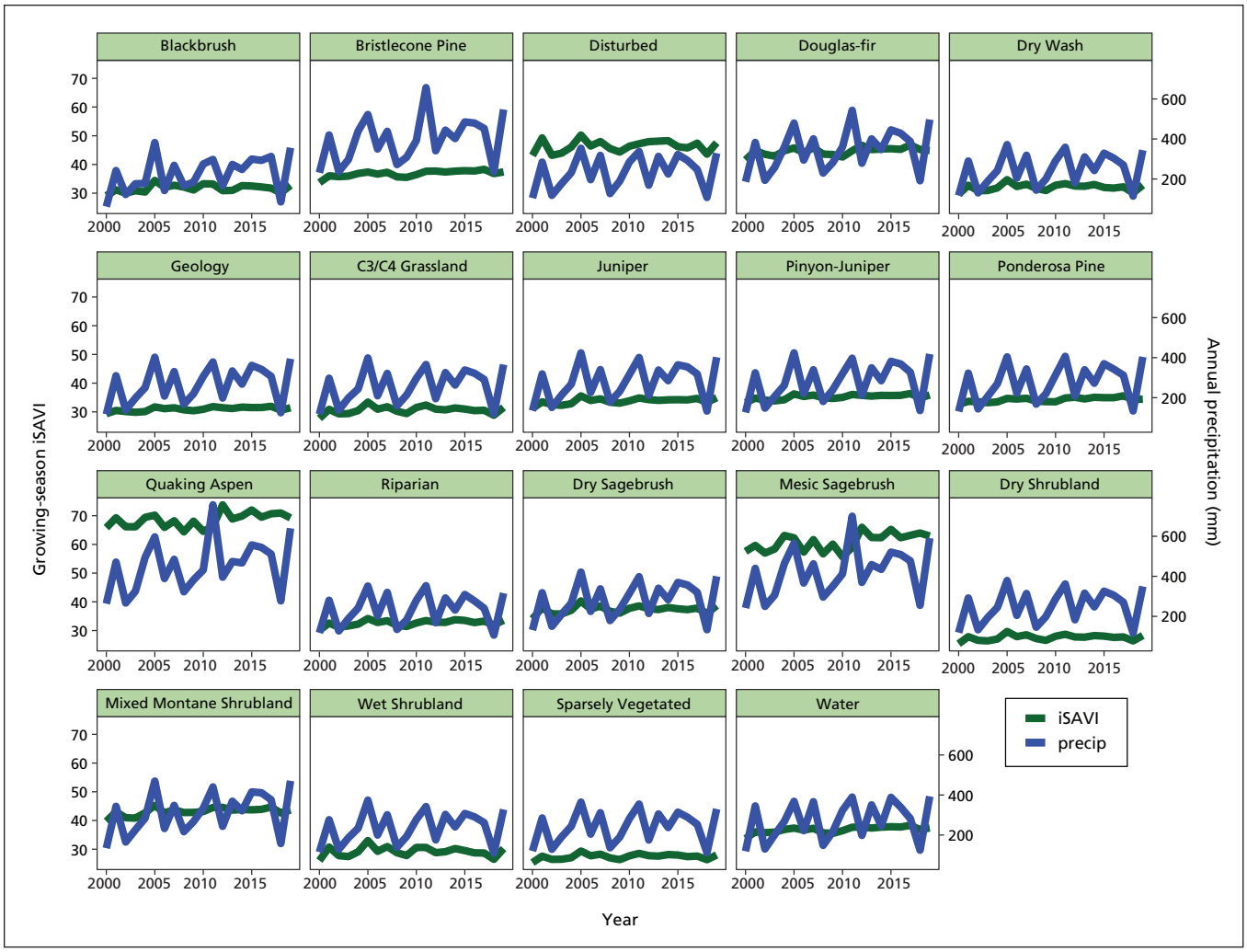


Figure 3-3. Covariation in growing-season production, estimated as the iSAVI between March and October and water-year cumulative precipitation (from Daymet) averaged within alliance groups, demonstrating the link between climate and vegetation production in the park at the annual scale. The timeseries shown here is intended to illustrate precipitation as a primary climate driver of production in semi-arid environments. Statistical analyses of the relation of production to precipitation and all other climate variables is the subject of following sections.

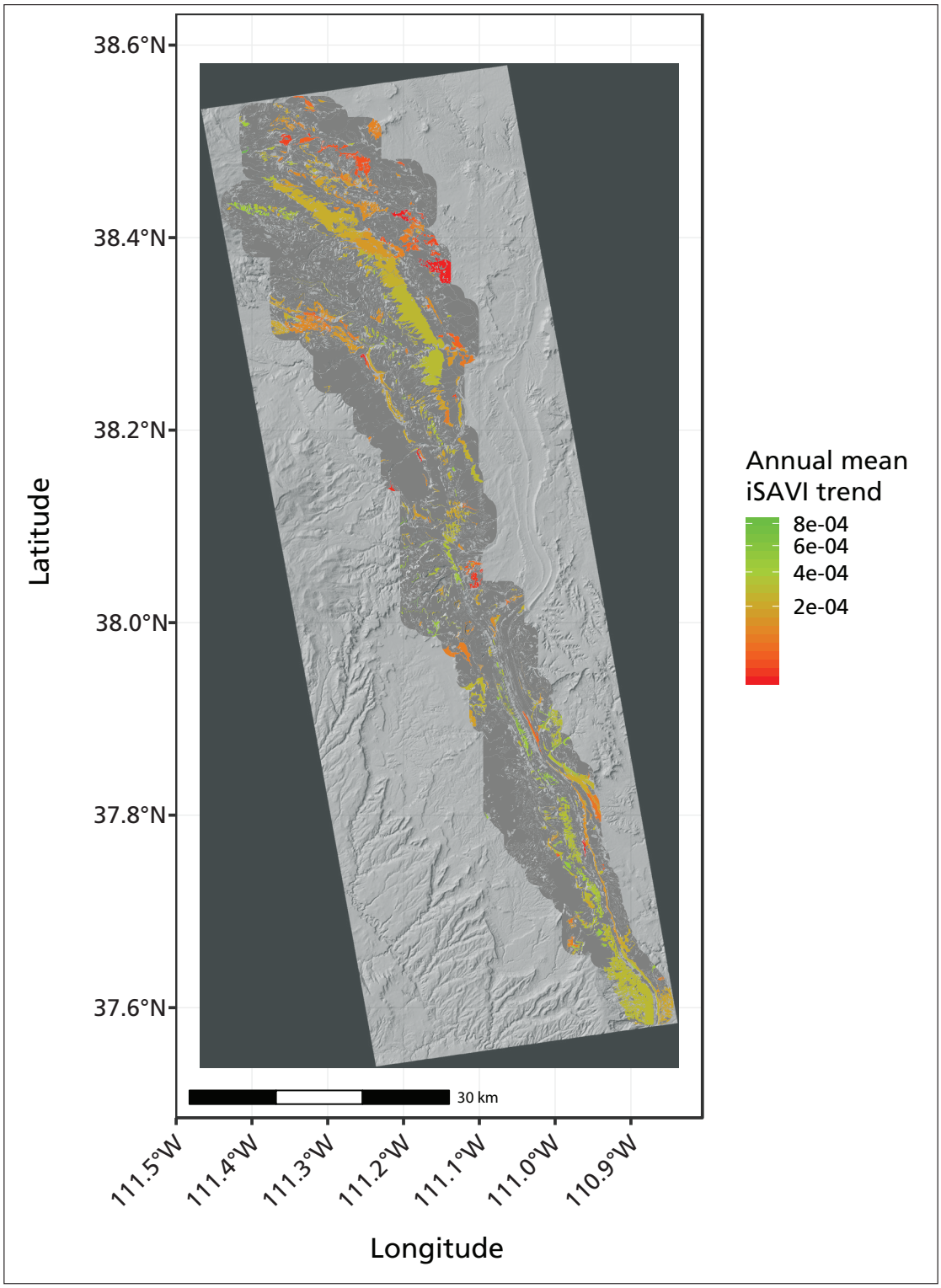


Figure 3-4. Spatial pattern of trends in mean annual iSAVI. Trend is average change in iSAVI per year for 2000–2019. Trend is the change in iSAVI per year. Gray area indicates no trend. The legend uses scientific notation for an annual trend slope (e.g., 4e-04 is 0.0004 iSAVI units per year).

The mostly upward production trends for woody-plant alliance groups were consistent with other research findings on the Colorado Plateau that showed shrubs as dominant where winter soil moisture was high and seasonal temperature variable, and grasses as dominant where summer moisture was available (Gremer et al. 2018). That study pointed out that the rising temperatures and increasing temperature variability associated with climate change will result in conditions more favorable to shrubs, because the change in temperature is expected to overwhelm the role of available soil moisture by late century. It also noted that shrubs are better-adapted to large temperature fluctuations than grasses are. A review of woody-plant proliferation (Barger et al. 2011) found that seasonality of precipitation, land use, and atmospheric CO_2 concentrations were all important drivers of woody-plant expansion in semi-arid regions where annual PET was greater than annual P, as is the case at Capitol Reef NP. For these reasons, upward trends in non-woody alliance groups may be caused by increases in shrub cover in those alliances.

3.5 Climate relations

3.5.1 Qualitative patterns in annual precipitation and production

Between 2000 and 2019, precipitation increased in most alliance groups, as did many measures of production in this semi-arid, water-limited environment. Improved water-use efficiency due to the fertilization effect of rising atmospheric CO_2 concentrations may explain some of the increase in production, but precipitation increase was likely the largest contributor (Sorokin et al. 2017). Plotting the iSAVI difference from long-term average iSAVI with precipitation shows the temporal correspondence of interannual variation in annual precipitation and production (see Figure 3-3). Conversely, CO_2 concentrations increased monotonically on an annual basis during the study period (https://gml.noaa.gov/ccgg/trends/). The link between precipitation and production is most obvious in the Mesic Sagebrush facet in Figure 3-3. This provides qualitative evidence that variation in production is more strongly related to precipitation than CO_2.

While peaks and valleys in the timeseries roughly coincided, there were differences in the magnitude of vegetation response to precipitation, and evidence of a response delay that varied by alliance group. iSAVI peaks in annual production corresponded with interannual precipitation peaks in most alliance groups, but a lag in vegetation response can be caused by legacy effects of vegetation condition in prior years that carry over to subsequent years.

Such legacy effects have been noted in semi-arid vegetation response to precipitation where prior conditions set the stage for current-year production. For example, a wet year following a drought may not be as productive as a wet year following an average precipitation year, due to legacies in tillers, stems, root density, and seed production, which are limited in a drought (Noy-Meir 1973; Thoma et al. 2016). Because of prior conditions, the largest peaks in annual production do not always coincide with the largest peaks in annual precipitation.

In addition, the timing and magnitude of response to precipitation are affected by the structural and physiological traits of plants, including rooting depths, stomatal control of gas exchange, leaf morphology, annual or perennial life cycle, and the site conditions where they grow (Munson et al. 2015). Plant physiology may explain why peaks and valleys in annual production are more pronounced in grasslands and shrublands, but muted in forest alliance groups, with the exception of quaking aspen. Additionally, evergreens that retain leaves for multiple years have lower-amplitude seasonal cycles (Yang et al. 2019). Grasslands are generally more responsive to interannual weather variation, while forests with deeper rooting systems can be buffered from short-term droughts. Additionally, variation in the magnitude of vegetation response to variation in precipitation could be due to higher runoff in high precipitation years if a large proportion of precipitation fell in a few storm events.

Collectively, the response of vegetation to interannual variation in weather is complex. The study of these interactions across space and time is greatly aided by the high frequency of satellite observations coupled

with continuous daily climate observations over the same period. Organizing the remotely sensed observations by management-relevant vegetation types provides insights that may be useful in climate-adaptation planning.

3.5.2 Single-variable drivers of production

At the park level, relationships between growth and climate variables were generally weak due to the highly variable response relationships with climate across vegetation types. However, when examined by alliance groups, better relationships emerged (Figure 3-5).

Vegetation production in all alliance groups was correlated with multiple aspects of climate. Across alliance groups at Capitol Reef NP, the water-abundance variables (P, RAIN, SNOW, AET, SOIL) were positively correlated with annual production. Vari-

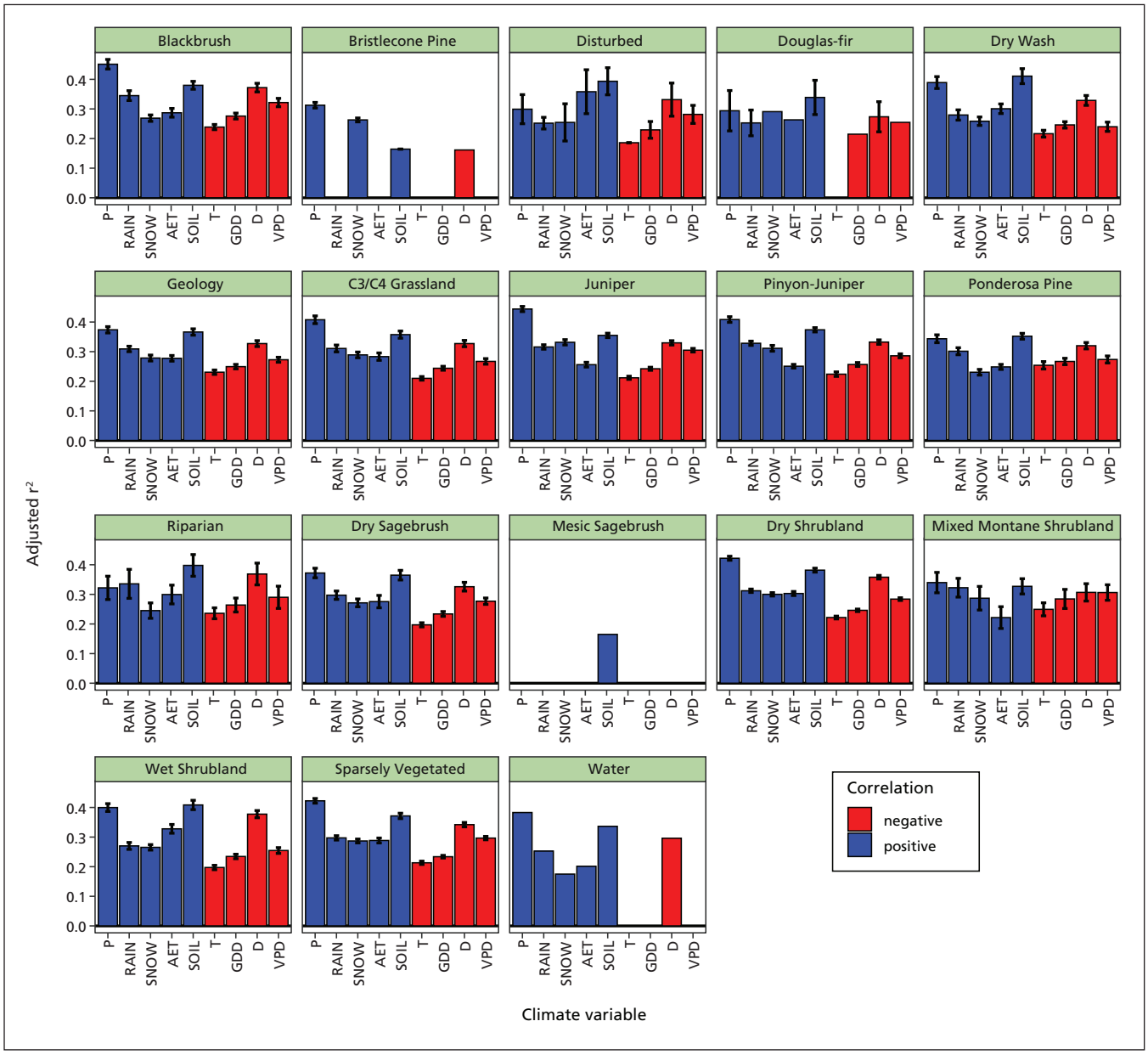


Figure 3-5. Significant (pval <0.05) relationships between water-year climate variables and first difference of growing season iSAVI, 2000–2019, with standard error. Bar height is the mean variation in iSAVI explained by variation in climate or water-balance variable on the x-axis. Blue bar color indicates positive correlation. Red bar color indicates negative correlation. See Table 2-2 for acronyms.

ables representing heat, unmet water need, or atmospheric dryness (T, GDD, D, VPD) were negatively correlated with production. Within alliance groups with multiple polygons, there was variation in relationship strength among polygons, indicated by error bars in Figure 3-5 (also see Table S-2 in Thoma 2023). This can be caused by variation in species assemblages and abundances that differ among map units of the same alliance.

Annual precipitation and annual production were generally among the most strongly correlated variables, suggesting that increases in annual precipitation may have caused the increase in production over the study period. Generally, P, RAIN, and SOIL had the strongest positive relationships with production in most alliance groups. Deficit had the strongest negative relationship with production (see Figure 3-5). However, on an annual basis, most of the water-balance variables were no better correlated with production than annual precipitation. On the other hand, the relationship between temperature variables and vegetation production at Capitol Reef NP is unambiguous. Warmer temperatures mean less growing-season production. Every polygon that had a significant relationship with a temperature variable (PET, T, GDD) exhibited a decrease in production with warmer temperature (Table S-2 in Thoma 2023). This is typical in water-limited environments, where warmer temperature increases evaporative demand that is often not met by available water.

Some vegetation types respond more strongly to seasonal weather than annual conditions. For these plants, warming temperatures in the spring initiate growth. Then, as soil moisture decreases throughout the growing season, other variables, such as water deficit, typically take on greater importance. Depending on the species, most growth may occur in spring or late summer. Thus, the timing of seasonal water and energy availability may be more important to annual vegetation production than annual water and energy availability (Barnes et al. 2016). These kinds of seasonal responses to weather are difficult to characterize on an annual basis with a single variable because the important climate drivers switch seasonally, and long-term effects from earlier growing seasons may affect current-year production (Thoma et al. 2016).

In other words, multiple aspects of climate and water balance act over both short and long periods to affect annual production. Thus, a simple linear-regression approach that considers single-variable influences on production over the course of an entire year can oversimplify important vegetation–climate relations. This may explain coefficients of determination of <0.5 (see Figure 3-5), which may seem unimpressive but are similar to results of species responses to single variables in plot studies (Munson et al. 2011).

Nevertheless, there is value in determining single-predictor regressions, because they can identify important drivers that result in increases or decreases in annual production and represent a practical way to derive critical water needs and drought-stress indicators (Munson et al. 2016; Thoma et al. 2019) that can be tracked using data from weather stations. The best single-variable indicator of annual vegetation production can be determined by alliance group from visual inspection of Figure 3-5 (tallest bar = best relationship), or by polygon from Table S-2 in Thoma (2023).

Annual precipitation at Capitol Reef NP was generally among the climate or water-balance variables most strongly correlated with annual production, so it is used in the following sections that further explore climate/vegetation relationships. Multiple predictors and time lags of annual production response are considered in a later section.

[3]The pivot points differed among water-abundance variables because they represent different pools, periods, and magnitudes of water availability. For example, soil moisture is only a fraction of total precipitation (P), because some P runs off and is not available to plants. Similarly, RAIN and SNOW represent fractions of total P that supply water for vegetation at different times of the year. Thus, each variable represents a different "pool" and phase of water (solid, liquid, or gas) that may be seasonally active or present during different seasons.

3.6 Pivot points

3.6.1 Pivot points indicate drought tolerance

Plant species with smaller pivot points for water-abundance variables (e.g., P, RAIN, SNOW, AET, SOIL) demonstrated a higher degree of drought tolerance, because less water was needed to achieve above-average production (Thoma 2023, Tables S-2 and S-4).[3] Larger pivot points for variables representing heat, unmet water need, or atmospheric dryness also indicated a higher degree of drought tolerance, because more drought was tolerated before production dropped below average.

At Capitol Reef NP, the most dry-tolerant alliance group (i.e., the one with the lowest precipitation pivot point) was Blackbrush (Figure 3-6). The next most dry-tolerant alliance group was Riparian, likely because the low-lying places where water accumulates from upslope positions make this alliance group less drought-prone in low-precipitation years. Because the Riparian group collects water from upslope, it can have better-than-average performance even when annual precipitation is low. The water-balance model used in this report does account for flow accumulation from upslope positions, so it cannot account for the likely cause of drought tolerance in this alliance group. Nevertheless, the physical processes that cause water to collect in these locations will continue in the future, which will likely make this alliance group less susceptible to drought than other upland alliance groups.

The alliance groups growing at the park's highest and wettest elevations (Mixed Montane Shrubland and forested groups) were also the least dry-tolerant. Grasslands and other shrublands were more dry-tolerant than the Juniper or Pinyon-Juniper groups, likely due to seasonal growth traits and dormancy during the driest times of year.

Pivot points for a specific geographic area are related to but not always equal to the mean annual climate value where a pivot

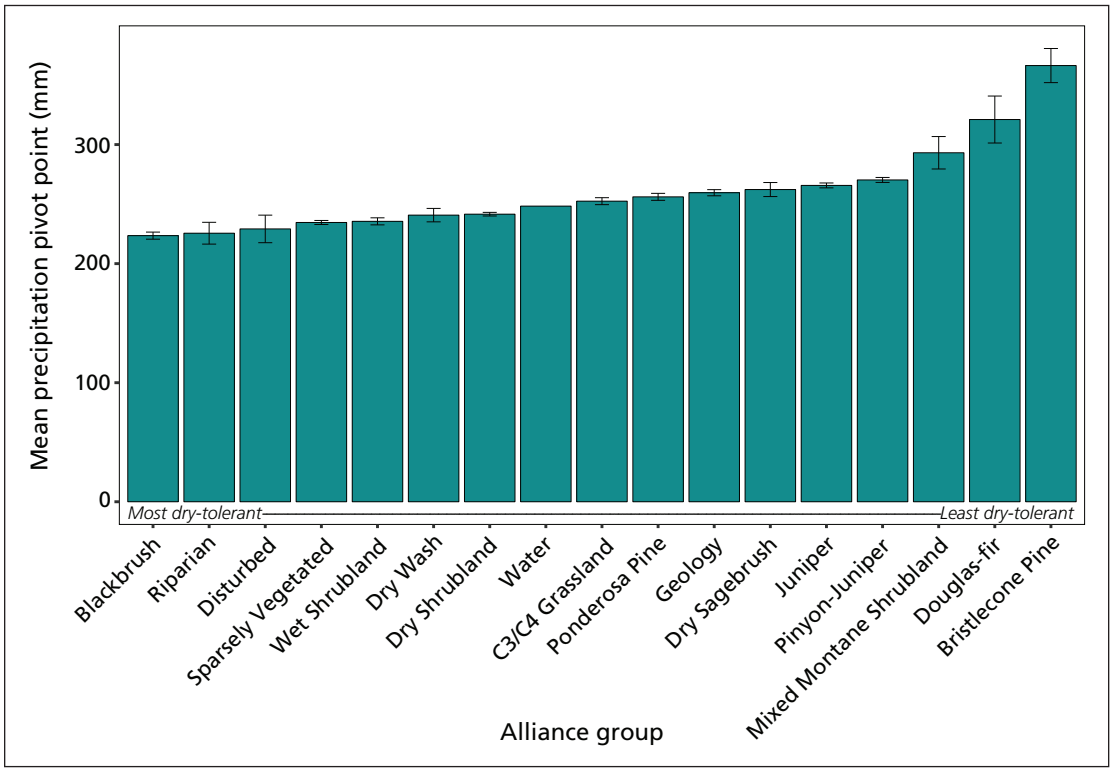


Figure 3-6. The mean values for precipitation pivot-points (shown here) represent critical water needs for different alliance groups. Standard error bars indicate range in variability of pivot points among polygons of an alliance group if more than one polygon had a statistically significant relationship ($p < 0.05$) with precipitation. Pivot points identify the critical water need that achieves average production for each alliance group.

point is determined. For this reason, spatial patterns in pivot points often follow precipitation and temperature gradients with elevation. This can be seen in the order of alliance-group pivot points at Capitol Reef NP. Lower-elevation alliance groups had lower precipitation pivot points, suggesting they are the most dry-tolerant (see Figure 3-6 and Figure 3-7).

A study in the southwestern US found that found soil properties explained more than 70% of the spatial pattern of pinyon mortality caused by the 2003–2004 drought (Peterman et al. 2012). Interactions between vegetation and precipitation that are modified by site conditions affecting water storage, such as soil properties, are an important aspect of this study, accounted for by using water-balance variables as indicators of climate. For this reason, pivot points for soil moisture and other water-balance variables are included in the appendix figures and tables (see Appendix A, Tables S2 and S4).

3.6.2 Spatial patterns of drought tolerance

The map of precipitation pivot points shows that the amount of moisture required to increase production above that of the previous year generally increased with elevation (see Figure 3-7). This pattern stemmed from the distribution of vegetation groups, which generally followed changes in mean climate values. More specifically, it reflected the tendency of water availability (SOIL) to increase with elevation, and unmet water need (D) to decrease with elevation (Stephenson 1998).

3.6.3 Pivot points for other climate variables

Pivot points for other climate and water-balance variables generally reflected the average climate conditions where alliance groups were found (Figure 3-8; Appendix A, Figure A-2). However, the range in pivot points within alliance groups, and the variation in species assemblages within and between polygons of each alliance group, reflected some variation beyond a pattern purely determined by elevation gradient. In semi-arid environments like Capitol Reef NP, pivot points for AET, P, SOIL, RAIN, and SNOW are generally lower than the pivot point for D, an indicator of drought. This is because vegetation in these environments is adapted to handle relatively low water availability and high drought stress.

All results shown here were statistically significant. For this reason, any of these alliance group-level pivot points can be compared against historic, present, or future projected growing-season climate conditions to determine if those conditions are likely to result in below- or above-average vegetation production.

Pivot points for each climate variable by polygon are provided in Table S-2 of Thoma (2023), and by alliance group in Table S-4. These can be used with data on climate exposure to identify conditions conducive to above- or below-average growth—information useful in vulnerability assessments associated with Climate Smart Conservation planning (Stein et al. 2014) (see Chapter 4).

3.7 Vegetation response

3.7.1 Vegetation response and climate sensitivity

When they interact with climate, plant traits determine which species grow under different conditions of water availability. The result is a spatial organization of alliance groups that reflect local climate and the sensitivity of vegetation to climate (Figure 3-9). The interactions between plant traits, site characteristics, and climate-determined patterns of vegetation distribution in the past will determine how vegetation at Capitol Reef NP is shaped by climate in the future.

Vegetation response is a formal component of vulnerability assessments for vegetation used in Climate Smart Conservation (Stein et al. 2014). It helps us understand which vegetation groups may respond sooner or more strongly to a given amount of climate exposure. While a unit change in iSAVI is not quantitatively linked to vegetation cover or biomass in this analysis, it is representative of change in both of those attributes. In

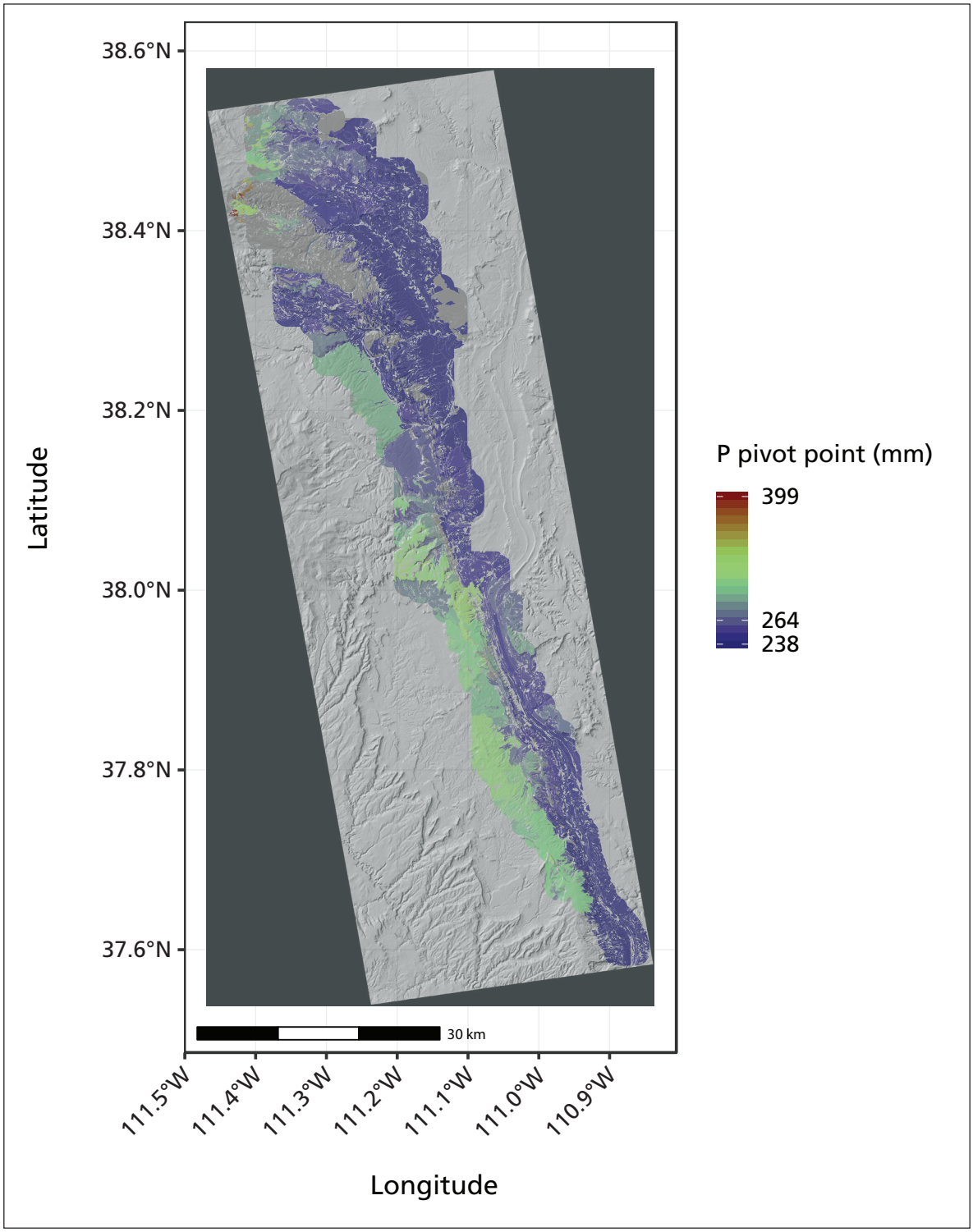


Figure 3-7. Precipitation pivot points for polygons with a significant relationship (p-value <0.05) between water-year annual precipitation and change in annual vegetation production, assessed as the interannual difference in iSAVI. The mean precipitation pivot-point values shown here represent spatial patterns in the critical water needs of vegetation. Alliance polygons with larger precipitation pivot points required more precipitation to achieve above-average growing-season production. Gray areas represent polygons without a significant relationship with water-year precipitation. Maps like this for other climate and water-balance variables can be found in Appendix A.

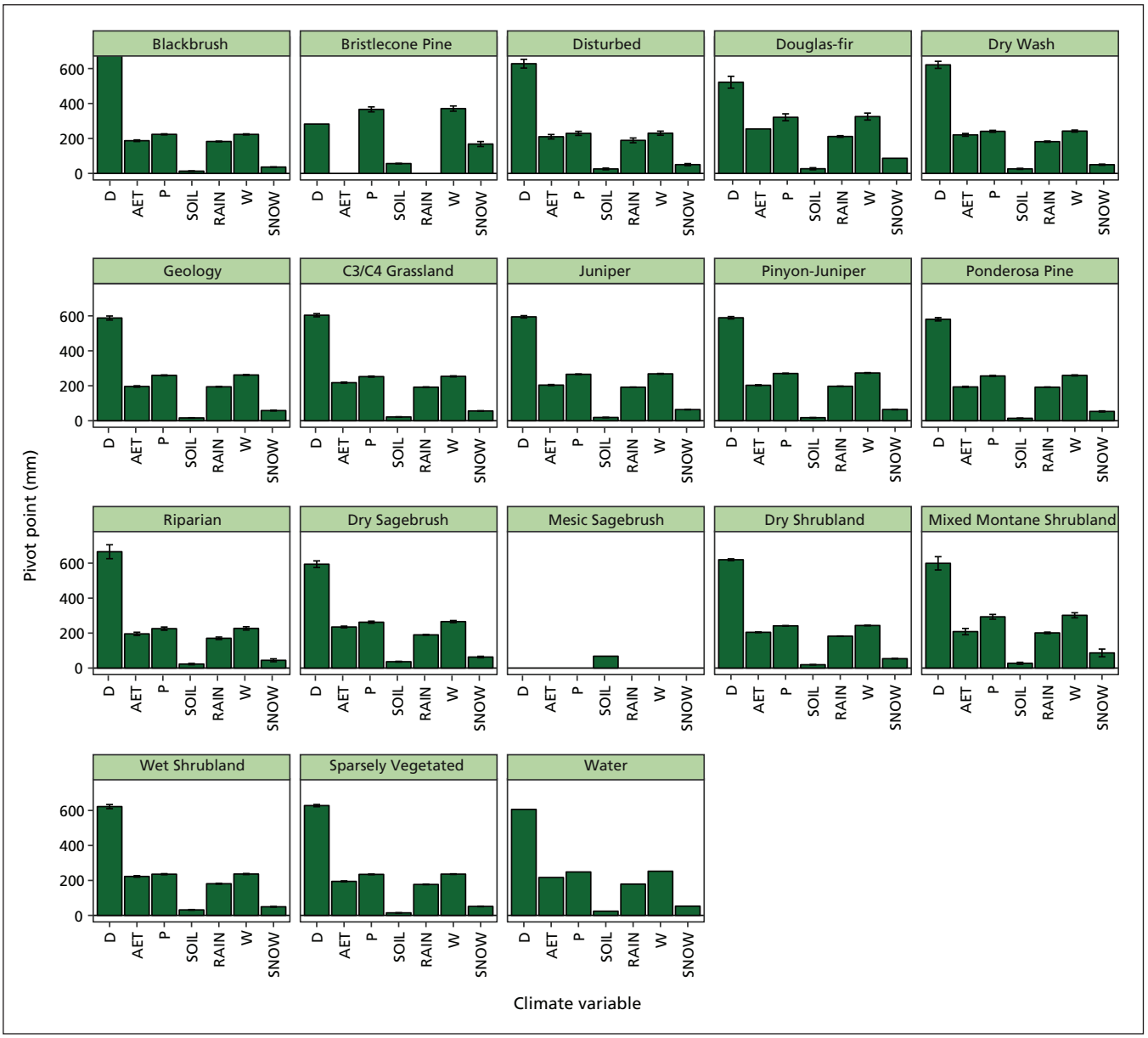


Figure 3-8. Significant ($p < 0.05$) water-related pivot points by alliance group. See Tables S-2 and S-4 of Thoma (2023) for the pivot-point values. The magnitude of each pivot point reflects generally average conditions for polygons in each alliance group. The mean pivot-point values are the critical values where annual production switches from below to above average (relative to previous year) for the different alliance groups. See Table 2-2 for acronyms.

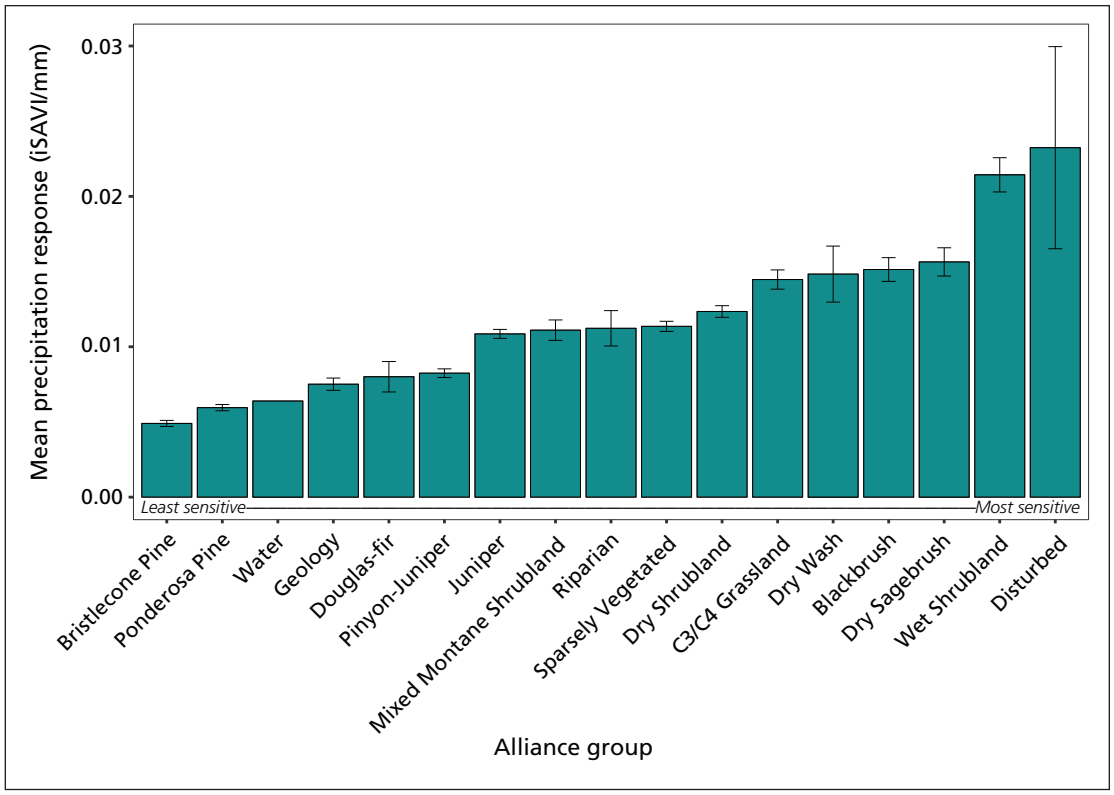


Figure 3-9. Responses of different vegetation alliance groups to precipitation. Standard-error bars indicate the range in variability of responses among polygons of an alliance group if more than one polygon of an alliance group had a statistically significant relationship ($p < 0.05$) with precipitation.

this way, the iSAVI response indicates where change is likely to happen first and fastest as the climate shifts.

At Capitol Reef NP, Disturbed areas and Wet Shrubland had the highest response rate and sensitivity to annual precipitation (Figure 3-10). Bristlecone Pine was least sensitive. Alliance-group sensitivities to other water-balance variables can be found in Appendix A, Figure A-3.

Generally, there was a pattern across the park in which sensitivity increased at lower elevations, where precipitation was least. This was partly due to vegetation structure: the forested alliance groups occur at higher elevations, grasslands and shrublands at lower elevations. If disturbance, such as fire or forest disease, replaced the forested alliance groups with grassland or shrubland, then the response would shift to reflect the dominant vegetation structure.

Higher elevations dominated by slow-growing and slow-responding Juniper and Pinyon-Juniper were less sensitive to variation in annual precipitation. This partly explains the pattern of response, also related to duration of greenness, in which species with longer seasons of green leaves were less responsive to weather or water balance.

Responsiveness generally increased from high to low elevation along a decreasing precipitation gradient. Disturbed areas responded most rapidly when water was abundant. In years when precipitation is higher than normal, vegetation in the Disturbed areas could become management concerns in or near the park because their rate of response to precipitation input is greater than that of native vegetation.

3.7.2 Spatial patterns of response

The alliance groups most sensitive to variation in annual precipitation were Disturbed areas, which included roadways and the Historic District, and Wet Shrubland occurring in the park's northeast and southeast portions (see Table S-2 of Thoma 2023, filtered by P and sorted by slope; and Figure 3-9).

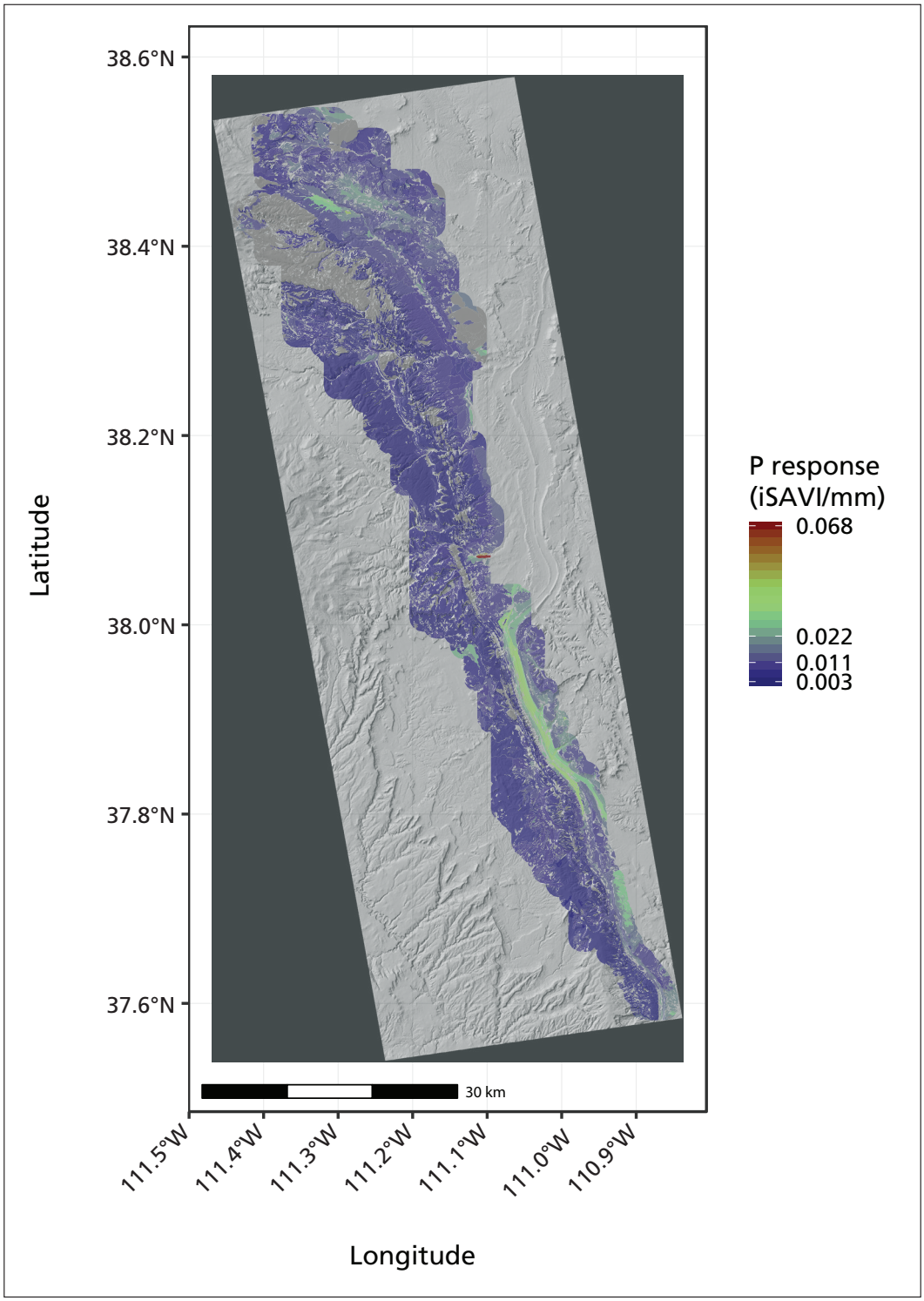


Figure 3-10. Sensitivity of growing-season vegetation production to annual water-year precipitation. This figure shows which vegetation types will experience change first. Groups with highest response rates (i.e., Disturbed, Wet Shrubland) are most likely to see change happen first and fastest as the climate shifts. Response is the slope of the linear-regression relationship between annual precipitation and iSAVI change from the previous year. It is the change in iSAVI expected per millimeter of change in annual precipitation. Areas without color were either smaller than the minimum area required for mapping (<8.3 ha) or did not have a significant relationship with annual precipitation. Areas that were not significantly related to annual precipitation may be related to other climate metrics. Statistics for sensitivity of each polygon to each climate metric, including quality of relationships and regression coefficients, can be found in Table S-2 of Thoma (2023).

Ponderosa Pine throughout the study area, and Bristlecone Pine in the extreme northernmost portion of the study area, were the least-sensitive. Additional response maps, found in Appendix A, Figure A-3, illustrate spatial patterns in the sensitivity of alliance groups to water deficit and soil moisture.[4]

3.7.3 Influence of site characteristics

Spatial patterns in soil and site properties, including percent sand and clay, depth to root-restrictive layers, water-holding capacity, slope, and aspect, influenced drought tolerance and sensitivity to climate variables. This was apparent from similar patterns seen in the soil-properties maps (Figure 3-11) and the response maps (e.g., Figure 3-10). This correspondence was further evidence of the interactions between climate, site properties, and vegetation traits (deep- or shallow-rooted, perennial or annual) that influence vegetation condition and trends.

By relating pivot points and responses with site properties (see Table S-2 in Thoma 2023), we can quantify how strongly site properties can modify climate effects. Steeper regression slopes (such as those in Appendix A, Figure A-4) indicate a stronger effect of a given site property on either the pivot point or response to climate. For example, there is some evidence that C3/C4 Grasslands are more sensitive to precipitation and less dry-tolerant as sand content increases (pivot = - 0.31, sand % + 264.4, r^2 = 0.079; Thoma 2023, Table S-2). This trend suggests soil texture may play a role in determining which of the C3/C4 Grasslands are likely to be affected by climate change first. In other words, C3/C4 Grasslands growing on soil with the highest sand content need 50 millimeters' more P than those growing on least-sandy soils to maintain production.

Generally, P pivot points increased with depth to restrictive layer, clay content, and water-holding capacity (see Figure 3-11). In other words, P is more effective at promoting vegetation production on soils that store more precipitation for plants. Site properties affected drought tolerance and sensitivity to climate by influencing water retention and distribution in the soil profile, as well as heat-loading due to the slope and aspect of the site relative to the sun.

These site-specific properties locally modified the influence of climate and were important determinants of vegetation condition and patterns on the landscape. They can be quantitatively introduced into vulnerability assessments at the polygon scale by use of a water-balance model that accounts for these complex interactions using the data in Table S-4 of Thoma (2023).

3.7.4 Patterns within alliance groups

While pivot points and responses provide different information, they are linked by plant traits: as precipitation pivot points decrease (i.e., cross the horizontal "no change" line further to the left), response rate increases (Figure 3-12). Alliance groups with steeper slopes (e.g., Disturbed and Wet Shrubland) are considered more responsive to changes in water availability. These groups increase production with less precipitation, demonstrating that responsiveness to P is greatest where vegetation is most dry-tolerant.

There was a large range in pivot points and responses, reflecting a wide range of plant traits responding differently to climate variability across the park. The patterns of pivot points and responses quantify unique climatic habitats occupied by the different alliance groups in the park, and can serve as a guide to anticipate what, where, when, and why vegetation change may occur. For example, this analysis suggests that positive growth response to high P will be greater in Disturbed areas and Wet Shrublands than in Dry Sagebrush or Blackbrush alliance groups.

Tracking weather conditions during the growing season allows a view into vegetation

[4]Response to SOIL is greater than sensitivity to P or D because incremental changes in SOIL in this analysis are expressed as a water-year mean, whereas P and D are sums (see Table 1-1). However, the pattern in response to SOIL is tied to spatial variation in soil properties that play important roles in storing precipitation between events.

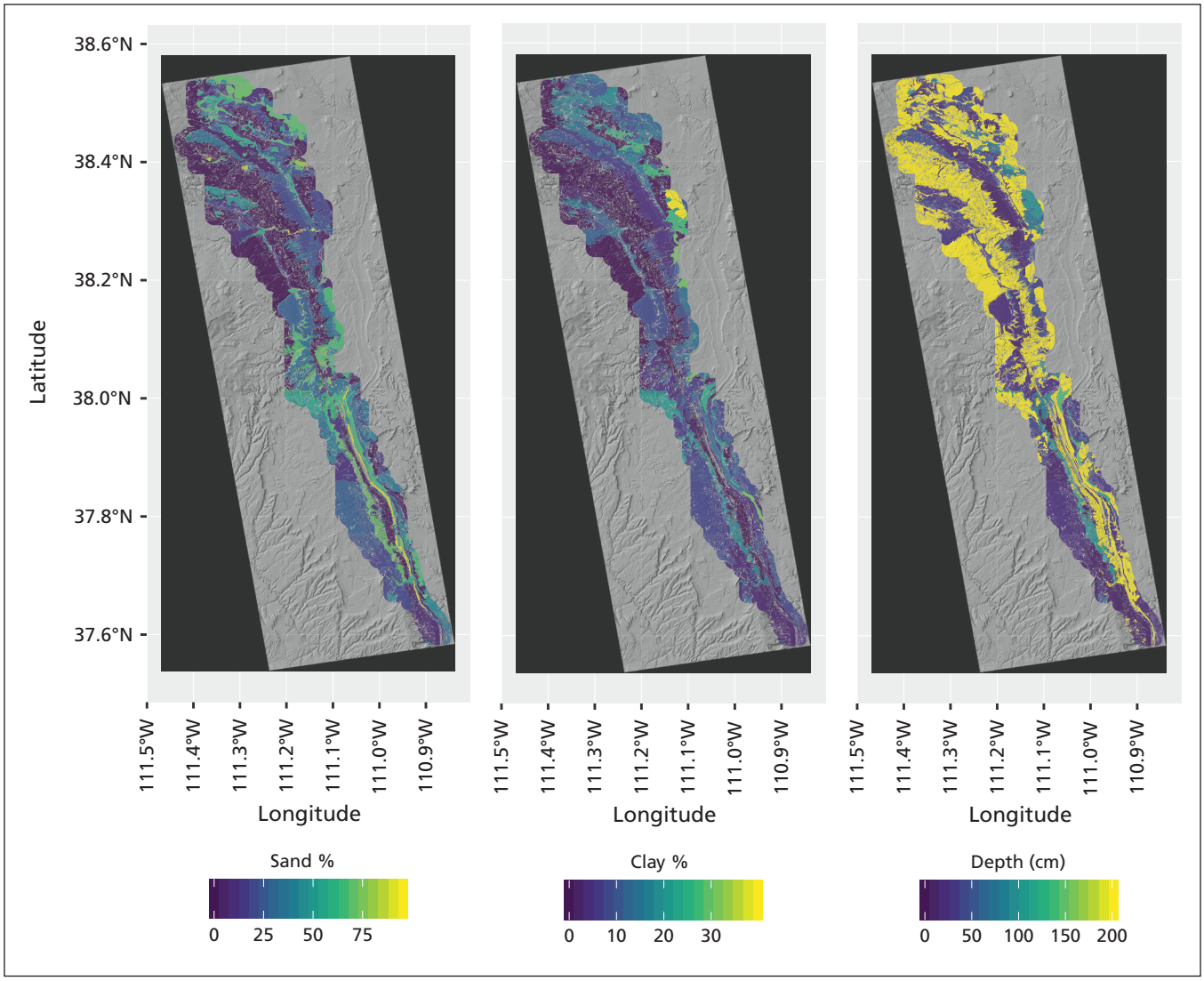


Figure 3-11. Maps of percent sand content in the top meter of soil, percent clay content of soil, and depth to restrictive layer in alliance groups. Spatial patterns of soil properties corresponded with patterns in vegetation response to climate. Areas of no color fill indicate missing soil-properties data or depth of greater than 100 centimeters.

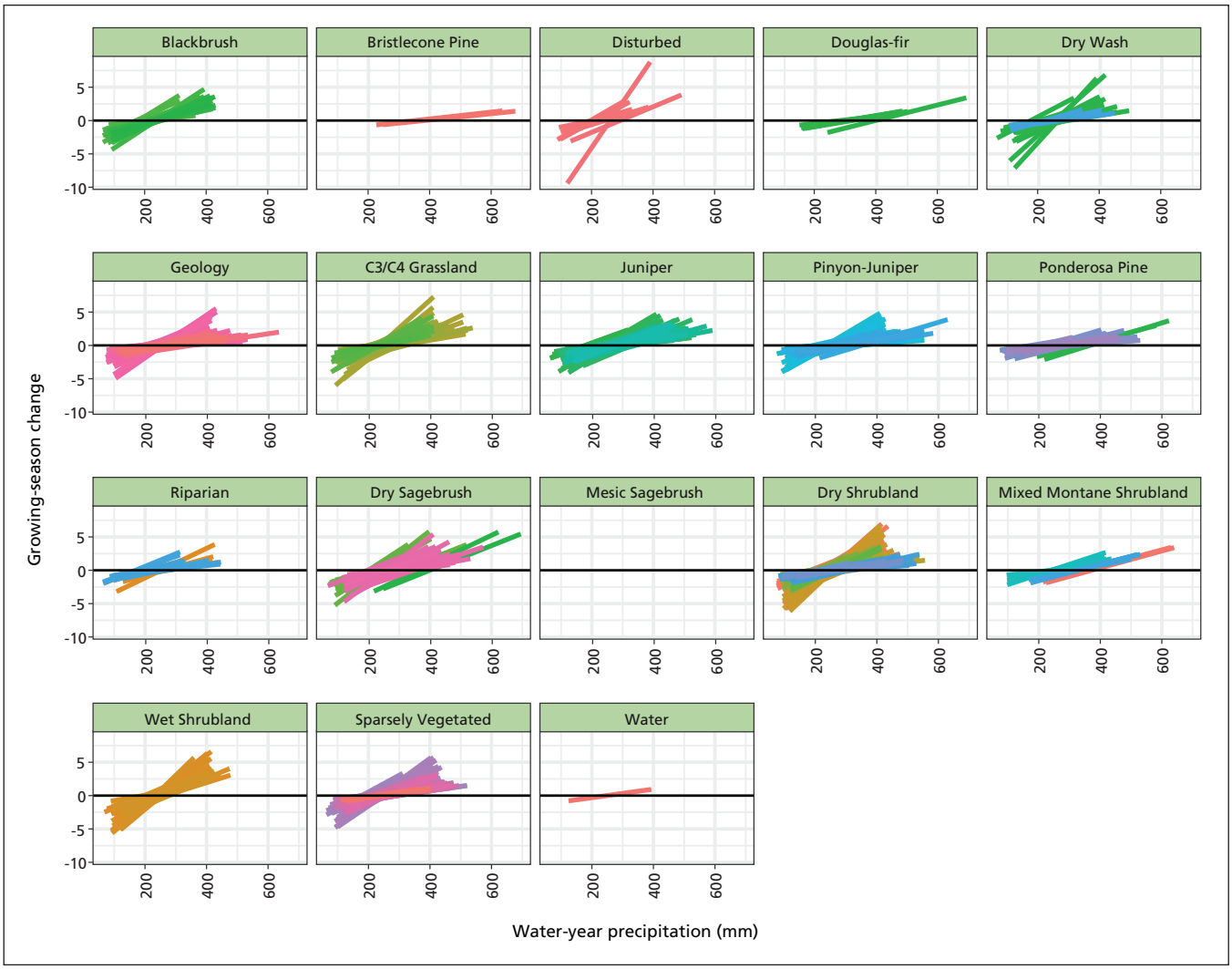


Figure 3-12. Graphical depiction of the relationship between pivot points and responses in polygons showing a significant relationship (p-val <0.05) between iSAVI and water-year precipitation (e.g., critical water need vs. rate of growing-season iSAVI change per mm precipitation). Polygon-level pivot points and responses are provided in Table S-2 of Thoma (2023). Alliance groups with steeper slopes are considered more responsive to changes in water availability. Alliance groups and alliance polygons within alliance groups that cross the horizontal axis further left are more drought-resistant. This is because they shift to above-average condition with less precipitation. Only significant relationships are shown. Panels without lines represent alliance groups with no significant relationships between iSAVI and climate variables. Colors help differentiate individual polygons within alliance groups.

condition at the polygon or alliance-group scale, depending on management interest (Thoma 2023, Tables S-2, S-4). Generally, within alliance groups, vegetation response increased as P decreased. The degree of responsiveness was determined by traits that allowed plants to either resist drought or rapidly respond to water availability. The result was that vegetation response to climate could be considered coarsely at the alliance-group level, but at higher spatial resolution and often, with higher accuracy, at the polygon level. For example, in the C3/C4 Grassland alliance group, P explained 41% of interannual variation in production. But by polygon (n = 78), P explained between 18% and 72% of interannual variation in production.

Multiple factors can introduce additional variability to pivot points and responses within alliance groups. These include differences in species assemblages, spacing between plants, and soil properties that affect the retention and distribution of water in the soil profile that is accessible to different growth forms (e.g., plants with shallow or deep roots) (Thoma et al. 2019).

3.7.5 *Production response to water and non-water variables*

Assemblages with higher responses (steeper regression slopes) were more sensitive to climate. Depending on the climate variable, the slope could be positive (as with semi-arid environments and water variables, such as P and AET), or negative (in response to D or PET, which are related to temperature) (Figure 3-13; also see Thoma 2023, Tables S-2 and S-4).

Direct comparison of water variables to non-water variables, such as GDD and VPD, is difficult due to their different units of measure. Similarly, it is important to note that response to change in an average annual value, such as soil moisture, is strong partly because this variable is averaged (because soil has an upper limit of water-holding capacity), which weights its effect on vegetation more per unit change than other climate variables that are summed (see Table 2-2). Therefore, small changes in SOIL have a larger effect than small changes in P. Responses to temperature variables GDD and T should also be considered similarly, because GDD is a summed variable, while T is averaged. Variable importance is considered in the following sections.

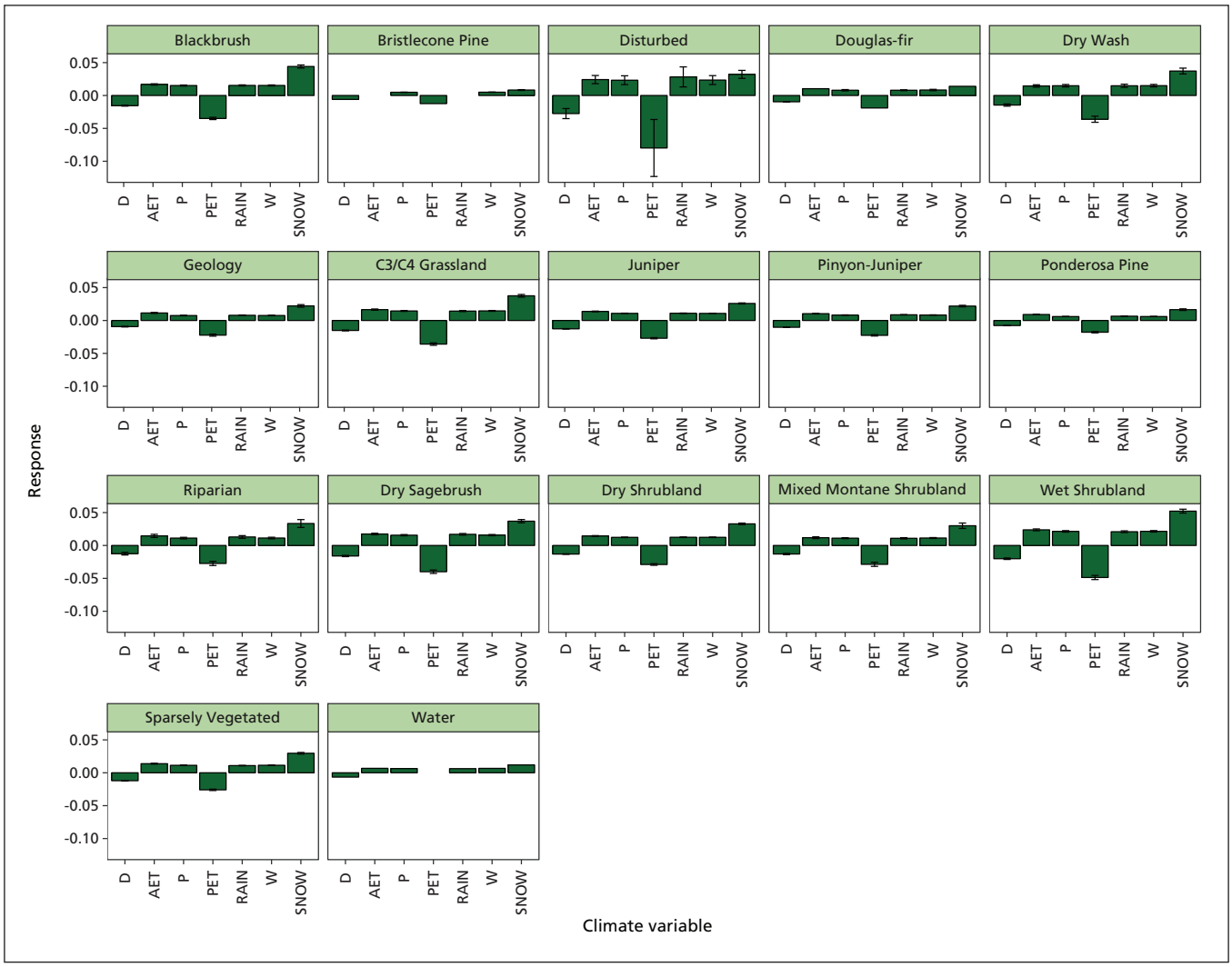


Figure 3-13. Vegetation response to summed water-flux variables (regression slopes from iSAVI versus annual climate-variable sum). Statistics for these relationships and all other variables can be found in Tables S-3 and S-4 of Thoma (2023). See Table 2-2 for acronyms.

3.8 Multi-variable indicators of annual vegetation production

Pivot points and responses are ecological measures of annual production, but production is affected by multiple aspects of weather before and during a growing season, as well as conditions in prior growing seasons that set the stage for production potential in the current year (Sala et al. 2012).[5]

In the multi-model comparison of climate correlates with interannual differences in growing-season iSAVI across all alliance groups, climate indicators of production at the park scale showed that regardless of alliance group, most (76%) polygons were influenced by three years of precipitation. The next most-common indicators of production were two years and three years of soil moisture (Figure 3-14). These patterns generally held within alliance groups. However, two years and three years of SOIL and/or AET were common indicators of production in some alliance groups (Figure 3-15). A list of top-performing models (AICc <4) by polygon can be found in Table S-5 of Thoma (2023).

Most alliance groups responded to multiple years of P, highlighting the importance of legacy effects across years in a semi-arid environment where water is the growth-limiting factor in most years (Bisigato et al. 2013). Soil properties that help sustain higher average soil moisture, perhaps through reduced runoff or deep infiltration, provide additional benefit. This is apparent in the finding that multiple years of SOIL were the next-most competitive models of production in some polygons.

[5]This is one reason why the annual relationships described above are not as strong as monthly relationships described in some of our earlier work (Thoma et al. 2016)

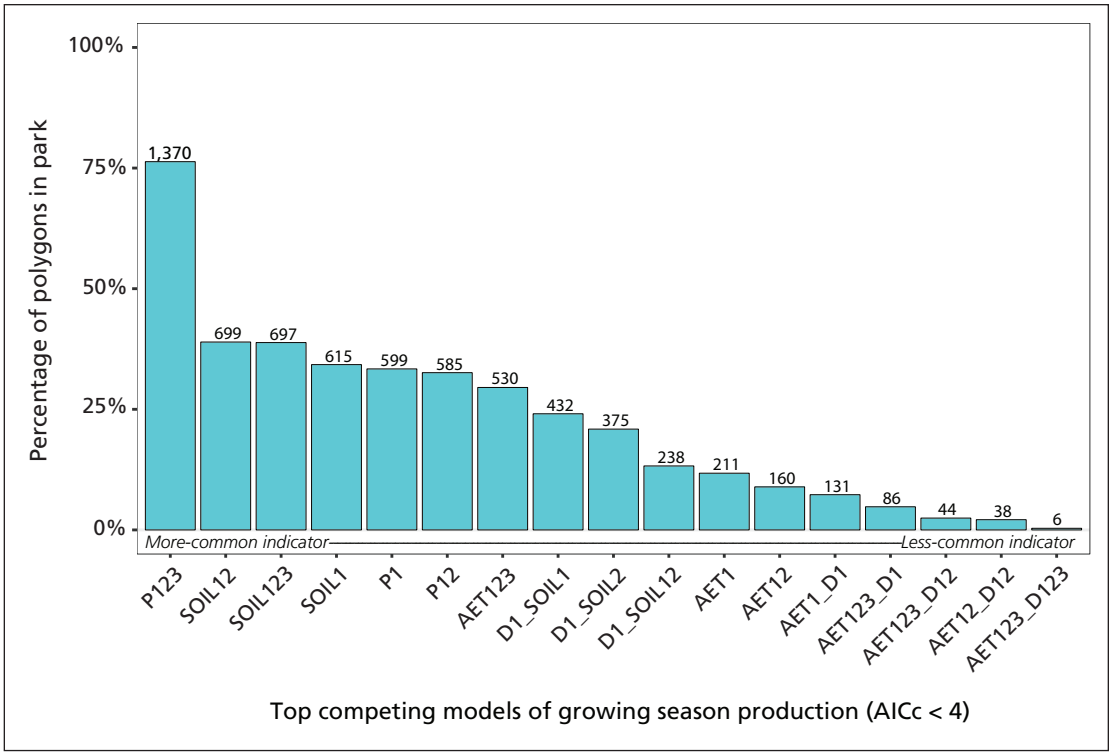


Figure 3-14. Top models in multi-model comparison of climate correlates with interannual differences in growing-season iSAVI across all alliance groups. Percentages indicate proportion of polygons where each model was competitive. Bar numbers indicate polygon count. Each polygon may have more than one competitive model; thus, the sum of percentages exceeds 100 across all models. The x-axis labels refer to the a priori climate models in Table 2-3. See Table 2-2 for acronyms. Numbers indicate current and prior years included in each model. 1 = current year, 12 = current and previous year, 123 = current and previous two years.

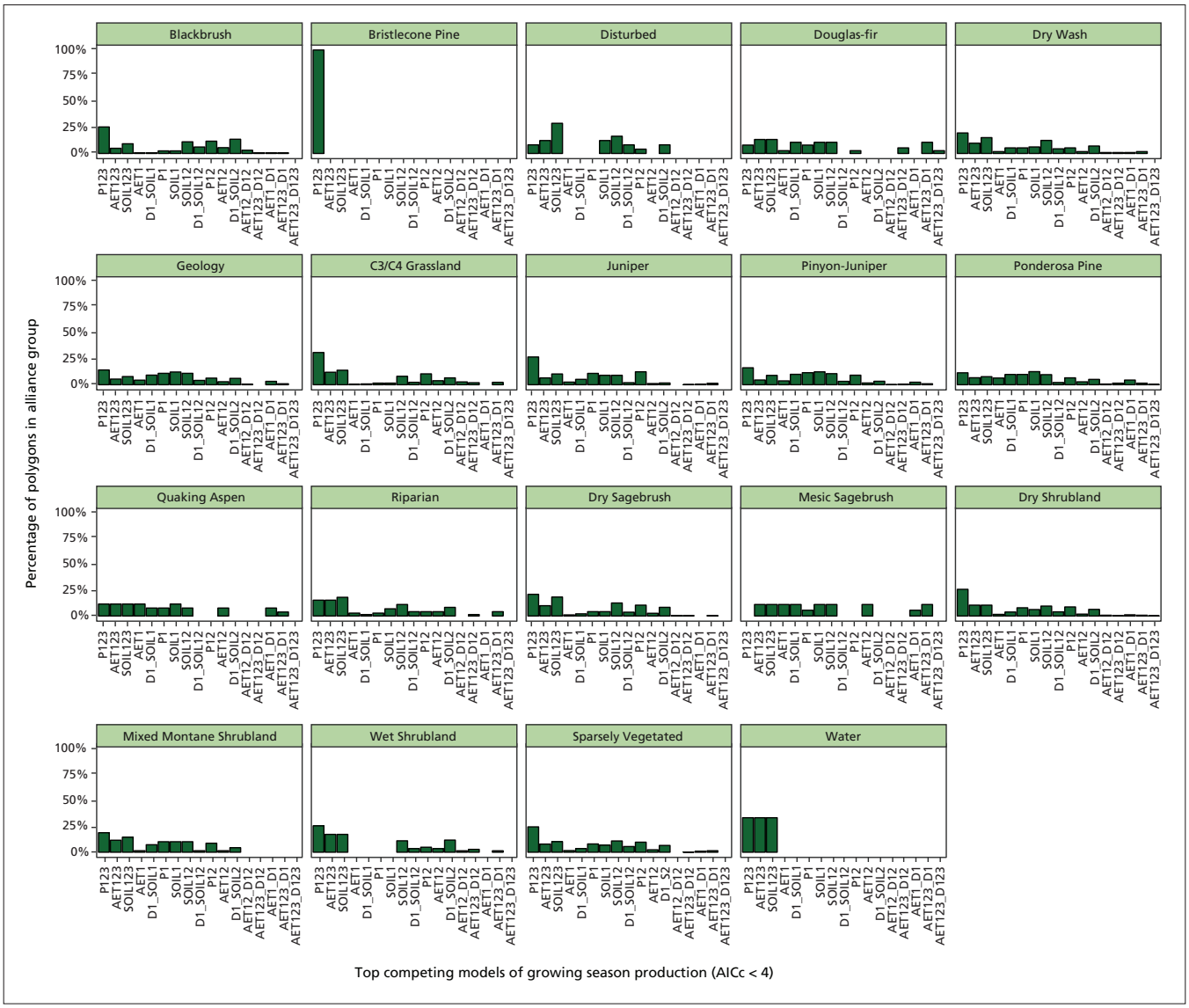


Figure 3-15. Top models of growing-season production by alliance group in multi-model comparisons of climate correlates with interannual differences in growing season iSAVI. The x-axis labels refer to the a priori climate models in Table 2-3. The y-axis represents the proportion of polygons in each alliance group where each model was competitive. Bar numbers indicate polygon count. Each polygon may have more than one competitive model; thus, the sum of percentages can exceed 100 across models. See Table 2-2 for acronyms. Numbers are 1 = current year, 12 = current plus previous year, 123 = current plus previous two years.

3.9 Land-surface phenology trends at Capitol Reef National Park

At the park scale, start of season advanced 20.8 days earlier and the end of growth occurred 3.1 days later, on average, over the years of this study. Collectively, these changes resulted in a growing season that was on average 25 days longer by the end of the study. At the end of the study, average timing of peak growth occurred two days earlier than at the start (Table S-6).

A trend in earlier SOS occurred in all alliance groups except Quaking Aspen and Mesic Sagebrush (Table 3-2; Appendix A, Figure A-5). In recent years, the growing season was ending later for most alliance groups, but earlier for Juniper, Quaking Aspen, Mesic Sagebrush, and Bristlecone Pine. Collectively, these changes resulted in a longer growing season in 99.7% of the study area, including all groups except Quaking Aspen and Mesic Sagebrush, for which the growing season shortened by 10 and 10.1 days, respectively. It is not clear why the growing season shortened for Quaking Aspen and Mesic Sagebrush, but other than Riparian and Water alliance groups, these were the wettest two alliance groups in the study. Although AET increased and D decreased in all alliance groups, the increase in AET and decrease in D were the least in these two groups. Given the smaller increases in water availability, this may suggest that these groups were affected by seasonal shortfalls of water that cannot be determined from the annual timestep of this study.

These changes to length of season were consistent with warming temperatures that can extend the growing season if moisture is available. They are also consistent with increasing CO_2 concentrations, which increase vegetation production. This happens through a process known as CO_2 fertilization (Sorokin et al. 2017), which increases water-use efficiency. However, the strength of the relationship between vegetation production and P over the study period (see

Table 3-2. Trends in phenology averaged across polygons in the same alliance group.

Alliance group	Trend[1]						
	Δ SOS	Δ EOS	Δ LOS	Δ POP	Hectares	Polygon count	% area
Pinyon-Juniper	–22.0	2.6	24.8	–2.6	46,082.6	250	37.1
Dry Shrubland	–21.2	0.9	23.8	–2.6	21,248.3	368	17.1
Sparsely Vegetated	–24.4	5.1	32.3	–0.6	17,701.4	225	14.2
Juniper	–22.4	–1.9	22.8	–2.3	9,569.2	226	7.7
Ponderosa Pine	–22.3	6.5	28.0	0.3	8,877.3	187	7.1
Geology	–20.2	3.3	22.8	2.2	7,996.3	195	6.4
C3/C4 Grassland	–17.7	0.4	20.5	–5.9	4,234	94	3.4
Blackbrush	–11.2	8.2	19.3	–6.8	2,157.4	47	1.7
Dry Sagebrush	–16.4	6.8	23.1	–7.4	2,086.9	60	1.7
Wet Shrubland	–19.8	5.8	29.3	–9.4	1,760	52	1.4
Mixed Montane Shrubland	–7.1	12.4	24.0	–0.6	853.4	15	0.7
Dry Wash	–15.0	5.7	24.1	–5.9	601	32	0.5
Riparian	–18.3	12.8	30.2	5.2	369	16	0.3
Douglas-fir	–8.8	14.0	20.6	–6.9	307.5	11	0.2
Disturbed	–14.6	6.0	21.3	11.9	300.4	8	0.2
Quaking Aspen	9.9	–0.8	–9.9	7.6	86.3	4	0.1
Mesic Sagebrush	10.2	–0.5	–10.7	6.7	32.4	2	0
Bristlecone Pine	–31.2	–19.3	28.5	–10.9	26	2	0
Water	–35.4	8.9	44.3	11.6	11.8	1	0

[1]ΔSOS = average days change in start of growing season; ΔEOS = average days change in end of growing season; ΔLOS = average days change in length of growing season; ΔPOP = average days change in timing of peak growth.

Figure 3-5), and in findings from Section 3.7, suggested most of the increase in production was related to increases in water availability during the study, which may have been augmented by increases in CO_2. Patterns in growing-season phenology and area of the park affected for each alliance group can be found by filtering Table S-6 in Thoma (2023) by vegetation types of interest and summing on columns "Hectares or % Area."

Changes in land-surface phenology result from interactions between temperature, moisture, plant traits that control growth rates, and CO_2 concentrations that affect water-use efficiency. Generally, warming reduces growth rates in semi-arid environments, while elevated CO_2 increases water-use efficiency of production for the same amount of water. Thus, warming may initiate an earlier start to the growing season, and elevated CO_2 may increase water-use efficiency, resulting in a longer growing season. But efficiency is ultimately limited if conditions become sufficiently dry and growth ceases. For this reason, and given projected drying on the Colorado Plateau, increases in efficiency may be small and short-lived in the face of disturbance caused by drought or wildfire (Allen et al. 2015). Tracking changes in phenology in NCPN parks, and linking those changes to seasonal changes in temperature and CO_2 concentrations, could help further clarify the vulnerability of semi-arid vegetation to multiple aspects of climate change (Sorokin et al. 2017).

3.10 Drivers of green-up (SOS)

3.10.1 A priori model selection method

At the scale of both the park and alliance group, the most common a priori competitive model of green-up timing (see Table 2-4) was GDD_P between January 1 to the SOS date (Figure 3-16). Other competitive models included GDD_AET; AET; and GDD_RAIN. At the alliance-group level, GDD_P was the only variable important in every alliance group (Figure 3-17).

Growing-degree days are likely important because spring soil moisture is typically at its highest level after the winter recharge period, and warming temperatures are necessary to break dormancy. The importance of precipitation during the calendar year may indicate that the soil water-holding capacity

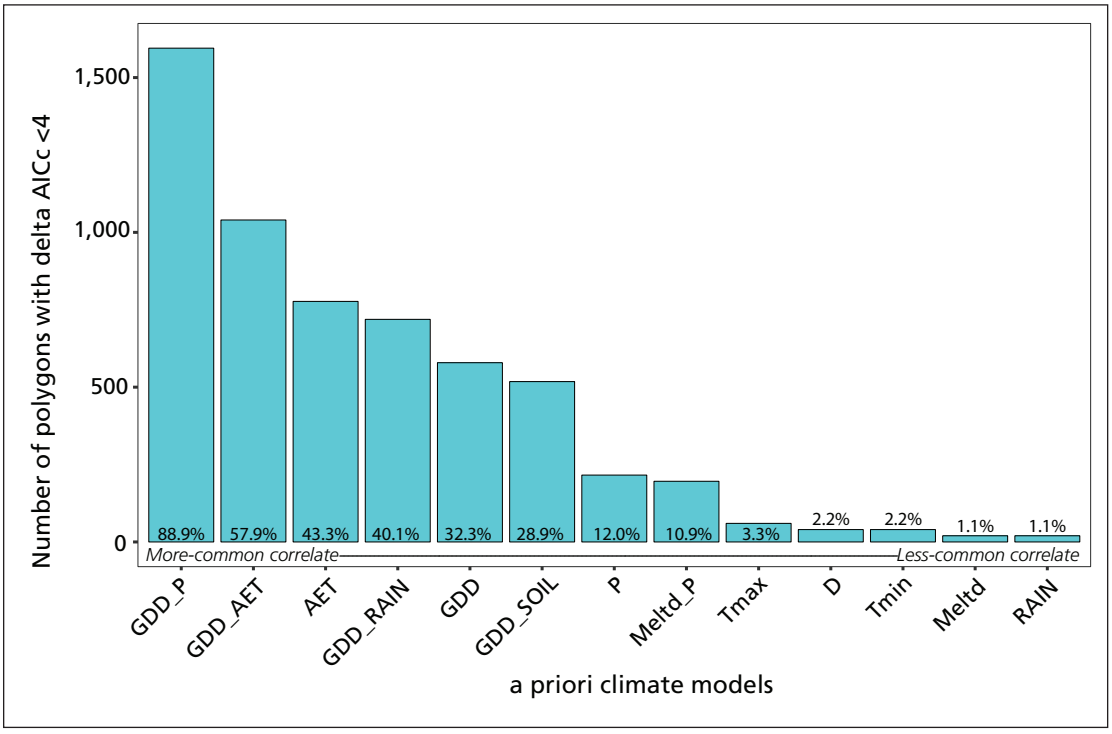


Figure 3-16. Top models in multi-model comparisons of climate correlates with start of growing season at the parkwide scale. The x-axis labels refer to a priori climate models as determinants of SOS, or green-up. See Table 2-2 for acronyms.

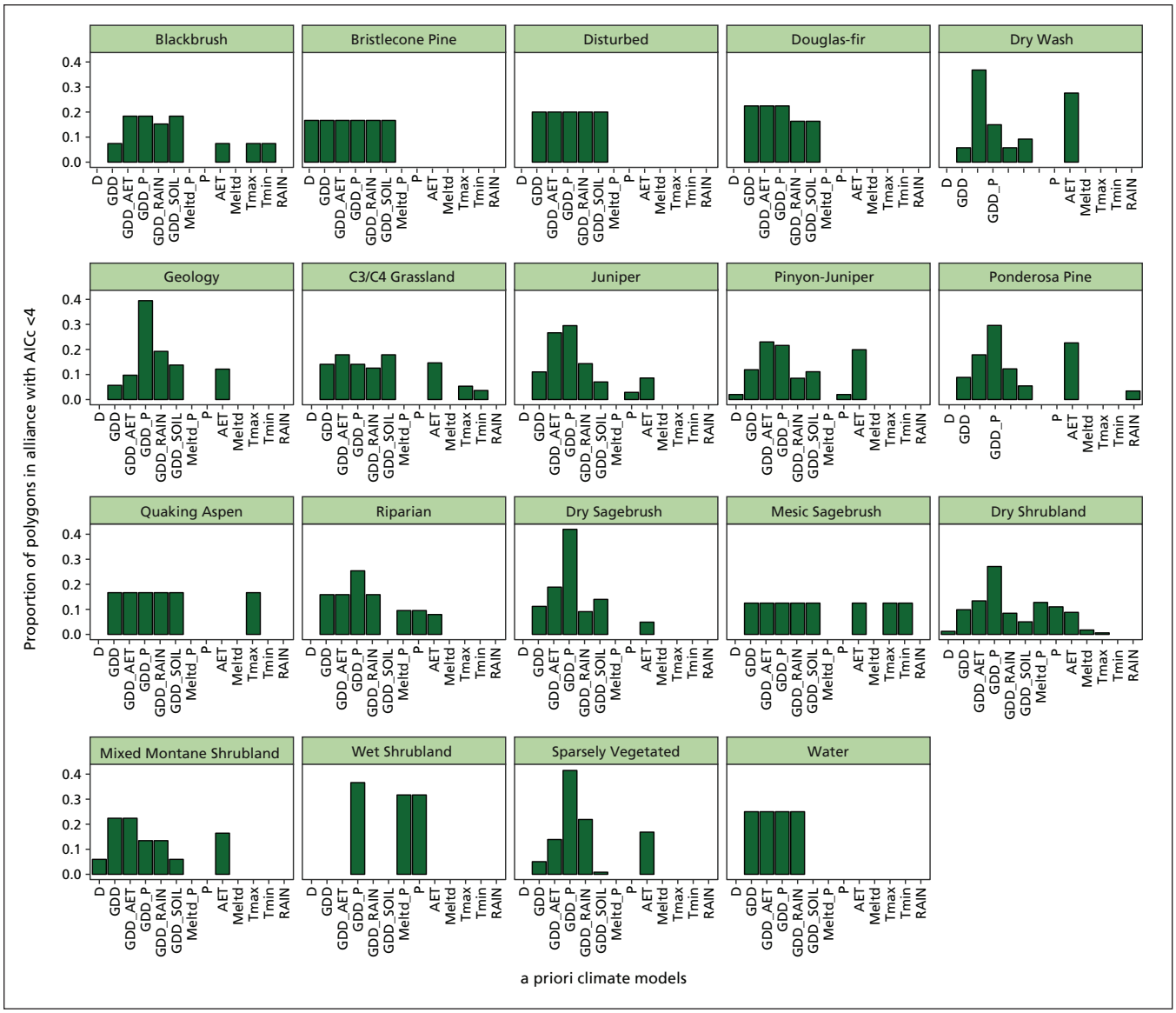


Figure 3-17. Top models in multi-model comparisons of climate correlates with start of growing season at the alliance-group scale. The x-axis labels refer to a priori climate models as determinants of green-up or start of season. See Table 2-2 for acronyms.

requires spring precipitation to fill. Otherwise, insufficient precipitation may be a growth-limiting factor for initiating growth, even as temperatures warm in the spring.

3.10.2 Random-forest method

Variable-importance plots showed that Meltd, TMin, P, GDD, and AET were the best predictors of start of season (Figure 3-18). These findings were similar to those in the multi-variable regression models (where T and P were important), but with the addition of Meltd as an important variable.

Findings from the two modeling methods suggested a combination of temperature and moisture are important indicators of SOS, as is expected in semi-arid climates. Loss of snow cover, which exposes vegetation to warming and solar radiation in spring, makes intuitive sense as a driver for the start of growth at higher elevations, where snow accumulates over winter. But the effect of spring precipitation is less intuitive, unless soil moisture was not sufficiently replenished over winter to meet plant needs.

The random-forest model obtained from the training dataset was used to model SOS in the validation dataset to determine predictive performance. It predicted SOS date at the park scale with a mean absolute error of +/– 1.7 days (Figure 3-19). When separated by alliance group, the model performed equally well (Figure 3-20).[6]

The SOS outliers in Figures 3-19 and 3-20 may have resulted from low-amplitude and monotonic increase (straight line) in SAVI in some years. This combination makes it difficult to identify meaningful SOS dates using the derivative method, which relies on change in the acceleration of growth rate in spring to determine when growth starts. Although polygon/year combinations with winter SAVI peaks were screened out of the analysis (per Norris and Walker 2020), it is not clear how SOS may be affected by the shadowing noted in pinyon-juniper and shrubland habitats by Norris and Walker. This is because the shadowing effect is partially dependent on vegetation structure and spacing, which is a continuum of conditions

[6]The analysis was conducted at the park scale, rather than by polygon (as in Section 3.10.1) to increase sample size for the random forest modeling process.

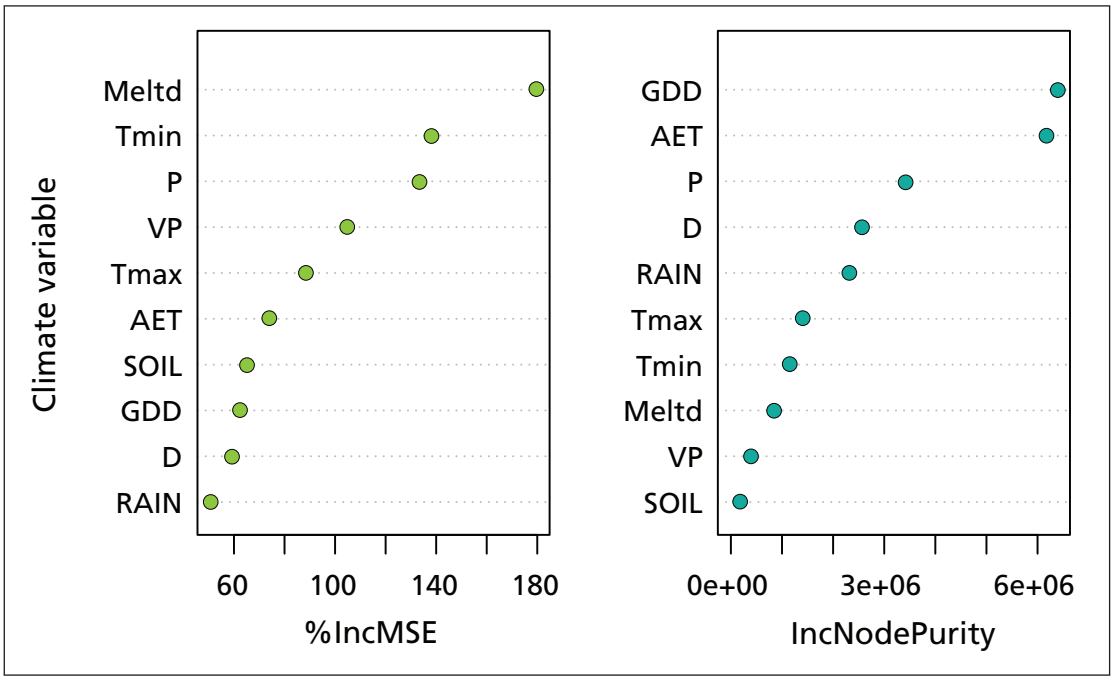


Figure 3-18. Variable importance in the random-forest model of climate drivers of the start of growing season. Percent increase in mean square error (%IncMSE) is a measure of model performance with each variable. Increase in node purity (IncNodePurity) is the difference in residual sum of squares in regression with or without each variable. See Table 2-2 for acronyms.

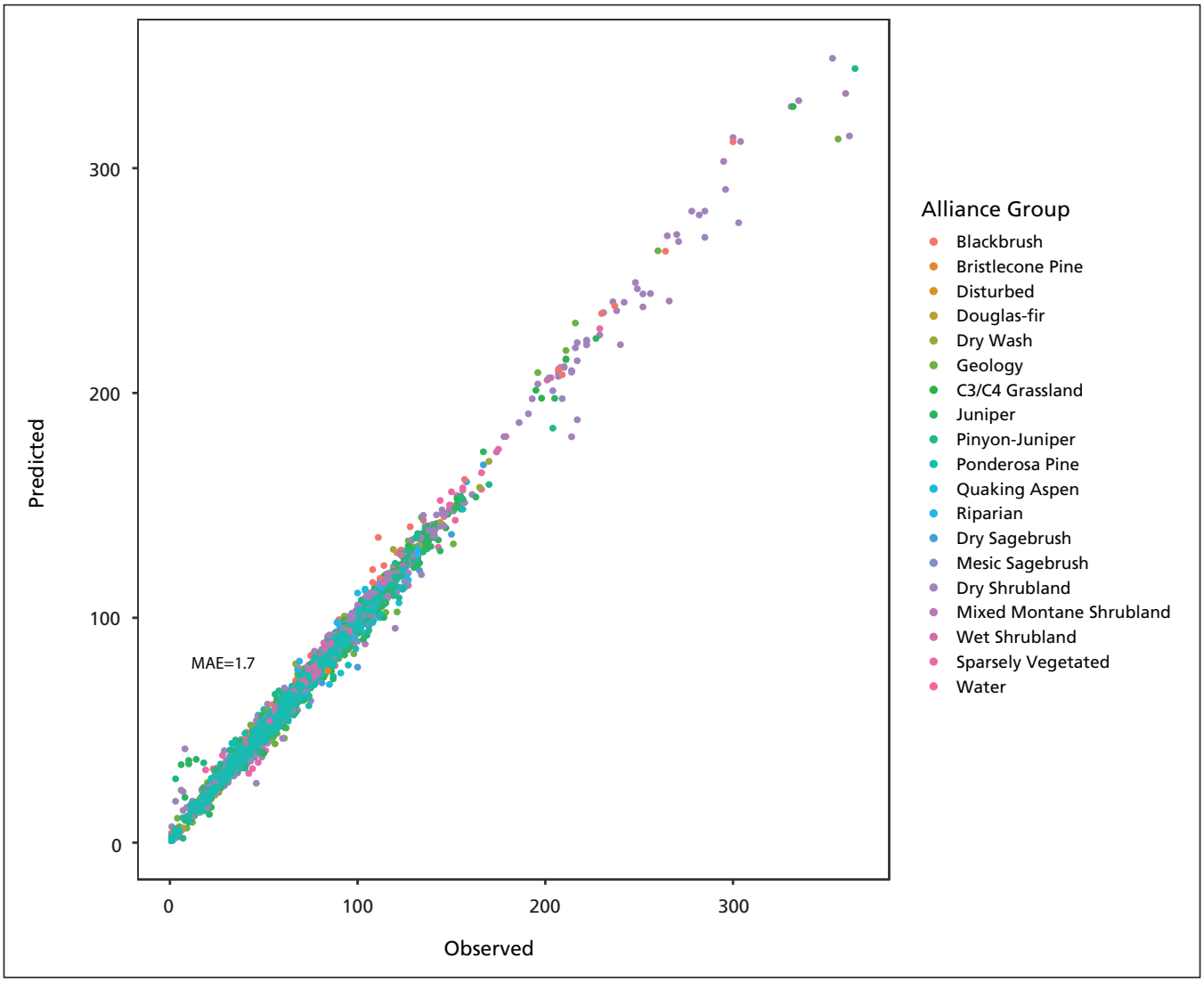


Figure 3-19. Predicted versus observed start-of-season date in the holdout dataset used for validation of the random-forests model for all polygons in Capitol Reef National Park. MAE is mean absolute error of prediction in days. Polygons with annual peaks (POP) occurring between November 1 and April 1 were removed prior to this analysis (Norris and Walker 2020).

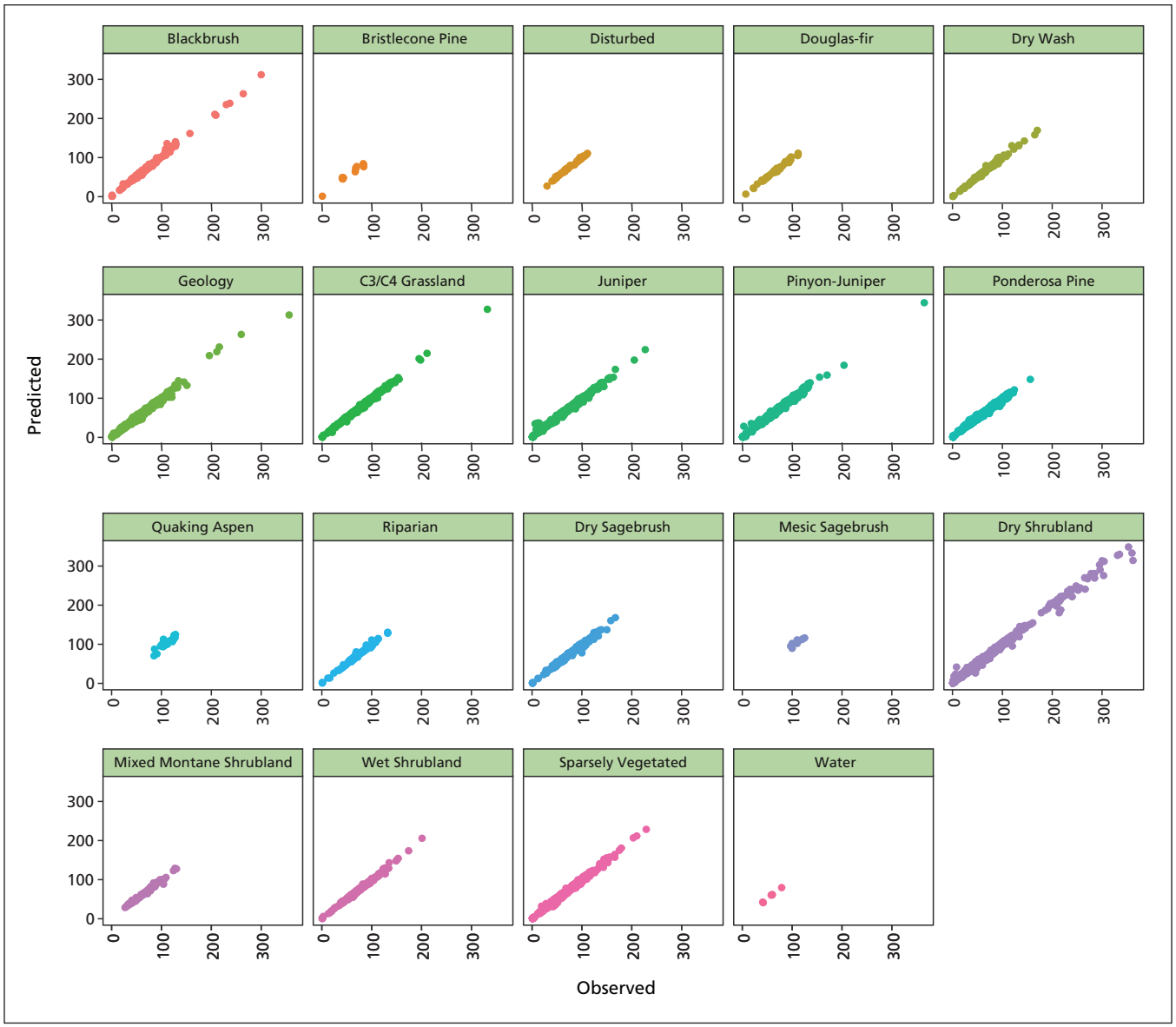


Figure 3-20. Predicted versus observed start-of-season (SOS) date in the holdout dataset used for validation of the random-forests model for alliance groups. Polygons with annual peaks occurring between November 1 and April 1 were removed from this analysis. Outliers are likely due to difficulty in discriminating SOS when SAVI changes monotonically during a growing season in low-productivity years.

across landscapes. For this reason, modeled SOS dates should be considered with skepticism in the Pinyon-Juniper, Juniper, and all shrubland alliance groups, as well as in polygons that yield outliers in the model of SOS.

Despite these potential shortcomings, the validation demonstrates a strong predictive model of SOS using climate data for 18% of the Capitol Reef NP landscape. This means climate projections could be used to model SOS dates in the future. This could be helpful in assessing potential vulnerabilities caused by phenological mismatch between pollinators and flowering species, as well as potential impacts to migrating birds and mammals, such as deer. Mule deer in some western states "surf the green wave" of vegetation as it greens up progressively across gradients of latitude and elevation (Aikens et al. 2017; Merkle et al. 2016; van Wijk et al. 2012). Presently, it is unknown if animal species in NCPN parks time their movements with SOS or the "green wave." This information could be used to help assess if animals are keyed into this land-surface process.

3.11 Climate patterns

Annual temperature, precipitation, and estimates of AET, D, and SOIL derived from the water-balance model for 1980–2019 exhibited high interannual variability (Figure 3-21

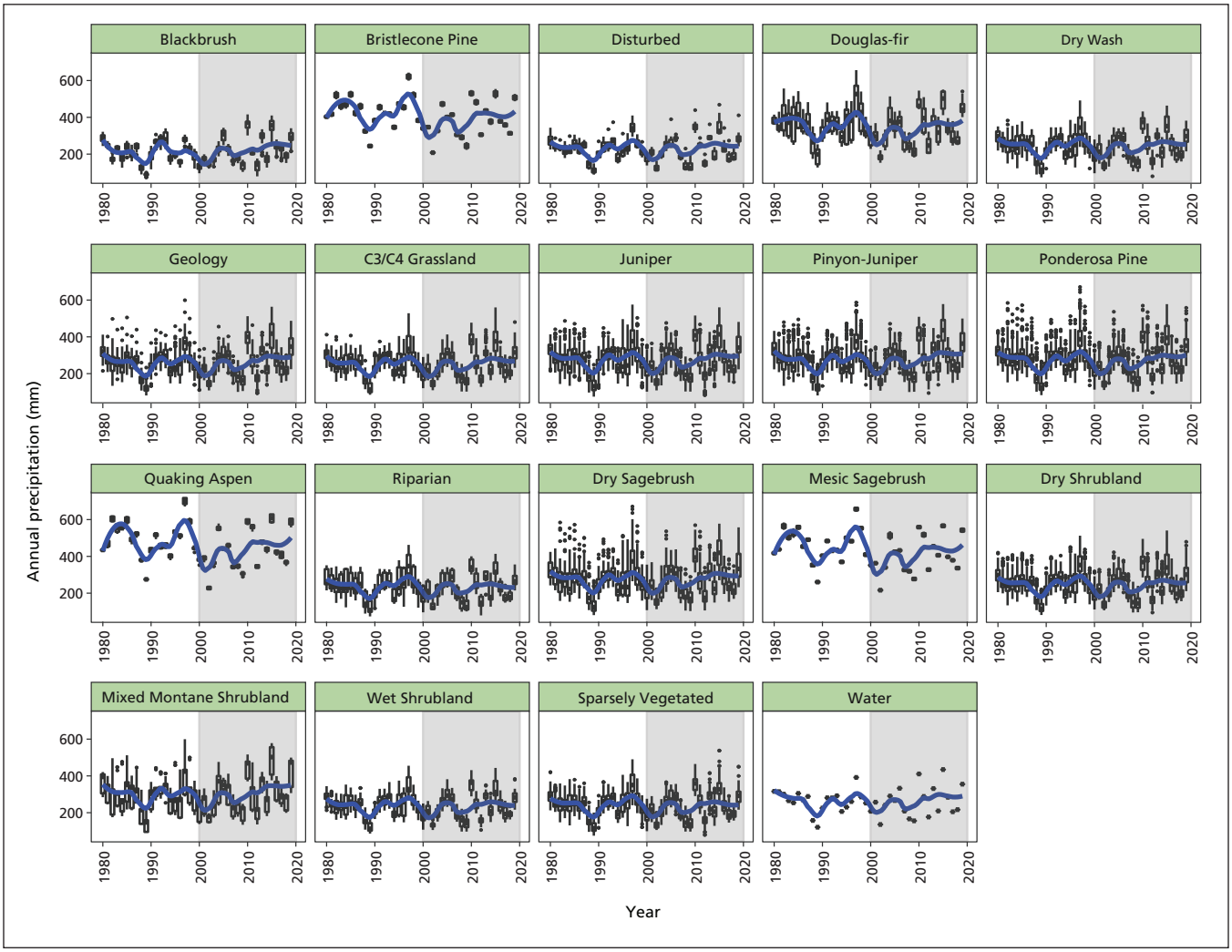


Figure 3-21. Long-term temporal patterns in precipitation in vegetation alliance groups. Box plots demonstrate the range of variation by year. The line is a loess smooth with a 25% span to illustrate multi-year patterns. Gray shaded area includes the period between 2000 and 2019, investigated for relationships between production and climate when satellite imagery and climate data were both available. Study-period trends and associated statistics for the other water-balance variables are presented in Appendix A, Figure A-6.

and Appendix A, Figure A-6). This variation caused large interannual changes in annual vegetation production.

During 2000–2019, there was a weak, though statistically insignificant (p >0.05) increase in P of 4–8 millimeters per year (Figure 3-22). Average annual temperature remained stable during the study period (see Figure 3-12 and Appendix A, Figure A-6). The increase in P resulted in a corresponding increase in AET of 2–5 millimeters per year. The effect of these seemingly small changes was important, as illustrated by the significant upward trends in production in some of the alliance groups shown in Section 3.3.

An interesting decadal oscillation in precipitation was evident: peaks in the middle of each decade since 1980 translated to similar peaks in other water-balance variables. The same pattern was apparent in SOIL, AET, and D (see Appendix A, Figure A-6), although for D the peaks (maximum drought stress) coincided with the times of least P. If the periodicity of these cycles continues in the future, it could serve as a useful guide for timing restoration efforts that require substantial planning efforts, long-term investments, and multiple years of minimal drought stress for germination and establishment.

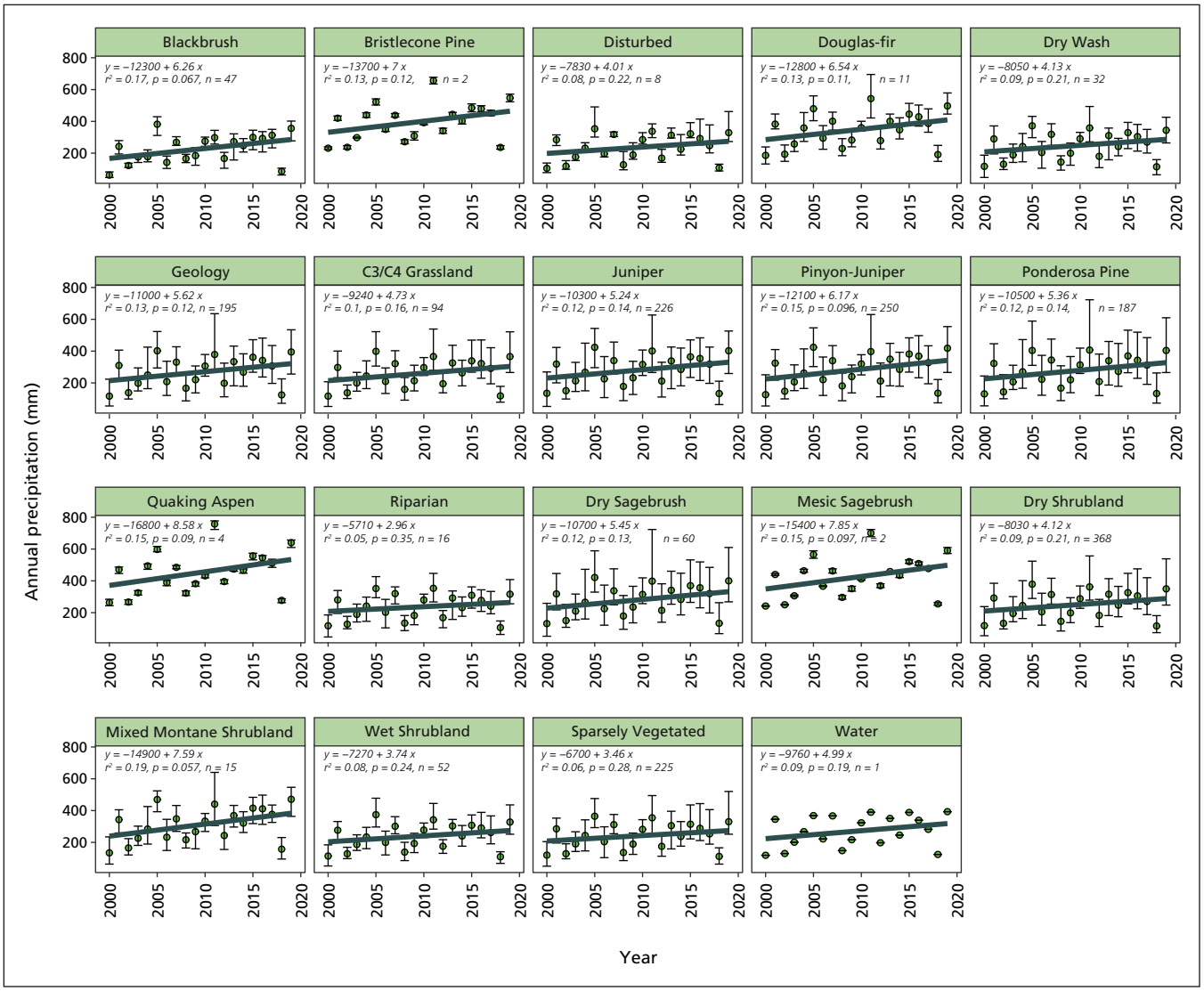


Figure 3-22. Annual precipitation trend over time, 2000–2019. Bars are standard error. y = annual precipitation (mm), x = year, r^2= coefficient of determination, p = p-value, n = number of polygons analyzed in each alliance group.

4 How to Use this Report in Climate Smart Conservation Planning

This report provides information that feeds directly into the Climate Smart Conservation Framework (Figure 4-1), the process used by the NPS Climate Change Response Program (CCRP) and other federal agencies to make climate-adaptation plans based on plausible future climate and resource responses in climate-scenario planning (NPS 2021; Stein et al. 2014). Results from this report inform Step 2, which is a vulnerability assessment that defines climate exposure, vegetation sensitivity, and vulnerability of vegetation to climate change considering inherent adaptive capacity and management actions that help reduce vulnerability (adapted from NPS 2021).

4.1 Identifying management goals

The first step in Climate Smart Conservation is to identify management goals that will inform the planning process. This is usually an iterative process in which initial goals are periodically re-evaluated based on values, vulnerability, and adaptive management. Goals may be determined via planning processes, by current threats, or by changes that have already occurred. Clearly defined goals help make the rest of the Climate Smart planning process more efficient. In addition to vulnerability and values, management goals may also consider management paradigms of resisting, accepting, or directing change (NPS 2021; Schuurman et al. 2020). The appropriate paradigm depends on the goals informed by values and vulnerability assessments. It may be necessary to implement strategies that reflect more than one paradigm to achieve goals.

4.2 Vulnerability assessment

This report advances understanding of climate as a driver of vegetation production and phenology at alliance-polygon, alliance-group, and whole-park scales at Capitol Reef NP. The historical perspective in this report provides the necessary background for understanding the range in variability of climate and vegetation response while also informing how vegetation is likely to

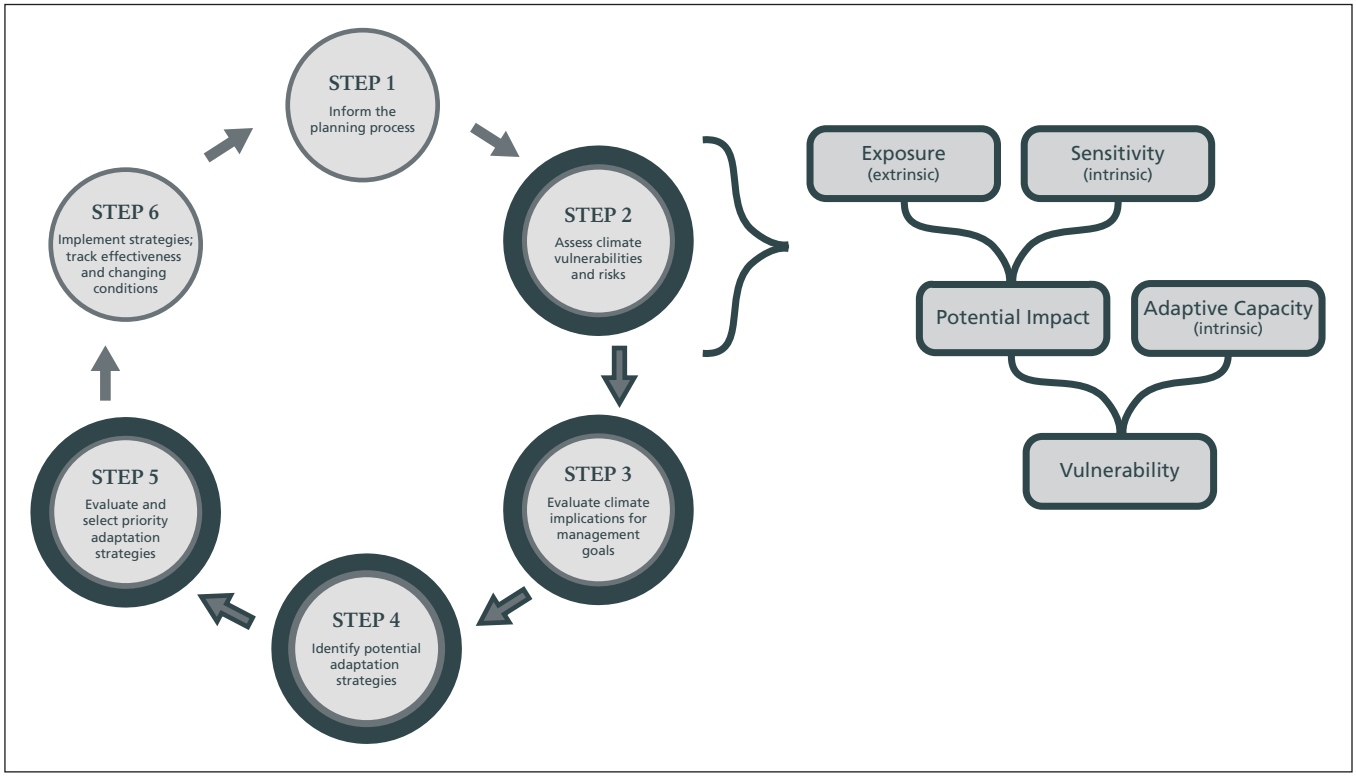


Figure 4-1. The Climate Smart Conservation framework. The concentric circles represent different scenarios that can guide the planning process.

respond to weather in the near term and climate change in the long term. Specifically, results identify how vegetation condition and sensitivity are linked to incremental changes in weather and climate. Thus, this report can be used to determine how vegetation production and phenology may change (increasing or decreasing production) if the relationships described here carry into the future. Disruption of those relationships can be caused by gradual transitions of native vegetation, exotic-species invasions, disturbance, or land-use change.

Vegetation response in this report is synonymous with the concept of climate sensitivity in Climate Smart Conservation (see Figure 4-1), which is a critical step in determining vulnerability to climate change. Sensitivity to climate determines how much, and how rapidly, vegetation production changes with climate. Sensitivity is obtained from the slope of the regression line in the relationship between interannual variation in growing season iSAVI (surrogate for change in annual production) and any one climate variable (see Figure 2-3 and Tables S-2 and S-4 in Thoma 2023).

Quantitative vulnerability assessments can help determine where, when, and which alliance groups and polygons are likely to change as vegetation responds to climate change according to its sensitivity to climate (see Figures 3-9 and 3-10). The information in this report and Tables S-2 and S-4 of Thoma (2023) is designed for use as a landscape- and polygon-scale vegetation vulnerability assessment. A vulnerability assessment evaluates climate exposure and vegetation sensitivity, and how they will likely interact in the future to affect vegetation condition. Data on historic and future exposure to many climate variables are included in this report (Appendix A, Figure A-6; Christensen et al. 2021). Pivot points and sensitivity by polygon or alliance group are summarized in maps, charts, and tables (Appendix A, Figures A-2 and A-3; Thoma 2023, Tables S-2 and S-4), as is the role of site characteristics, such as soil properties, that modify sensitivity (see Figure 3-11). Future vulnerability (see Figure 4-2) is determined by comparing different climate projections (climate scenarios in Christensen et al. 2021) to pivot points for each polygon (Table S-2 in Thoma 2023). This process quantifies how frequently production is likely to fall below average conditions. If future production consistently falls below historic average conditions, then one vegetation assemblage is likely to transition into another better-suited to the new climate conditions. The following section demonstrates how to use data in this report for a polygon-level vulnerability assessment.

4.3 Using pivot points and responses in climate-adaptation planning

The annual pivot points and responses described here are useful for understanding how different aspects of climate (water availability, water use, water need, and temperature) affect vegetation. Additionally, pivot points and responses are integrative, useful ecological variables that complement assessments of exposure and sensitivity used for climate-adaptation planning. They combine effects of temperature, precipitation, and plant traits with site characteristics into single-variable descriptions of water use (AET) and unmet water need (D) (Tercek et al. 2021) that are place-based and uniquely identified for each alliance polygon.

Water-balance pivot points and responses are well-suited for applications that benefit from the simplicity of single-variable descriptions of climate exposure (such as temperature and precipitation), but are more biophysically related to natural-resource response (Stephenson 1998; Thoma et al. 2020). The quantitative assessment of vegetation sensitivity to climate and water-balance variables on an annual basis is a good starting point for the initial phase of long-range climate-adaptation planning.

4.4 Example vulnerability assessment

Below, an example of a quantitative vulnerability assessment for Capitol Reef NP is presented using a pivot point and two future scenarios. Rather than considering all possible climate futures (currently, more than

20 scenarios are available for consideration), which can be overwhelming, two scenarios shown in Christensen and others (2021) were intentionally selected to bracket a wide range of plausible future conditions at Capitol Reef NP. These include a best-case scenario of warming and increasing precipitation, and a worst-case scenario of warming and decreasing precipitation (Figure 4-2).

In these scenarios, climate exposure at Capitol Reef NP will increase over time (Christensen et al. 2021) as declines in soil moisture and increases in water deficit play out under a warmer and wetter (best-case) and a warmer and drier (worst-case) future. This is because the rate of warming under either scenario will result in drying that will outstrip projected changes in precipitation, even for the best-case scenario. The interaction of temperature and precipitation in both scenarios will result in earlier and longer dry seasons (Christensen et al. 2021).

For illustrative purposes, a vulnerability analysis for a single Ponderosa Pine polygon in Capitol Reef NP identifies exposure (change in climate over time), drought tolerance (pivot point determined from remote sensing), and vulnerability (frequency of pivot-point exceedance) in the future. The vulnerability assessment for this polygon, located near the park's center, was made by comparing pivot points (critical water need) to future water-balance conditions at Capitol Reef NP. In this case, the annual soil-moisture pivot point for polygon CARE_9872 was 19.9 millimeters (calculated as the mean Gridmet deficit, which is the historic climate dataset appropriate for use with climate projections).

Under the warm-and-wet (best-case) scenario, annual soil moisture will remain near the historic range of variability, but multiple years of drought below the soil-moisture pivot point may exceed the adaptive capacity

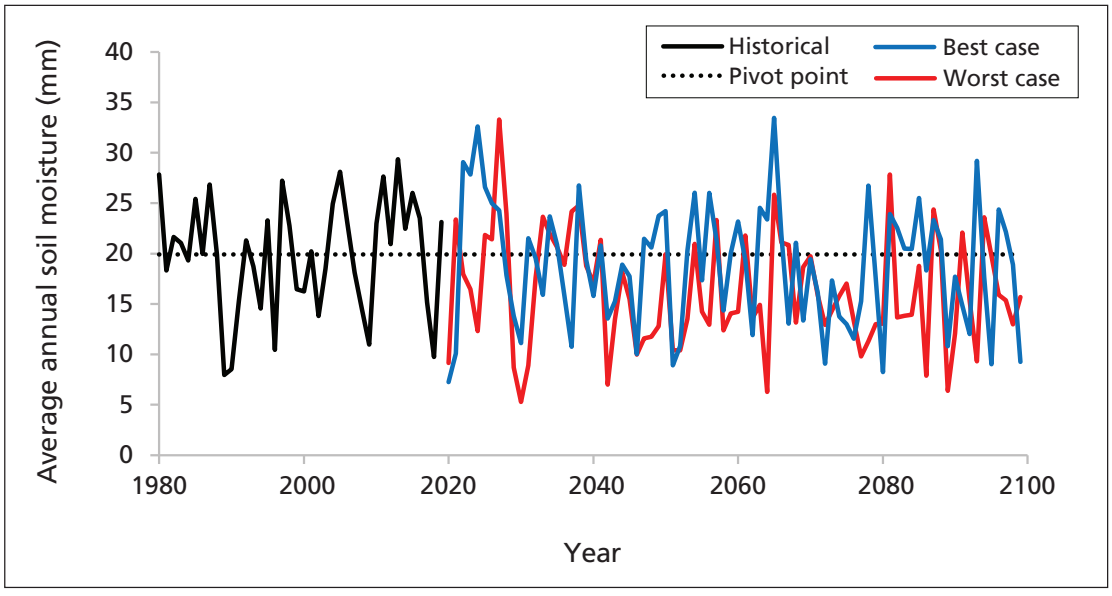


Figure 4-2. Quantitative vulnerability analysis for a single polygon. Here, the projected change in annual average soil moisture relative to the pivot point is shown for a location at the park centroid (polygon CARE_9872), which falls in a Ponderosa Pine alliance group. The deficit pivot point for this polygon was determined as 19.9 millimeters per year from the historical Gridmet climate dataset compatible with climate projections (screenedcleanedsummaries.s3-website-us-west-2.amazonaws.com). The best- and worst-case scenarios were selected from a range of many plausible futures derived from temperature and precipitation projections from the World Climate Research Program CMIP5 experiments (CMIP5; wcrp-climate.org). The temperature and precipitation data were passed through the water-balance model to generate future projections of the water- balance variables. The wetting scenario is the "best" case in this example. The projection data used to build this figure were from the climate-exposure analysis summary used to create the report in Christensen and others (2021).

of the Ponderosa Pine alliance group, leading to transition due to acute drought or fire disturbance.[7]

Under the warm-and-dry (worst-case) scenario, soil moisture will be lower than the best-case scenario in most years. This will result in more intense and prolonged drought stress that will likely make transitions happen sooner. It may also result in more dry-adapted vegetation composition than in the best-case scenario.

From this analysis, it may seem that anything is possible—from no change to complete transition—which could lead to inaction due to uncertainty. However, the greatest uncertainty in this analysis is the representative concentration pathway (RCP) (4.5/best-case or 8.5/worst-case) that models CO_2 emissions resulting from societal choices to reduce greenhouse emissions—far moreso than the uncertainty in vegetation response to climate. For this reason, the next decade will be important to determining the trajectory of exposure.

The analysis demonstrated here can be recreated to determine site-specific vulnerability for any polygon in Capitol Reef NP. Until such analyses using climate projections are quantitatively linked to pivot points in this report (as in Figure 4-2), users can gain a sense of vulnerability by sorting on pivot or slope in Tables S-3 and S-4 of Thoma (2023) to identify the most sensitive and most dry-tolerant polygons and alliance groups.

4.5 Identify and implement management actions

The importance of vulnerability assessments for understanding and managing through climate change is underscored by statements from the Intergovernmental Panel on Climate Change (IPCC). As the summary for policymakers of their recent report states,

> Global surface temperature will continue to increase until at least the mid-century under all emissions scenarios considered. Global warming of 1.5°C and 2°C will be exceeded during the 21st century unless deep reductions in carbon dioxide (CO_2) and other greenhouse gas emissions occur in the coming decades. . . . Continued global warming is projected to further intensify the global water cycle, including its variability, global monsoon precipitation and the severity of wet and dry events (IPCC 2021).

Vulnerability assessments can help identify the timing and type of management actions needed to achieve management goals in the face of an uncertain future. Additionally, they can help determine if existing actions are likely to achieve existing goals, if new management strategies are needed to achieve goals, or if management goals are unrealistic and need to be revised. After management goals and vulnerability assessments are reconciled, management options likely to achieve management goals can be identified and implemented (Steps 3–6 in Figure 4-1).

4.6 Tools to inform near-term management

Tracking weather conditions that lead up to or surpass pivot points during the growing season is a useful climate-based tool for assessing vegetation condition—but it provides an indirect assessment. Additional tools can provide real-time assessments of vegetation condition by identifying both trends in vegetation greenness and the vegetation anomaly (a comparison of current growing conditions versus past conditions). With these tools, users can iteratively identify the spatial pattern and strength of trends and where disturbance or unusual events are happening in near-real time.[8]

[7]The effect of consecutive years of below-normal soil moisture and above-average deficit was made clear by the widespread juniper mortality in southeast Utah noted by NCPN resource managers in 2019, following two years of high deficit. This drought was sufficiently long and deep to kill trees that have multiple drought-resistance mechanisms via osmotic regulation and stomatal closure (Dickman et al. 2015).

Interpreting the link between those patterns and climate requires contemporaneous climate data. Gridded climate data used in this report were created from weather-station data, typically in the year following a full year of data collected at weather stations. Weather-station data are better suited to investigations of real-time change in conditions, especially extreme events that garner interest as they happen or soon after—as was the case when park managers noted juniper mortality in southeast Utah during 2018 and 2019.

The NCPN partners with climateanalyzer.org, which makes accessing weather-station data intuitive and easy. Data from the park weather station and other sources can be used to determine how weather and vegetation condition vary together in near real-time, providing the basis for real-time condition assessments used in the tactical decisions needed to achieve long-range management goals. Care must be taken when analyzing trends from weather-station data due to missing values and outliers. Nevertheless, over the period of time analyzed in this report, the upward trend in station precipitation and no-trend in average temperature were consistent with the trends found using Daymet, the gridded climate data used in analysis for this report.

[8]More information on these tools can be obtained from the author of this report.

5 Conclusions

The US Southwest has been in an extended drought over the past 20–30 years. However, even during extended drought there can be periods of increased precipitation. This study, which began in 2000 and ended in 2019, needs to be considered in that context. In 2002, Capitol Reef NP received the second-lowest amount of annual precipitation of any year between 1980 and 2019. This initial extremely dry condition set the stage for subsequent trends in which precipitation increases (from the near-record low in 2000) resulted in increased in vegetation growth relative to conditions at the start of the study. This explains the increase in vegetation growth observed in 80% of the study area in and near Capitol Reef NP during this study.

The variation in vegetation condition across wet and dry years was evaluated to identify which alliance groups were most dry-tolerant (Blackbrush, Riparian, and Disturbed areas), and which were least dry-tolerant (Bristlecone Pine, Douglas-fir, and Mixed Montane Shrublands). Similarly, variation in climate over the study period was used to determine that Disturbed areas and Wet Shrublands were the most sensitive to precipitation, while Bristlecone and Ponderosa Pine were least sensitive (see Figure 3-9).

The climate variable most strongly correlated with vegetation production was three years of precipitation. Timing of snowmelt, growing degree days, and calendar-year precipitation were the primary determinants of green-up timing. Over the study period, the start of the growing season shifted earlier, and the length of growing season increased, likely due to warming and an increase in precipitation during the study.

The variation in weather conditions and corresponding vegetation response provide a window into the past that will inform understanding and management of vegetation that always has and will continue to respond to climate at Capitol Reef NP. Some of the findings described here, such as pivot points and sensitivity of vegetation to weather and climate, have long-term value and are unlikely to change until vegetation composition changes in the future. This is because drought tolerance and response to weather are factors inherent to the vegetation and where it grows.

However, climate is changing rapidly, and extreme weather events are becoming more frequent. The tools developed for these analyses can help National Park Service managers to anticipate and plan for climate change—and to manage change as it happens. This report demonstrates how combining satellite observations of vegetation condition with climate data can help determine the right time and place for action needed in the near term to help achieve long-range management goals for stewarding park resources through continuous change.

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Appendix A. Supplemental Figures for Landscape Phenology, Vegetation Condition, and Relations with Climate at Colorado National Monument, 2000–2019

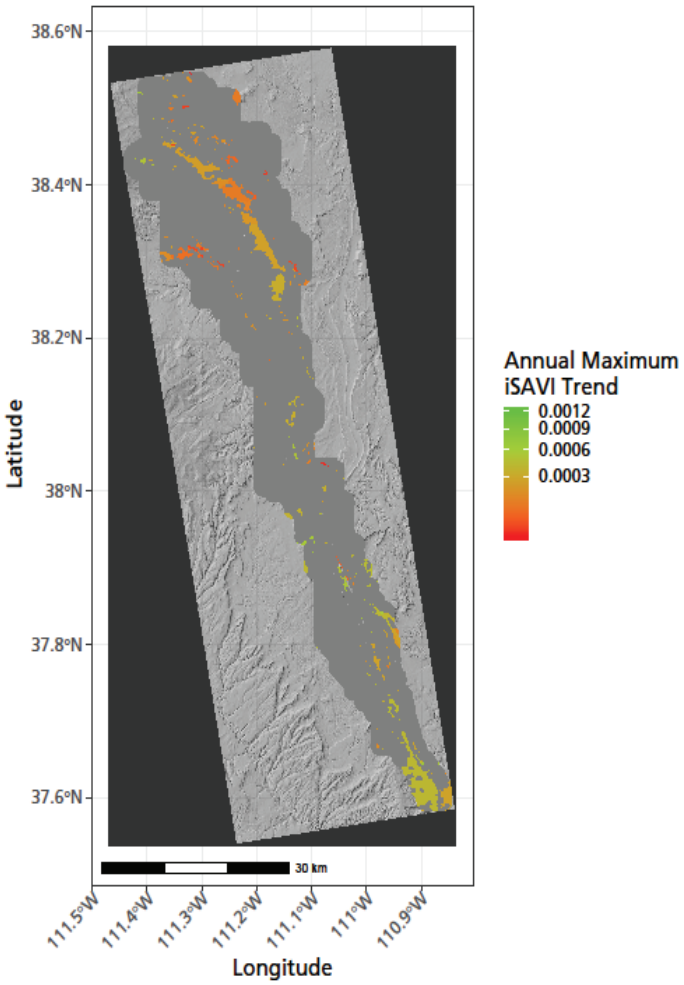


Figure A-1. Spatial pattern of trends in maximum annual iSAVI. Trend is average change in iSAVI per year for the period 2000-2019.

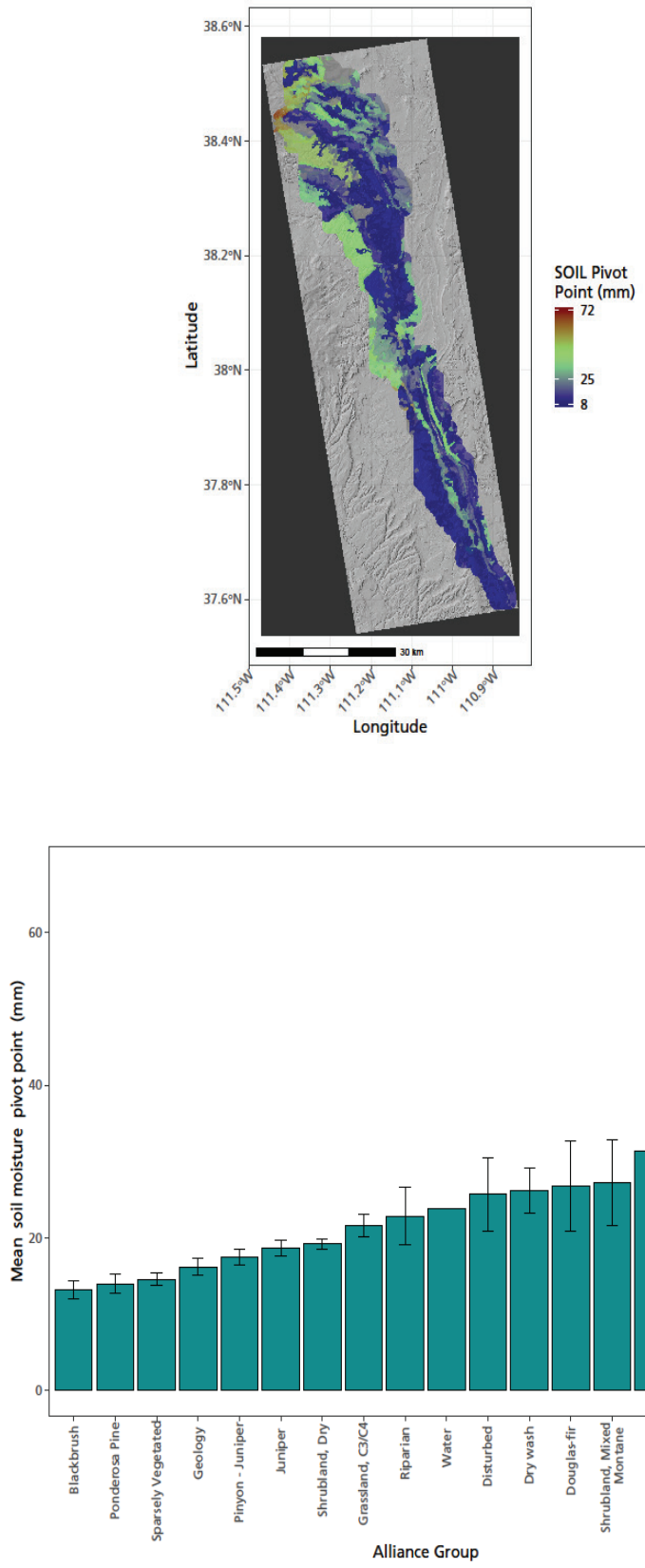
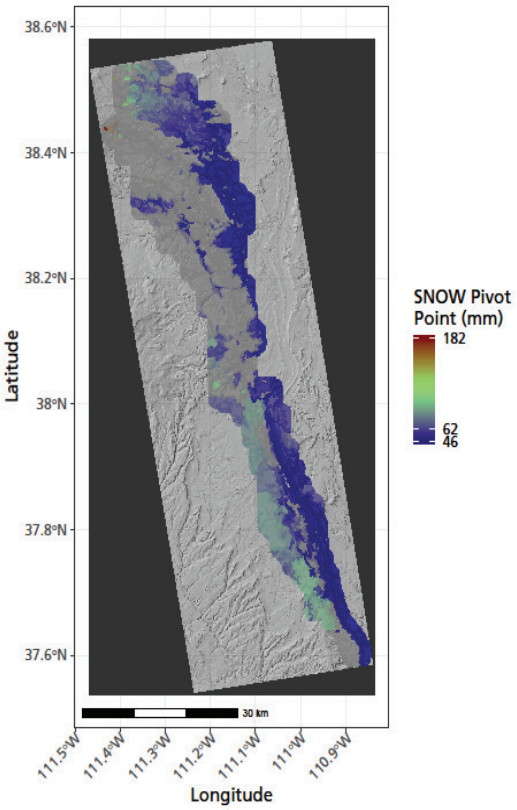


Figure A-2a. Pivot points for polygons where climate variables were significantly related to interannual variation in production (p-value < 0.05). Climate data summarized by water year.



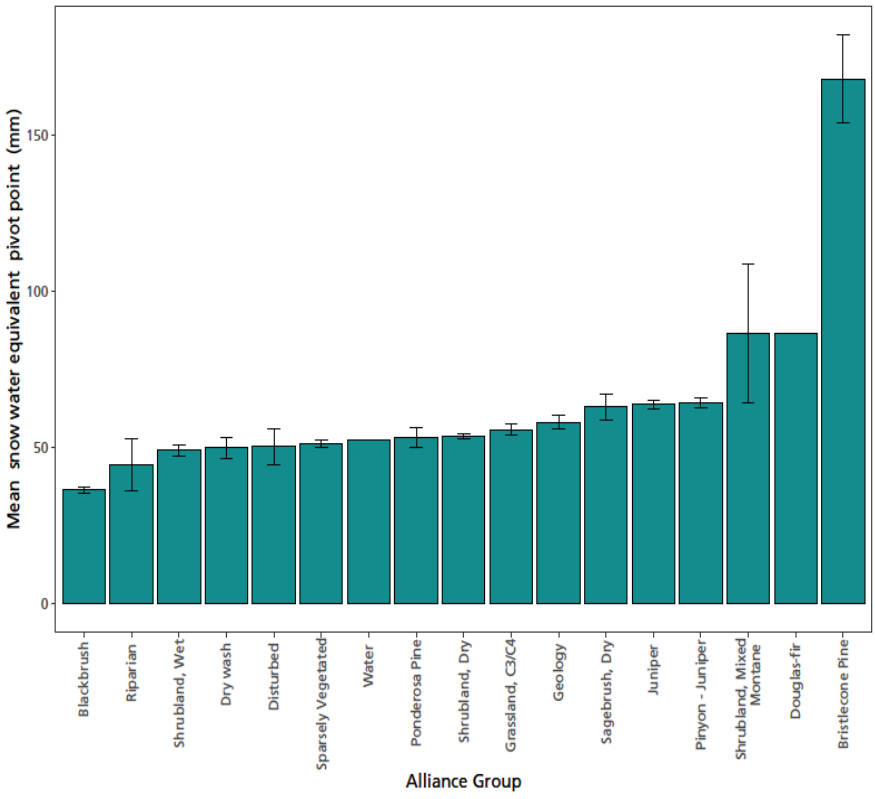


Figure A-2b. Pivot points for polygons where climate variables were significantly related to interannual variation in production (p-value < 0.05). Climate data summarized by water year.

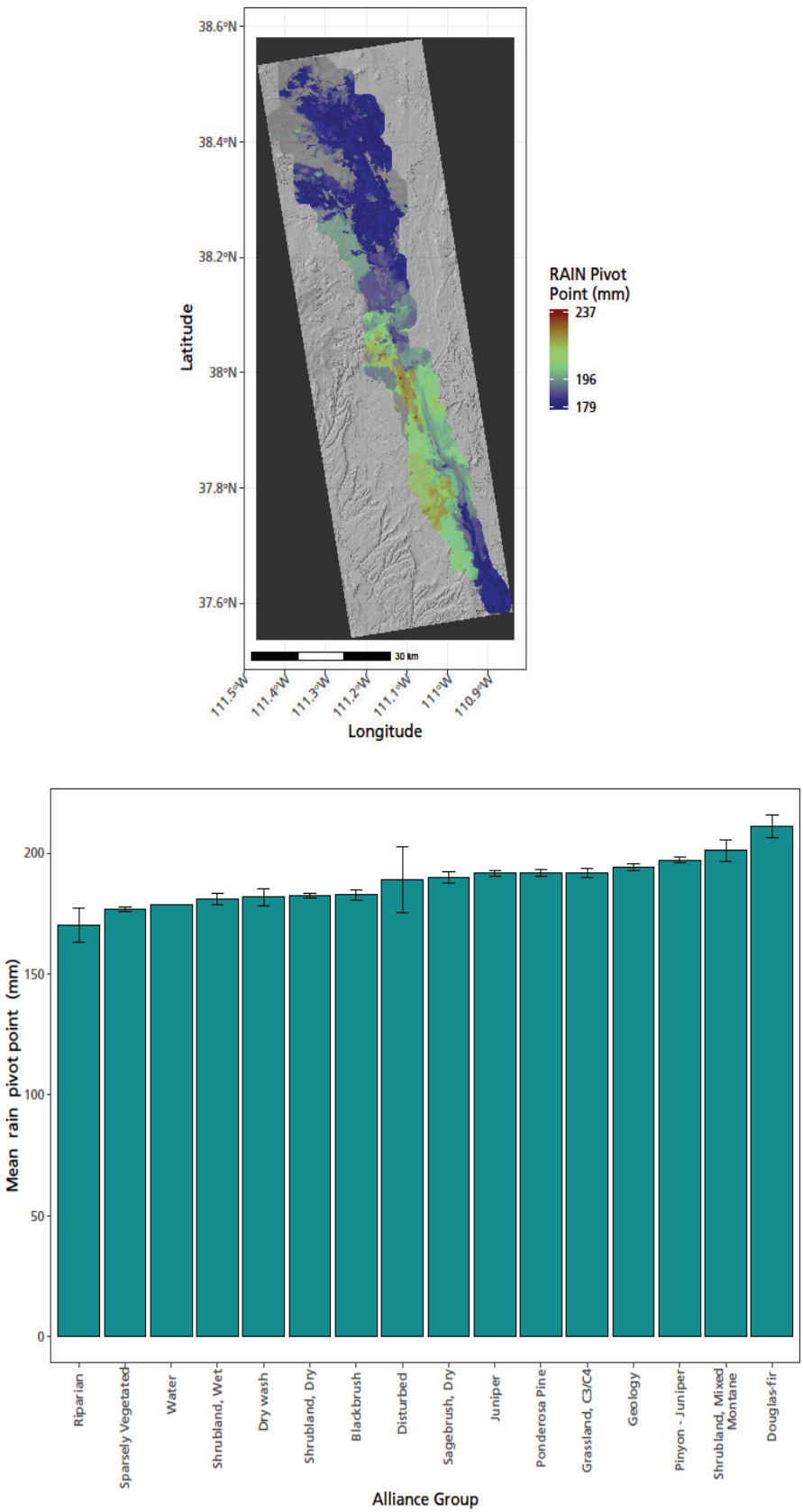


Figure A-2c. Pivot points for polygons where climate variables were significantly related to interannual variation in production (p-value < 0.05). Climate data summarized by water year.

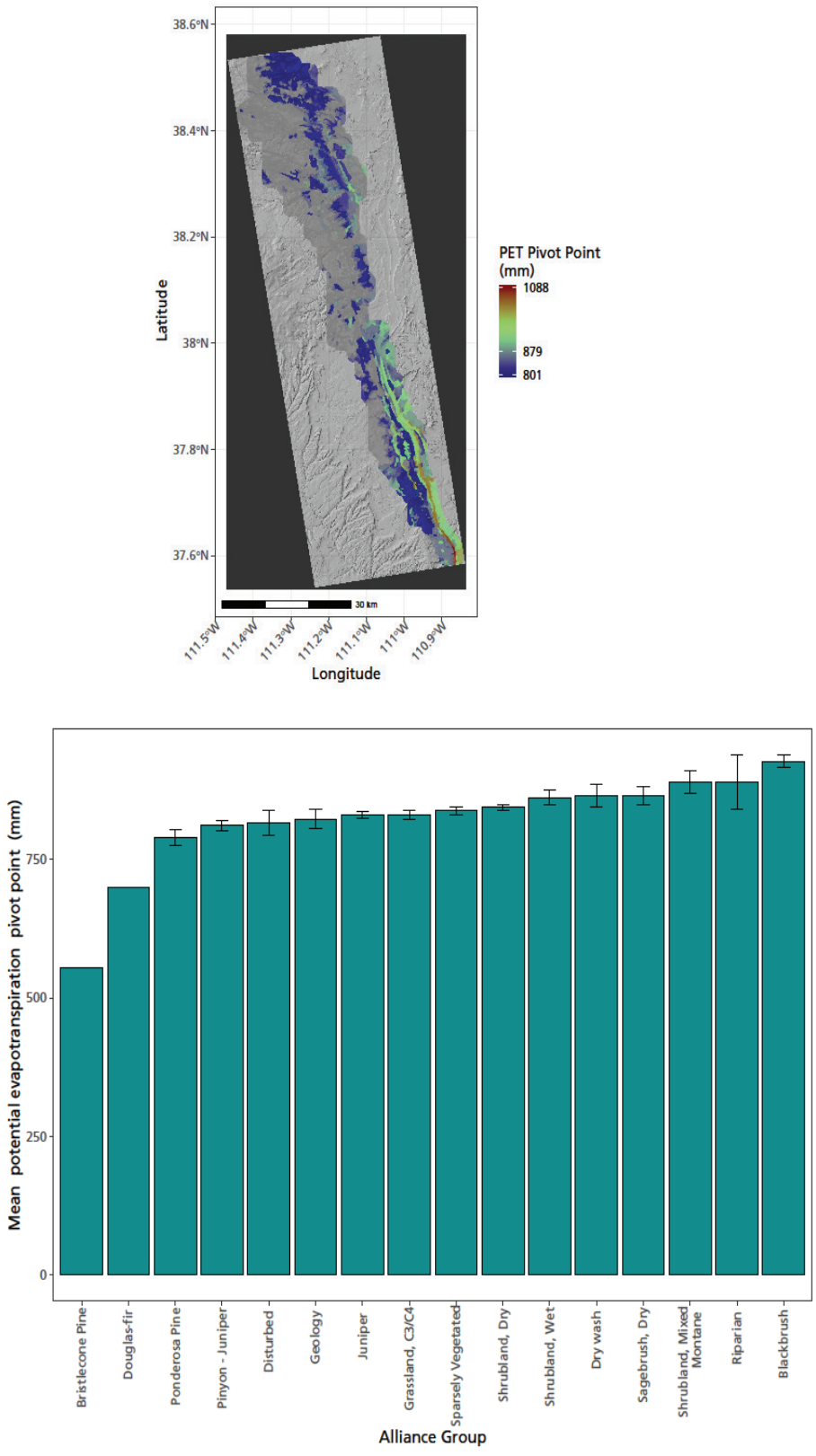


Figure A-2d. Pivot points for polygons where climate variables were significantly related to interannual variation in production (p-value < 0.05). Climate data summarized by water year.

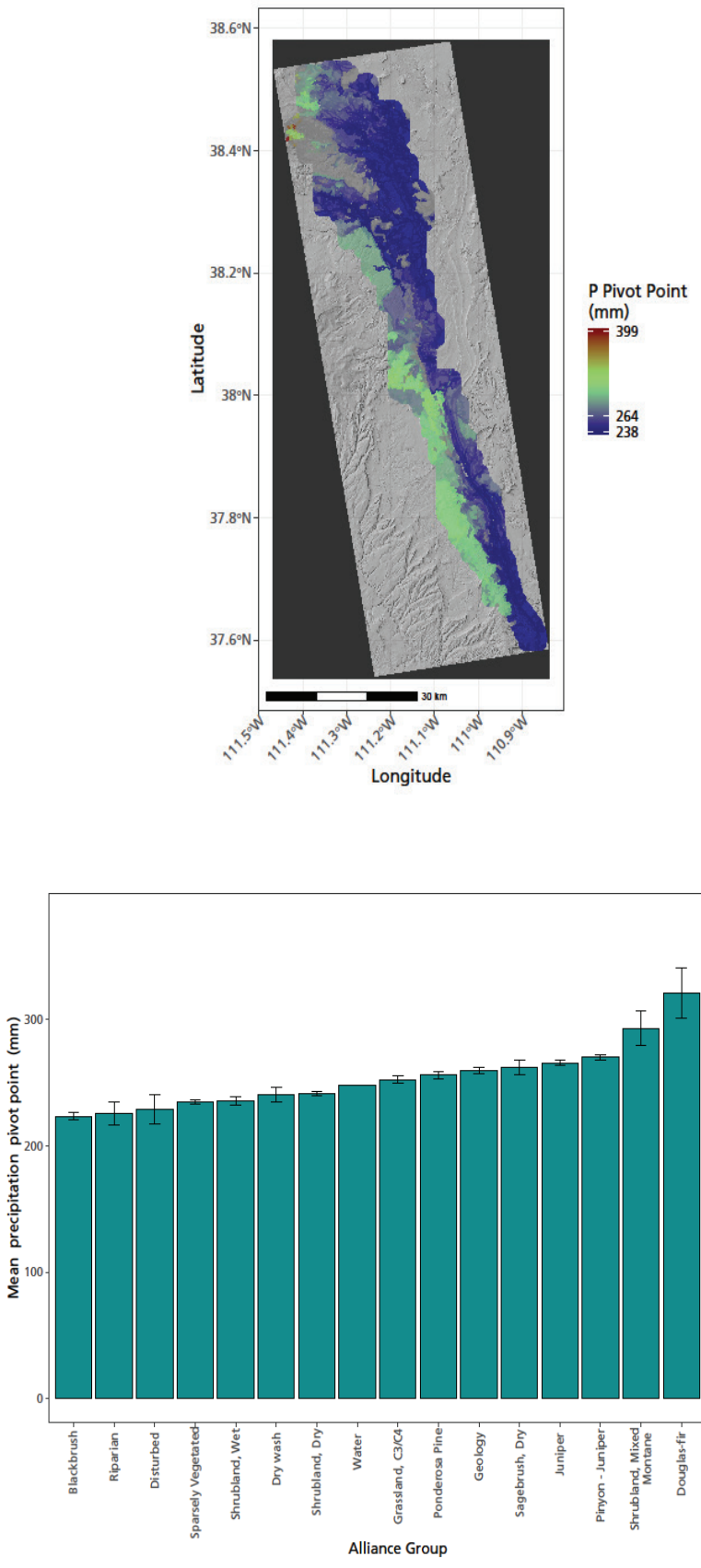


Figure A-2e. Pivot points for polygons where climate variables were significantly related to interannual variation in production (p-value < 0.05). Climate data summarized by water year.

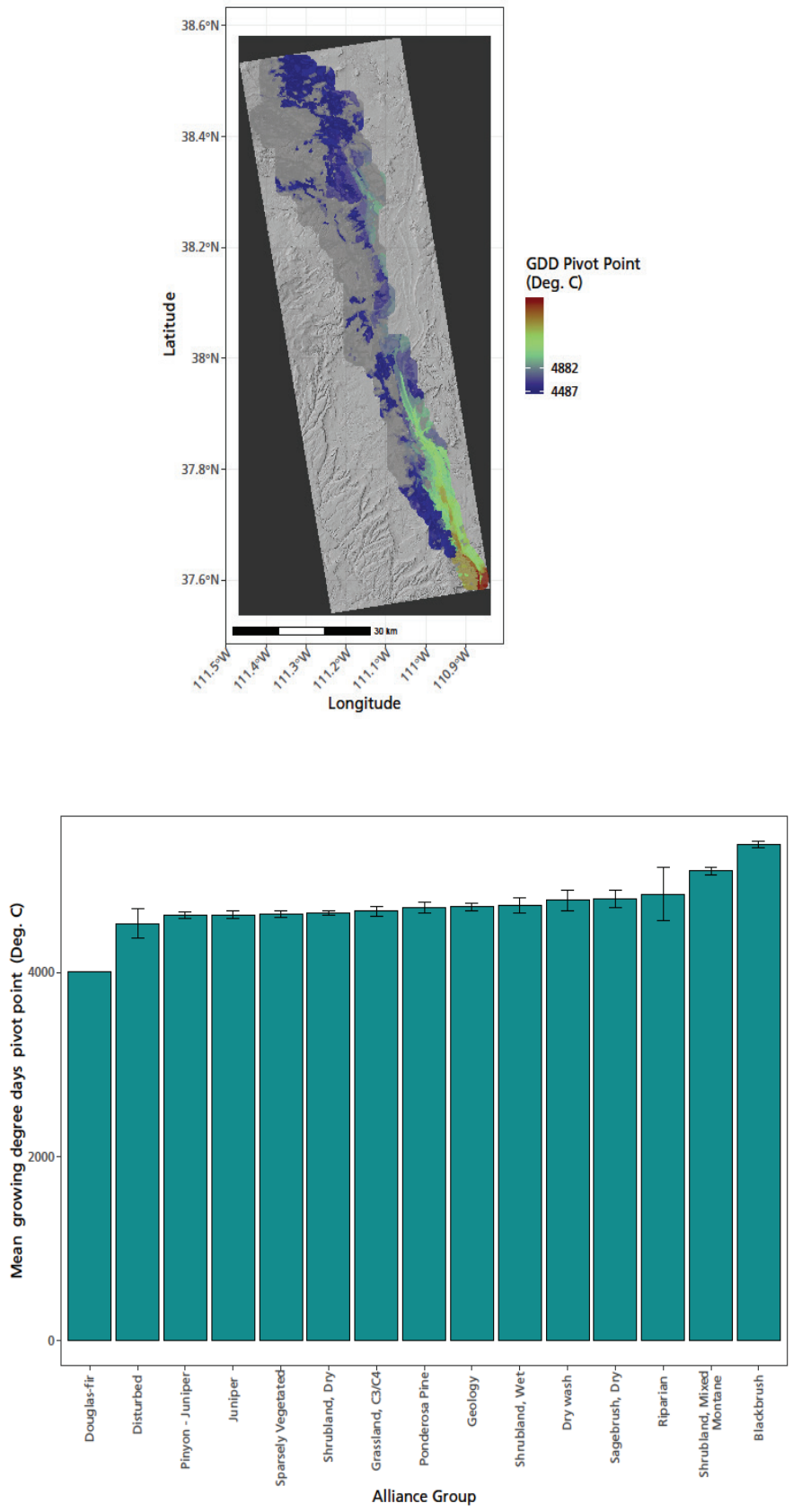


Figure A-2f. Pivot points for polygons where climate variables were significantly related to interannual variation in production (p-value < 0.05). Climate data summarized by water year.

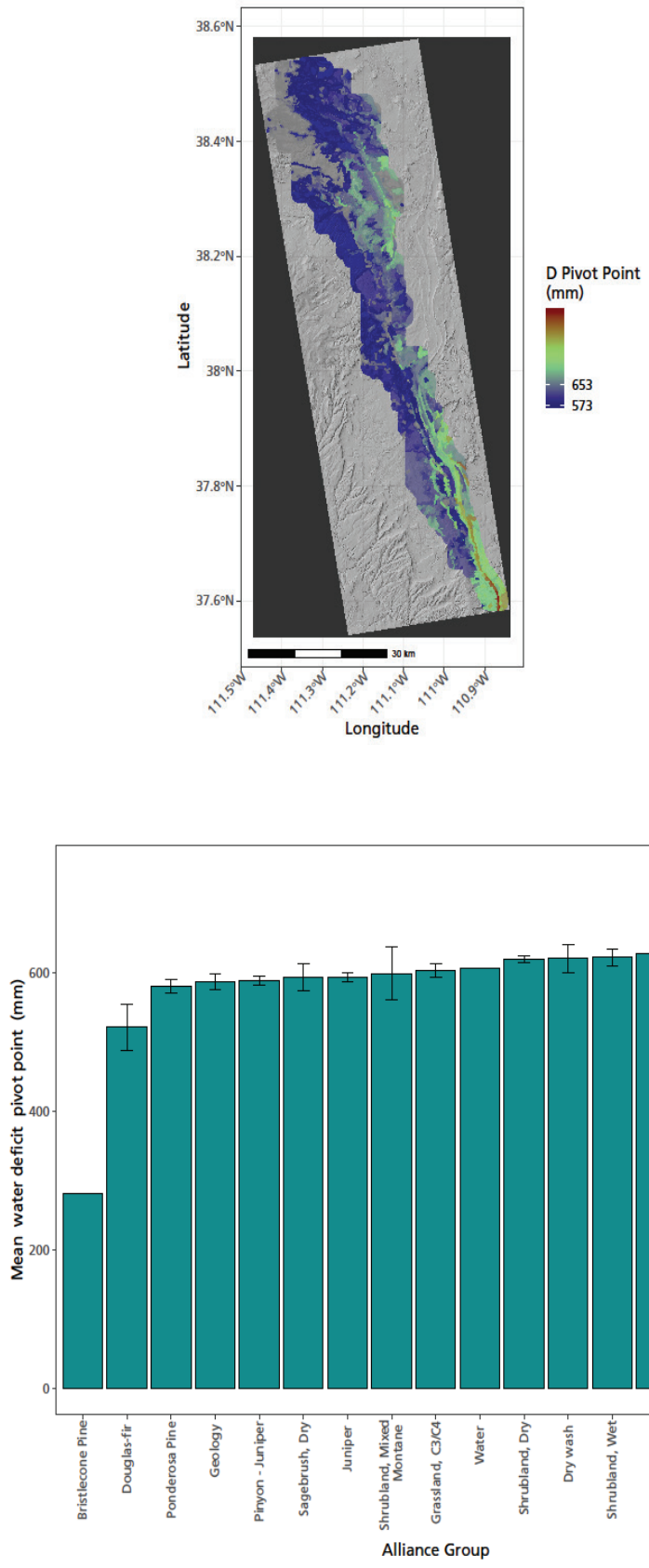


Figure A-2g. Pivot points for polygons where climate variables were significantly related to interannual variation in production (p-value < 0.05). Climate data summarized by water year.

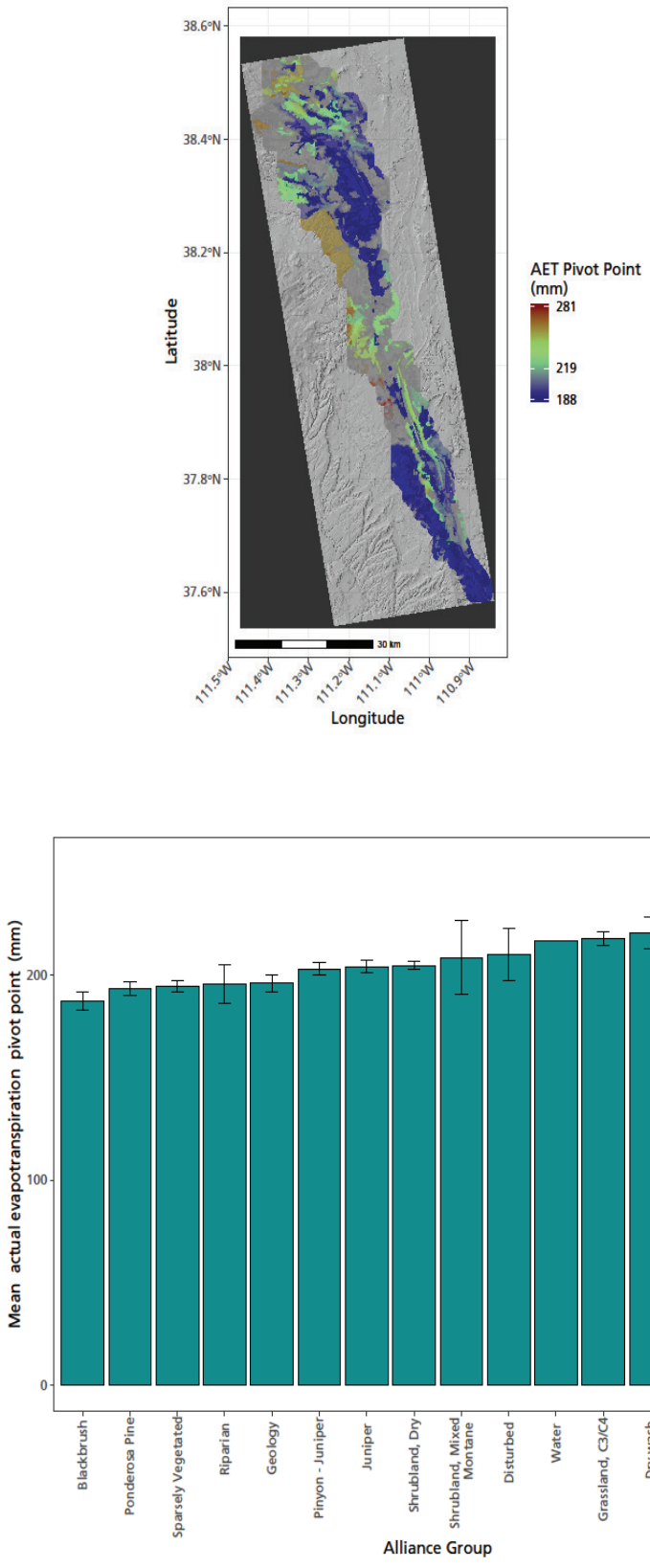
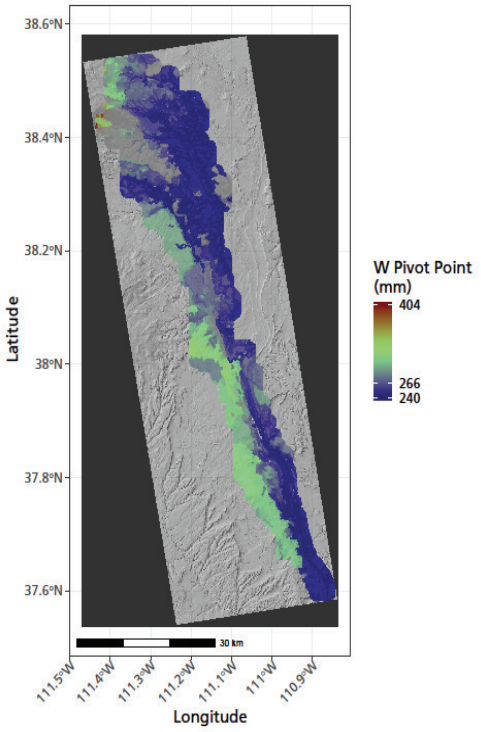


Figure A-2h. Pivot points for polygons where climate variables were significantly related to interannual variation in production (p-value < 0.05). Climate data summarized by water year.



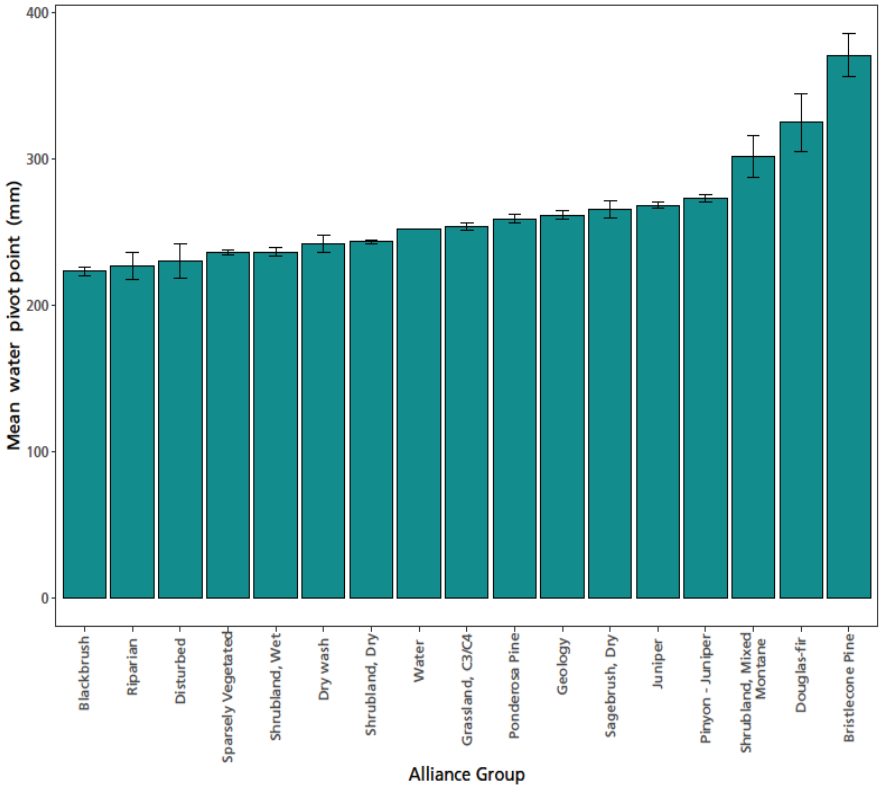


Figure A-2i. Pivot points for polygons where climate variables were significantly related to interannual variation in production (p-value < 0.05). Climate data summarized by water year.

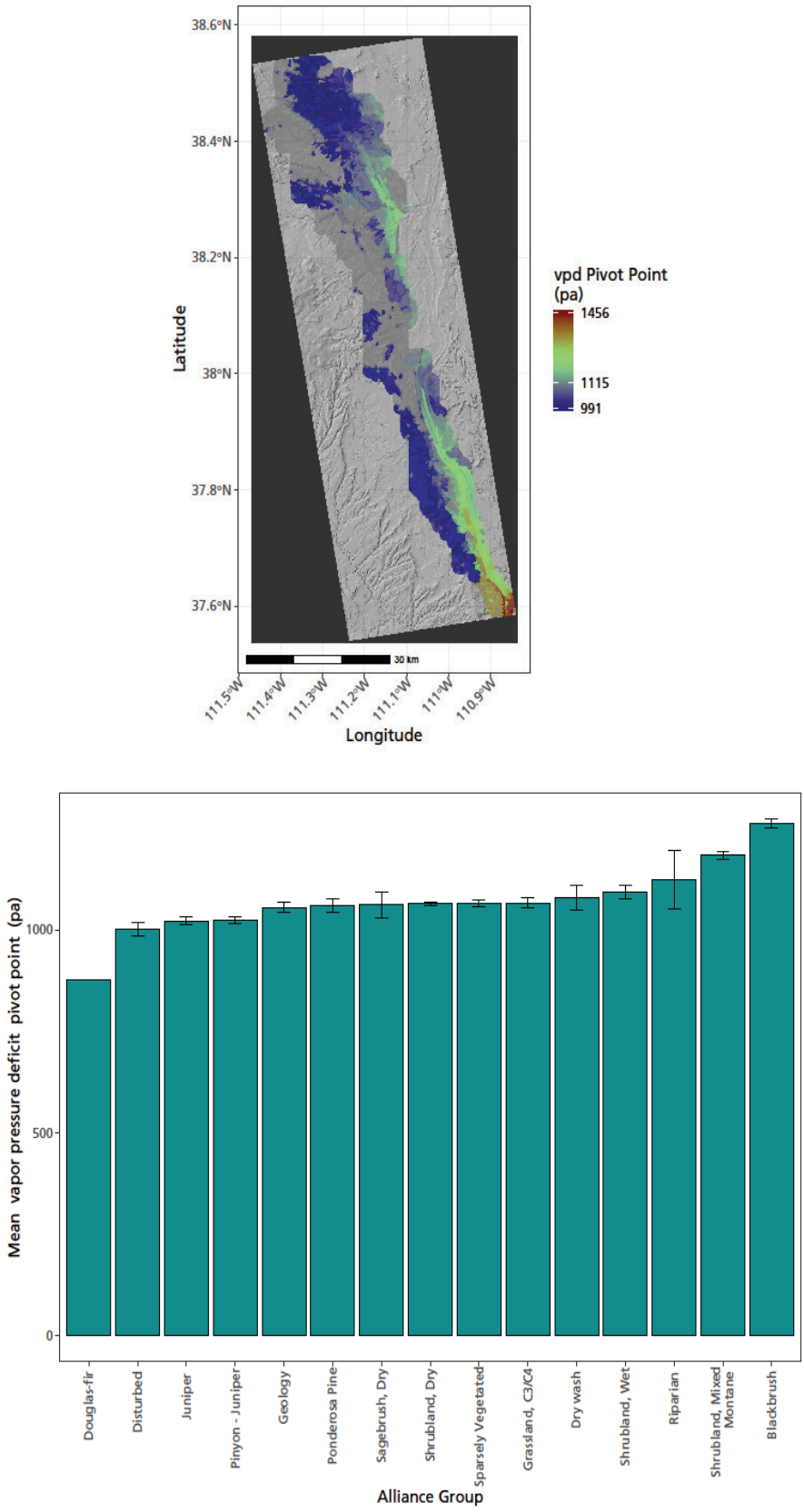


Figure A-2j. Pivot points for polygons where climate variables were significantly related to interannual variation in production (p-value < 0.05). Climate data summarized by water year.

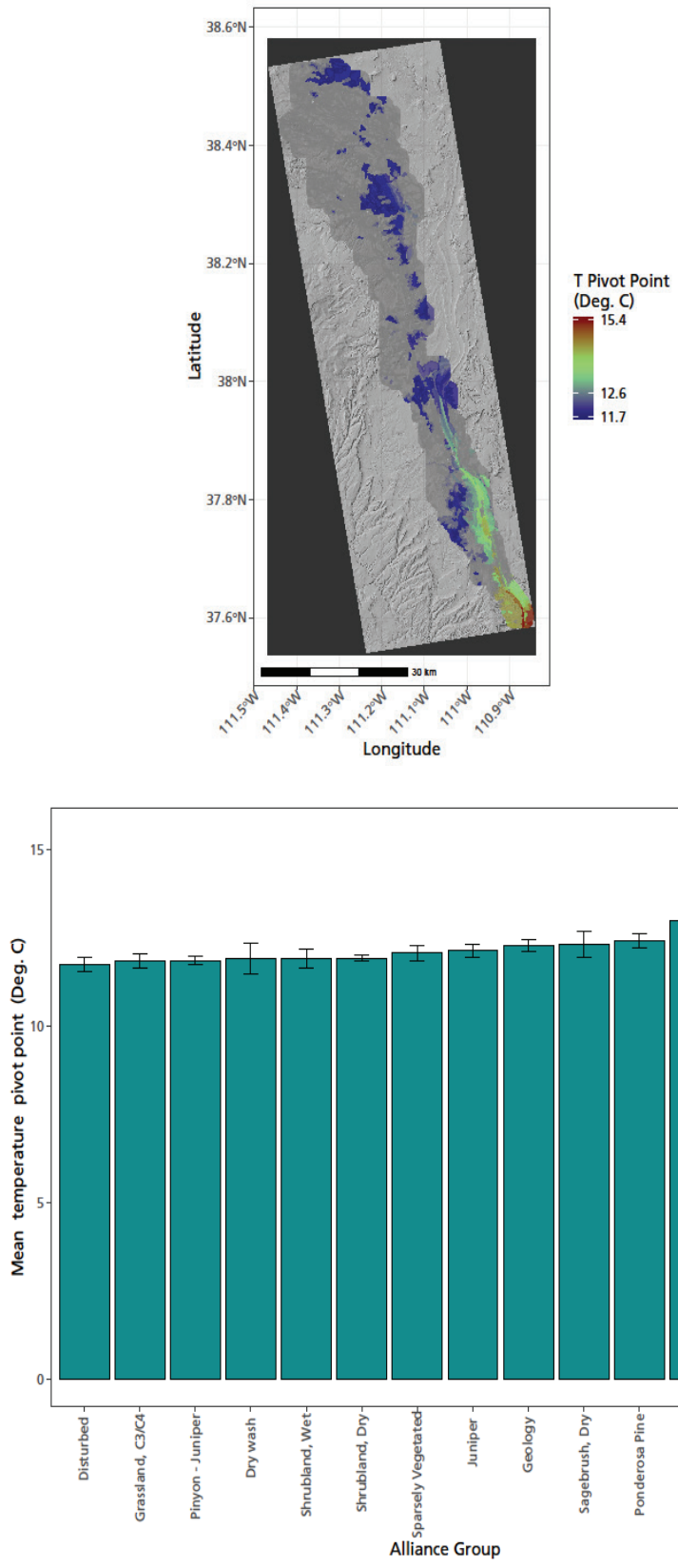


Figure A-2k. Pivot points for polygons where climate variables were significantly related to interannual variation in production (p-value < 0.05). Climate data summarized by water year.

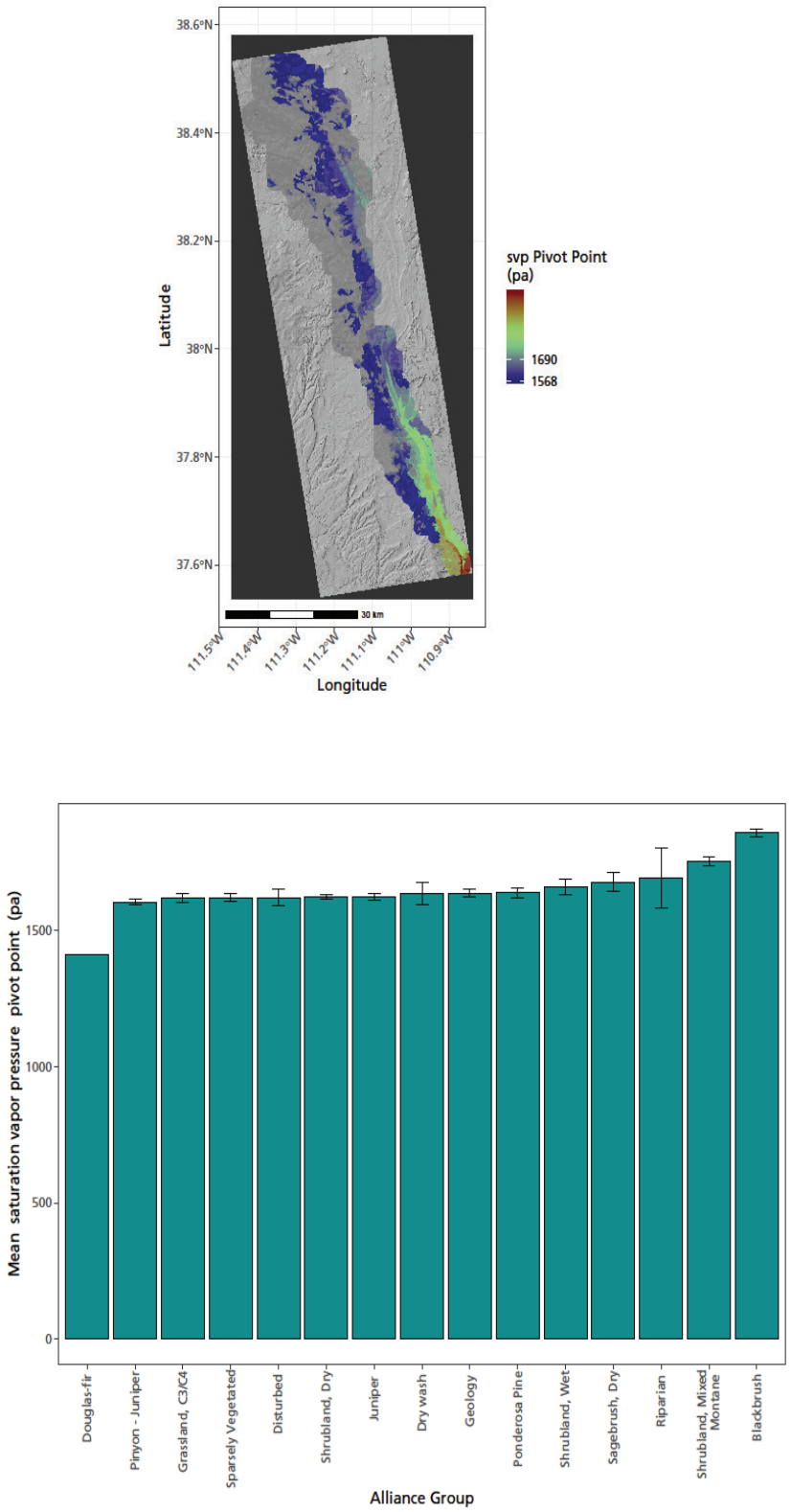
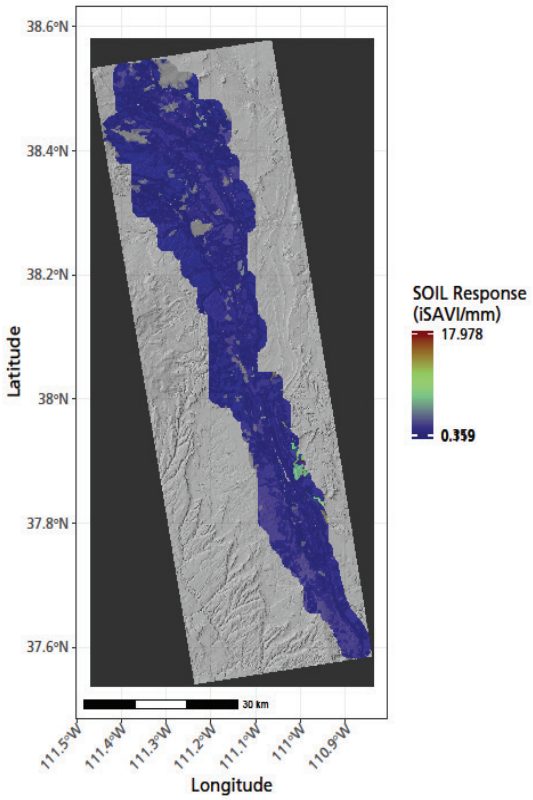


Figure A-2l. Pivot points for polygons where climate variables were significantly related to interannual variation in production (p-value < 0.05). Climate data summarized by water year.



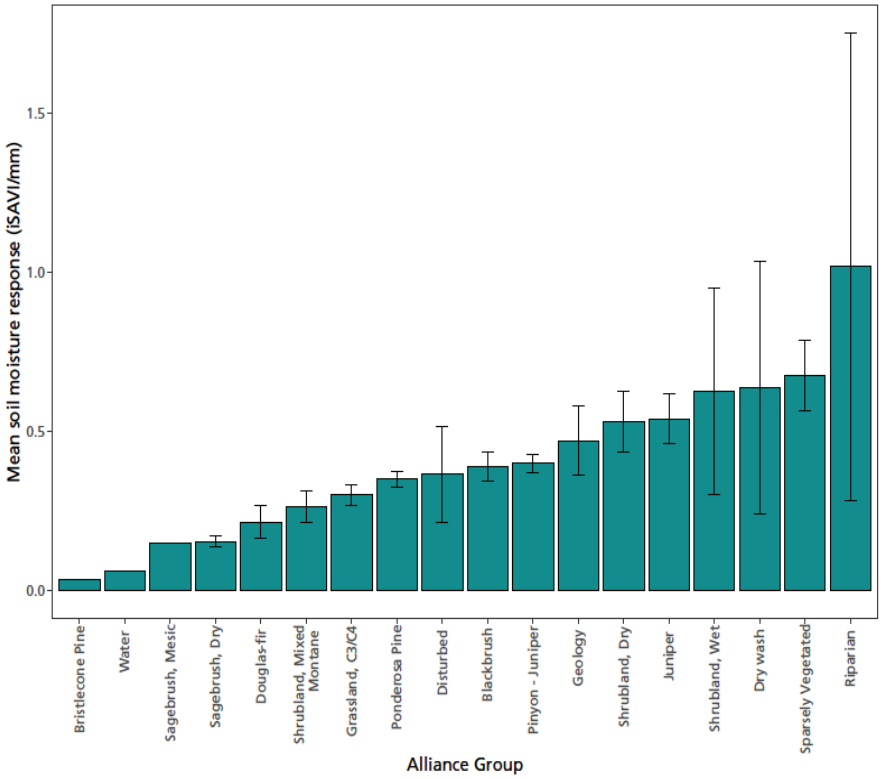
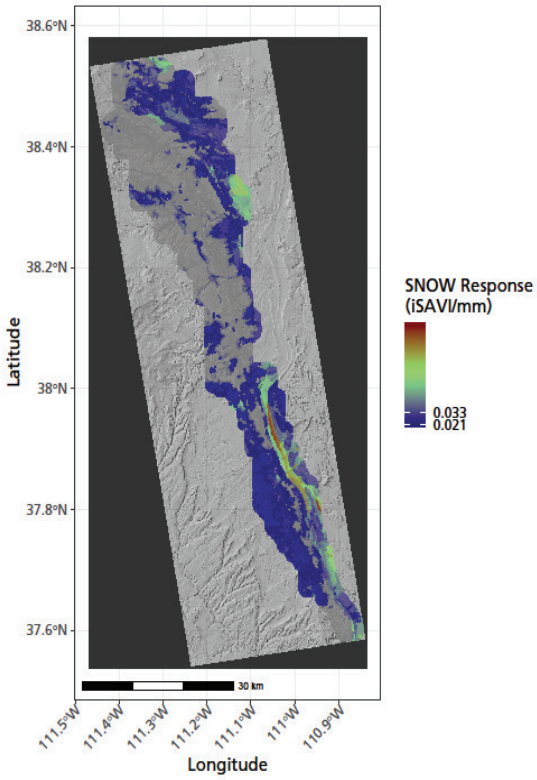


Figure A-3a. Sensitivity of growing season vegetation production to climate variables, where the relationship was significant (p-value < 0.05). Climate data summarized by water year.



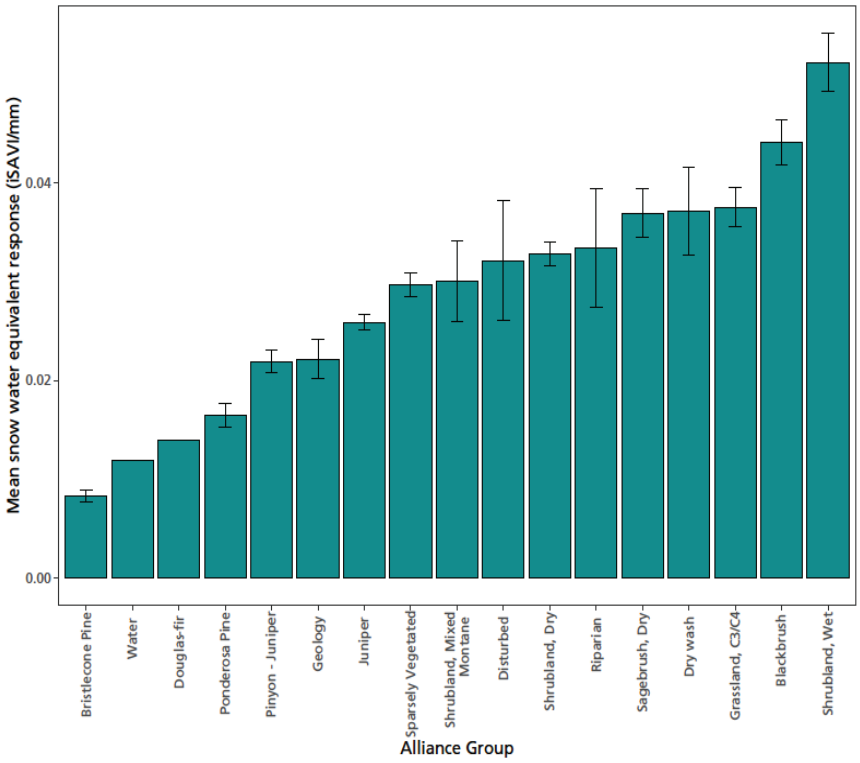


Figure A-3b. Sensitivity of growing season vegetation production to climate variables, where the relationship was significant (p-value < 0.05). Climate data summarized by water year.

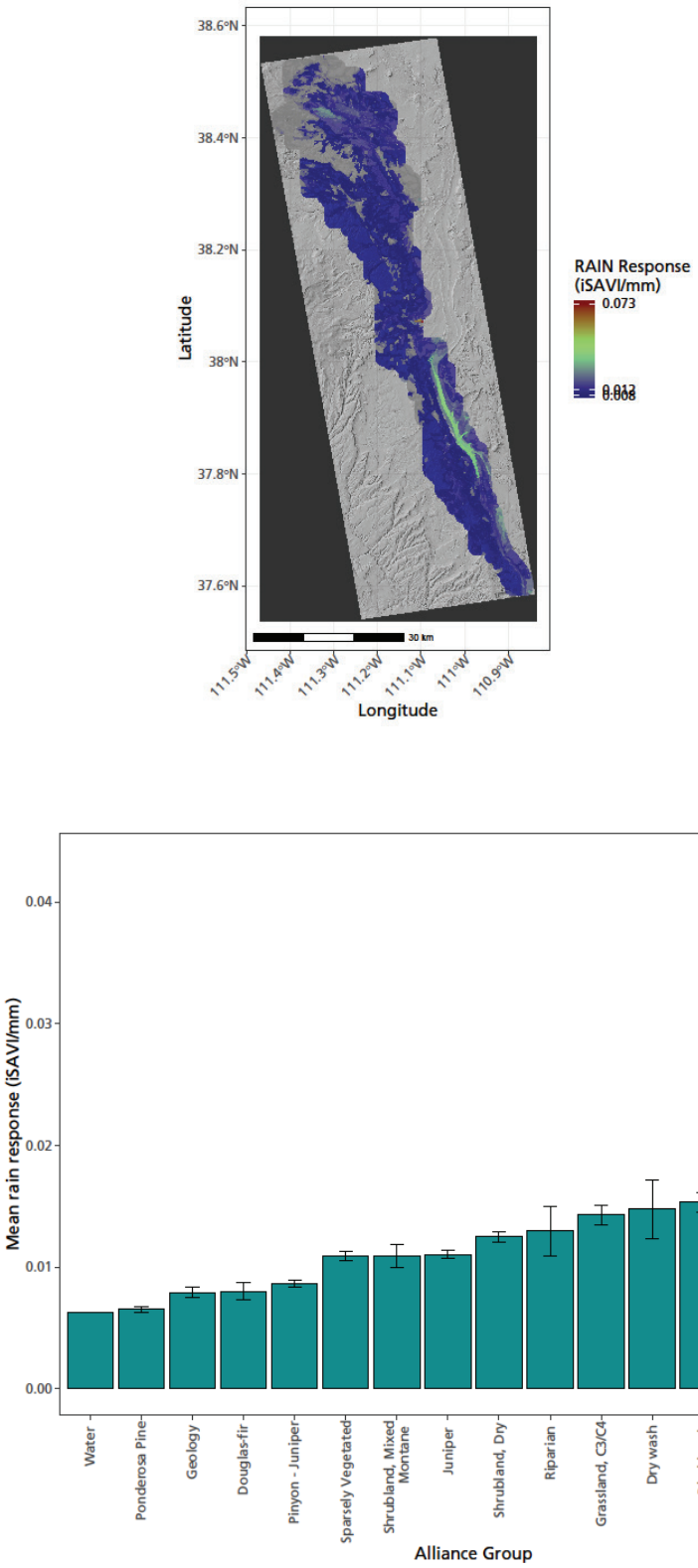
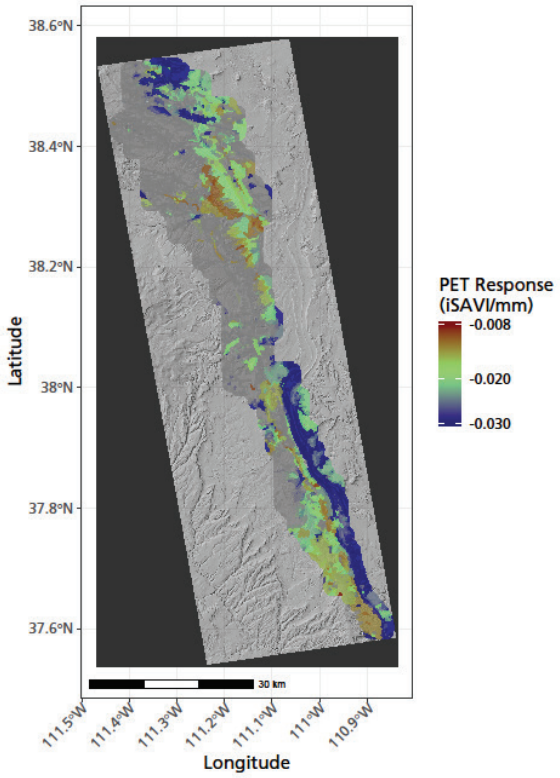


Figure A-3c. Sensitivity of growing season vegetation production to climate variables, where the relationship was significant (p-value < 0.05). Climate data summarized by water year.



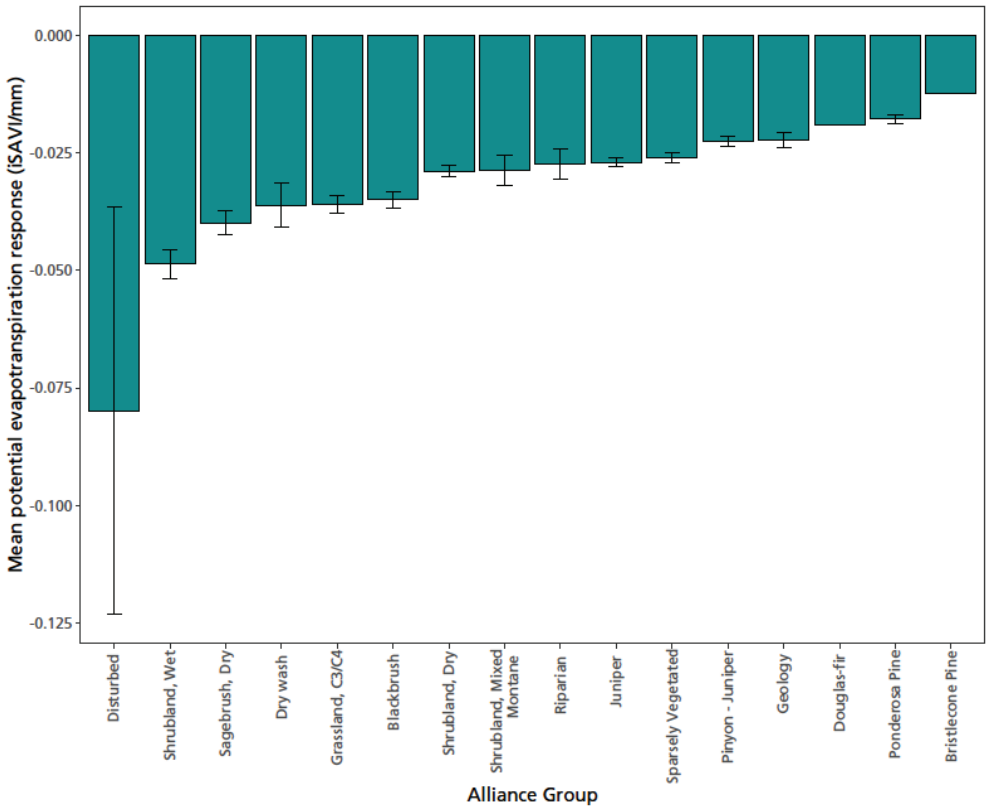
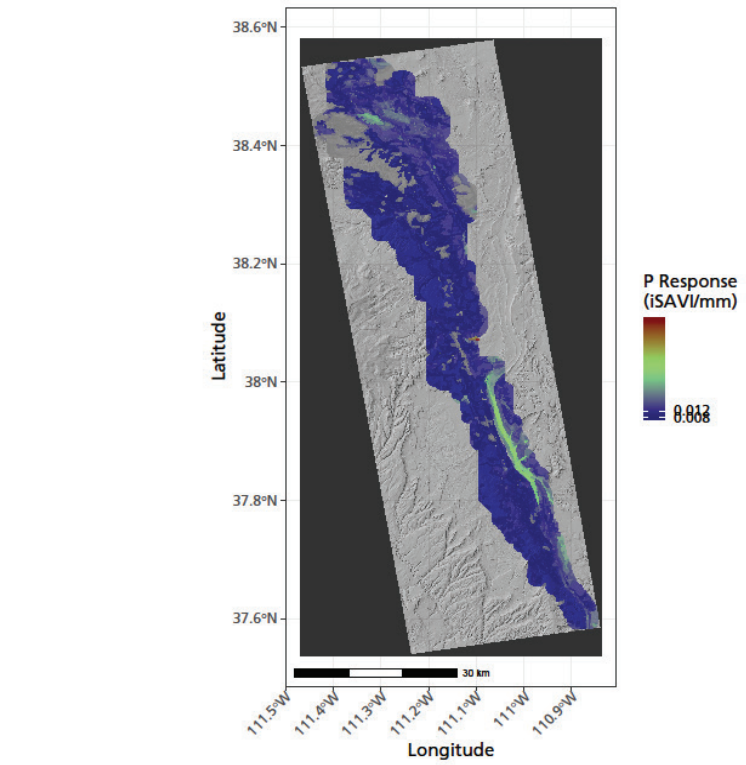


Figure A-3d. Sensitivity of growing season vegetation production to climate variables, where the relationship was significant (p-value < 0.05). Climate data summarized by water year.



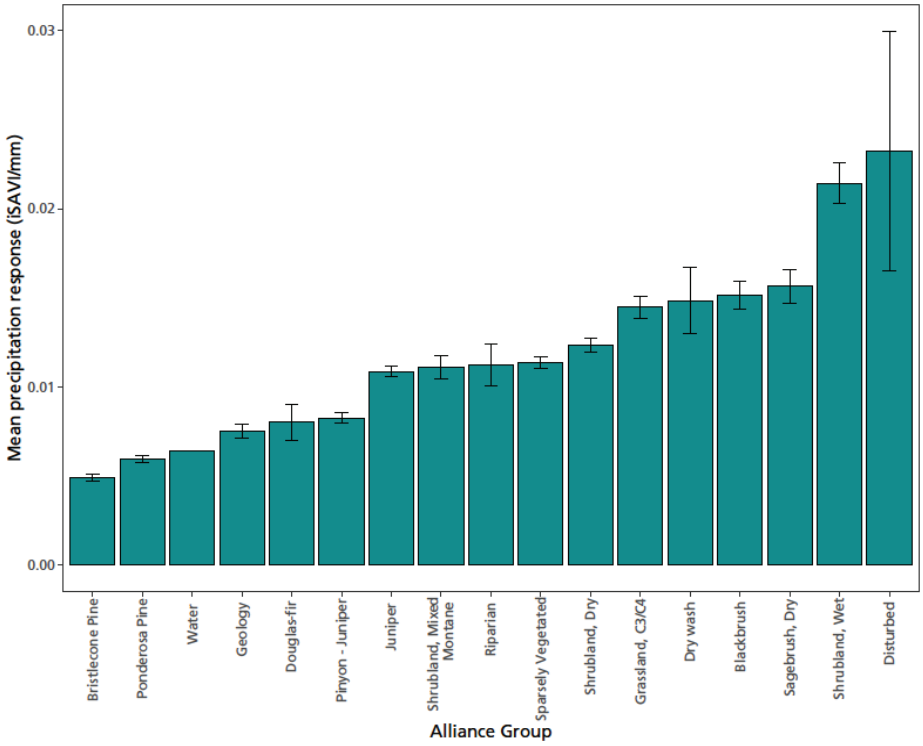


Figure A-3e. Sensitivity of growing season vegetation production to soil moisture where the relationship was significant (p-value < 0.05). Climate data summarized by water year.

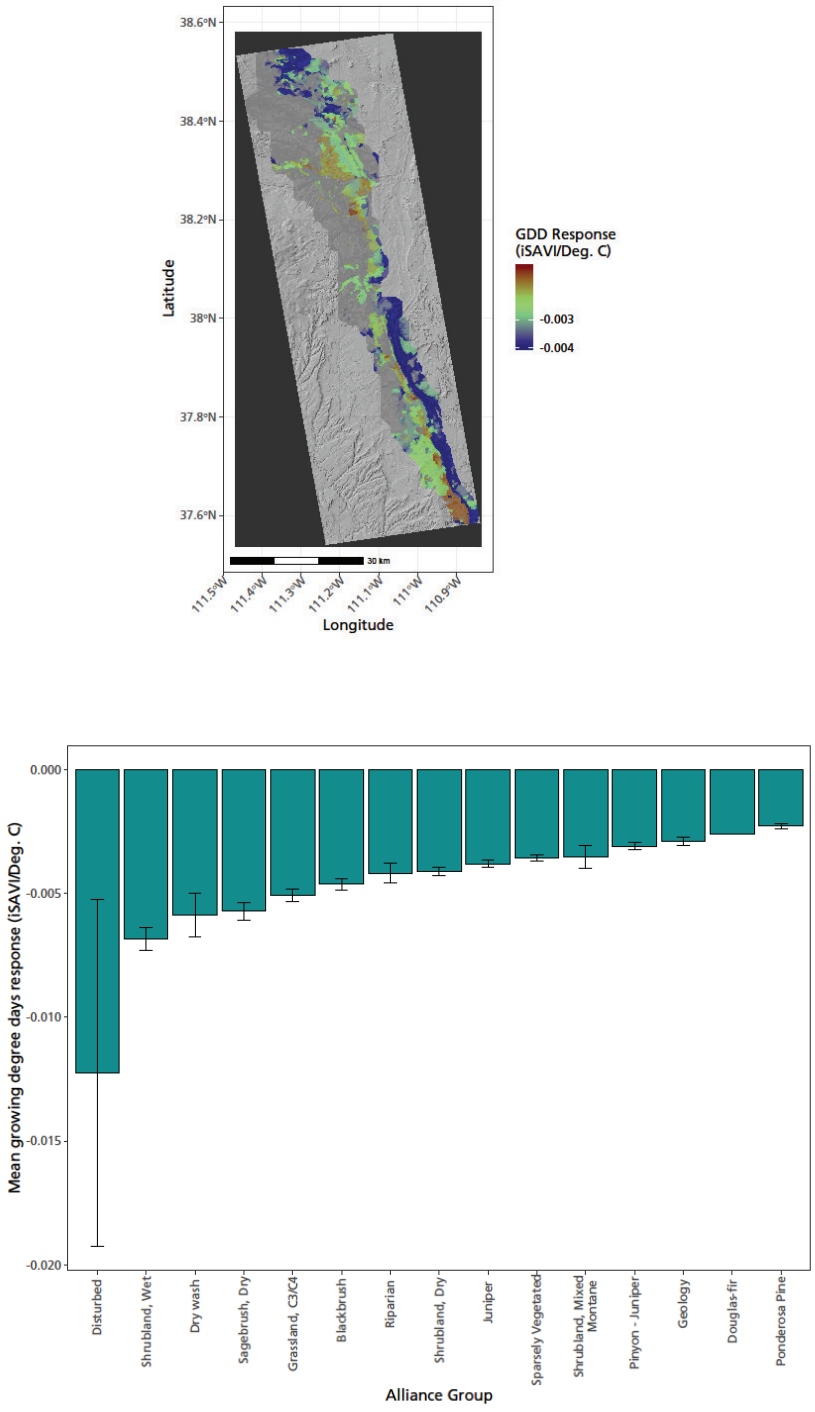


Figure A-3f. Sensitivity of growing season vegetation production to soil moisture where the relationship was significant (p-value < 0.05). Climate data summarized by water year.

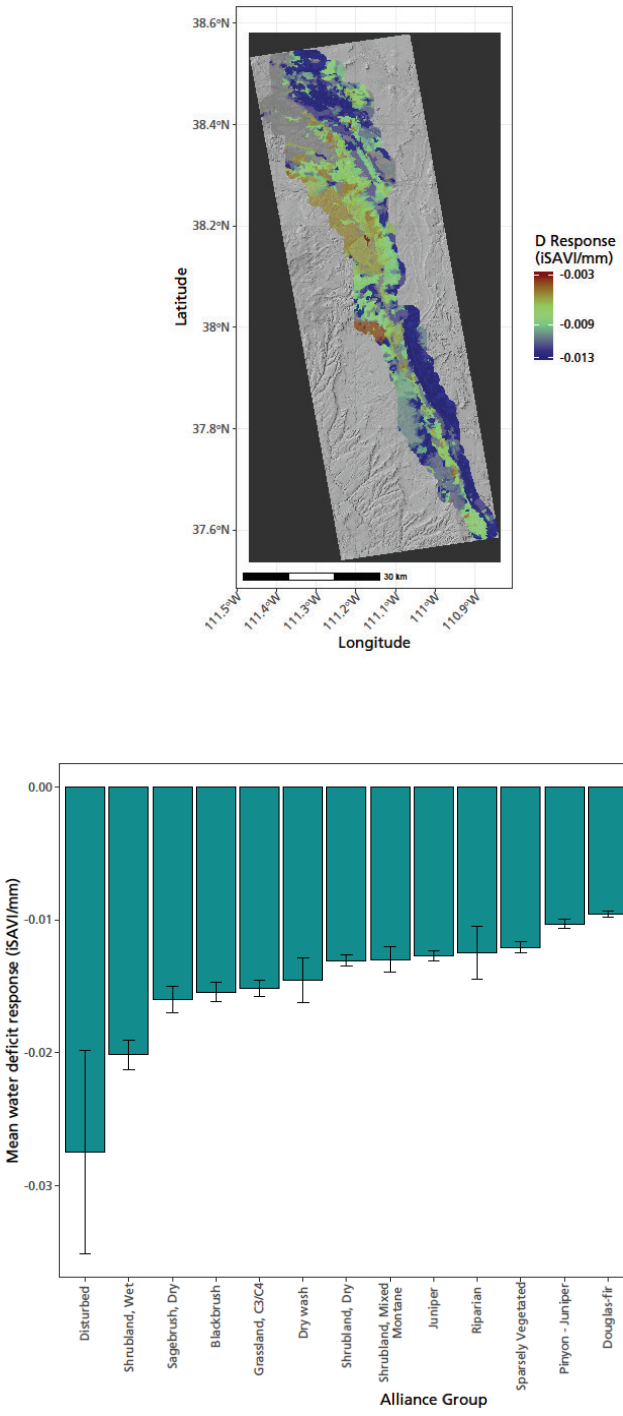
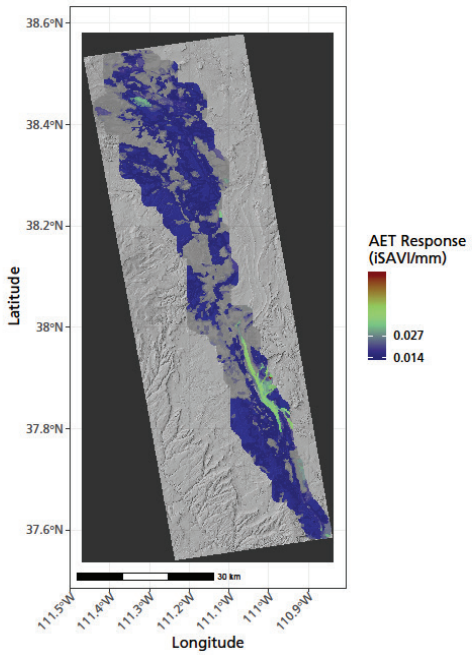


Figure A-3g. Sensitivity of growing season vegetation production to soil moisture where the relationship was significant (p-value < 0.05). Climate data summarized by water year.



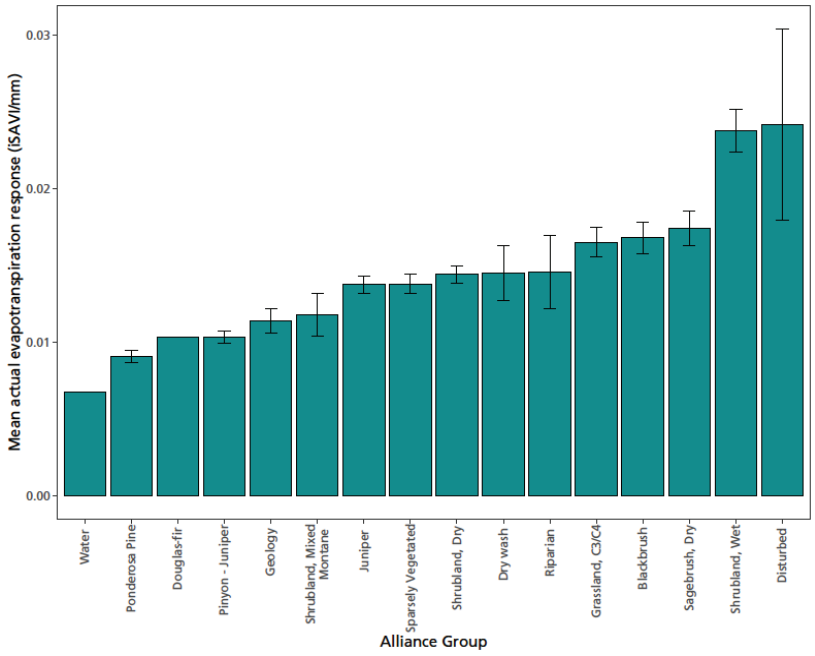
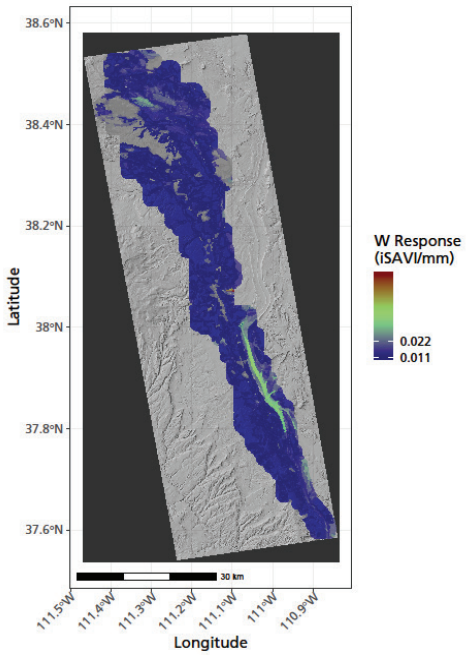


Figure A-3h. Sensitivity of growing season vegetation production to soil moisture where the relationship was significant (p-value < 0.05). Climate data summarized by water year.



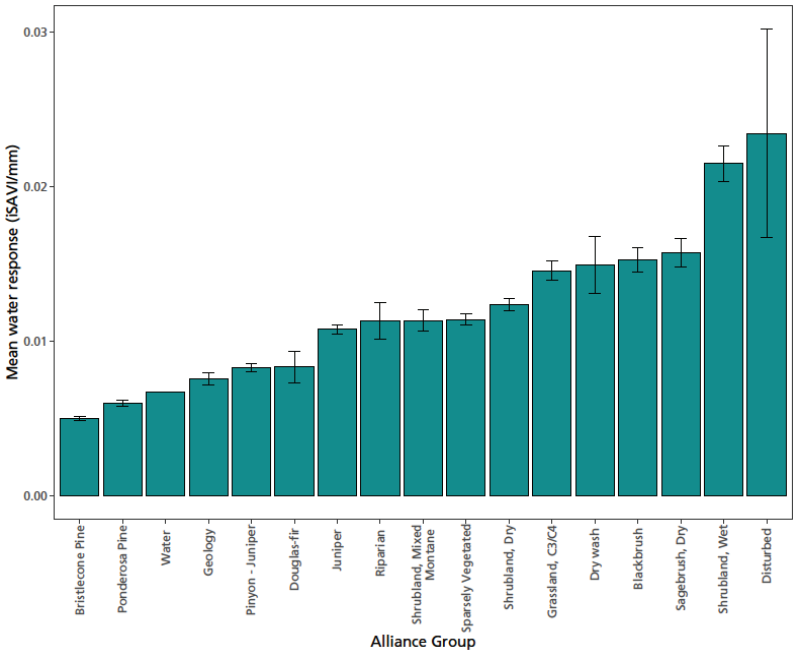


Figure A-3i. Sensitivity of growing season vegetation production to soil moisture where the relationship was significant (p-value < 0.05). Climate data summarized by water year.

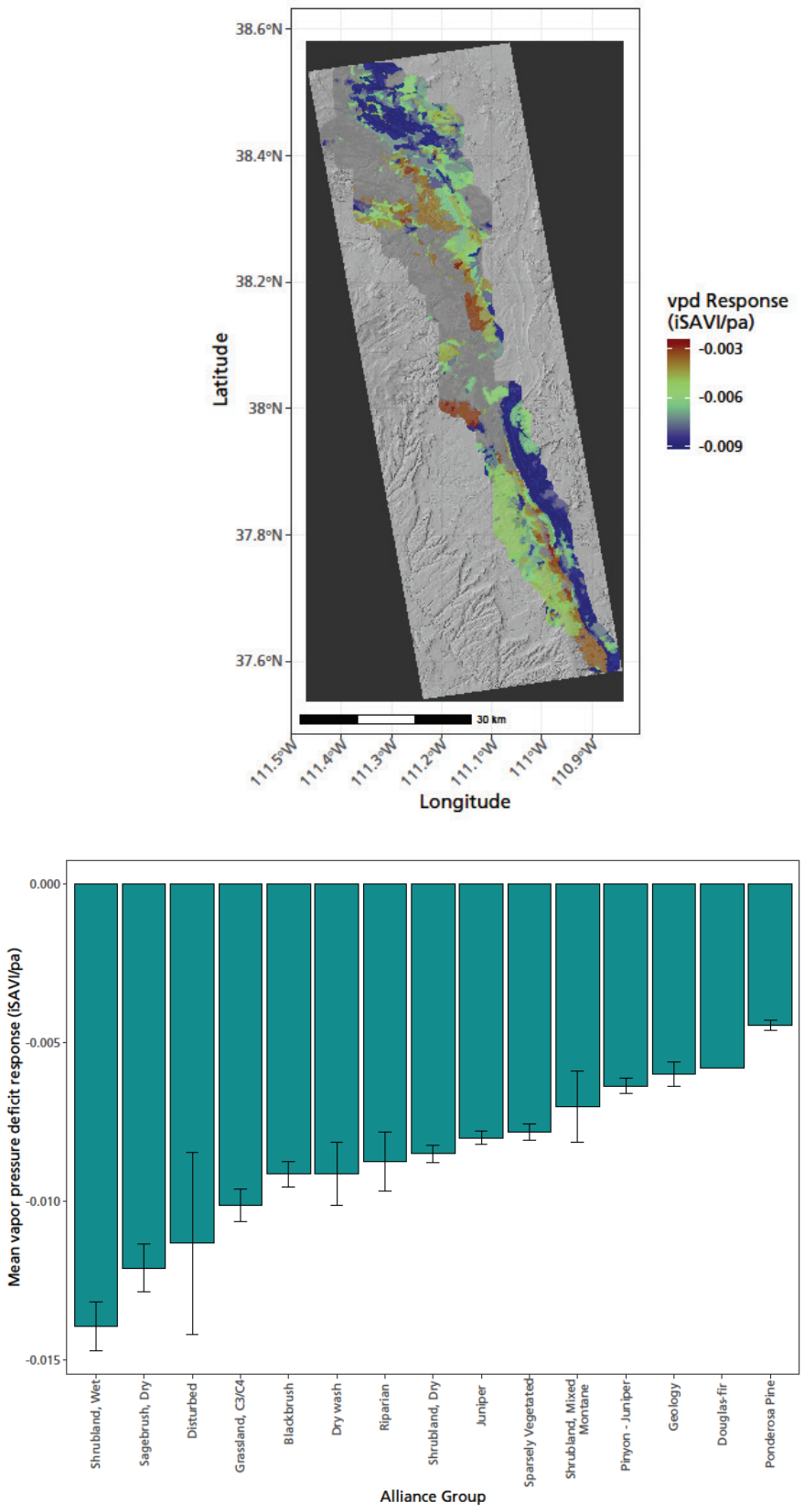
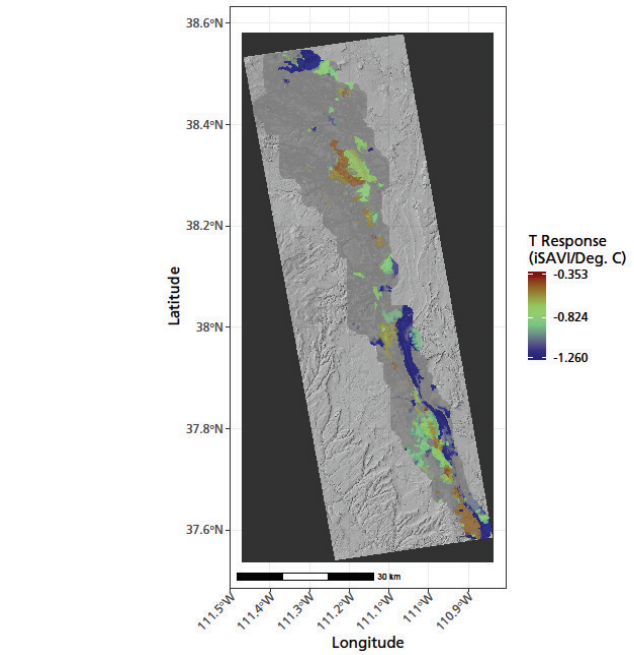


Figure A-3j. Sensitivity of growing season vegetation production to soil moisture where the relationship was significant (p-value < 0.05). Climate data summarized by water year.



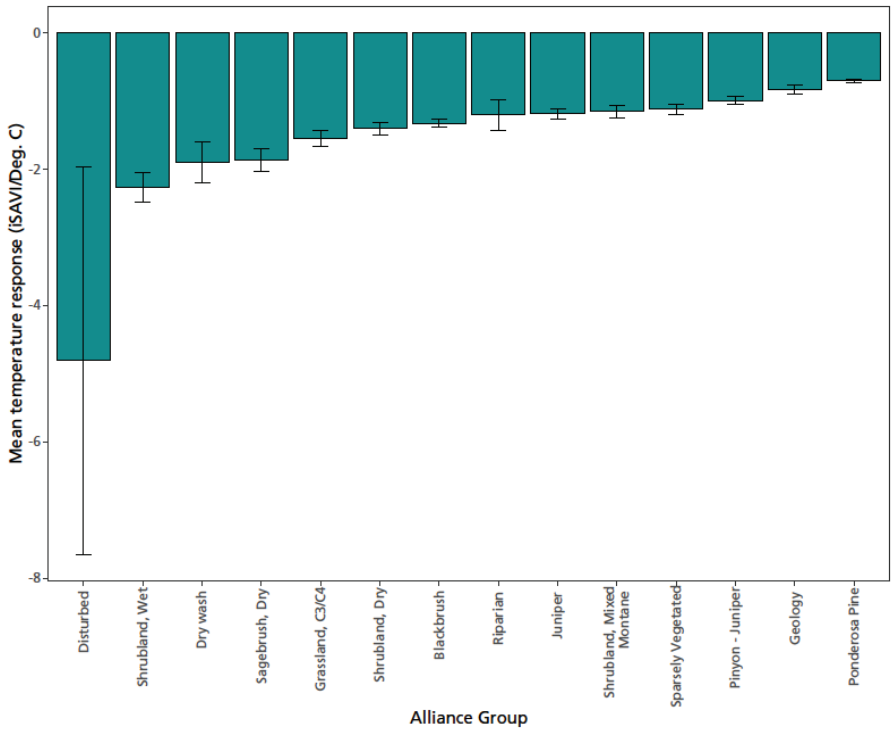


Figure A-3k. Sensitivity of growing season vegetation production to soil moisture where the relationship was significant (p-value < 0.05). Climate data summarized by water year.

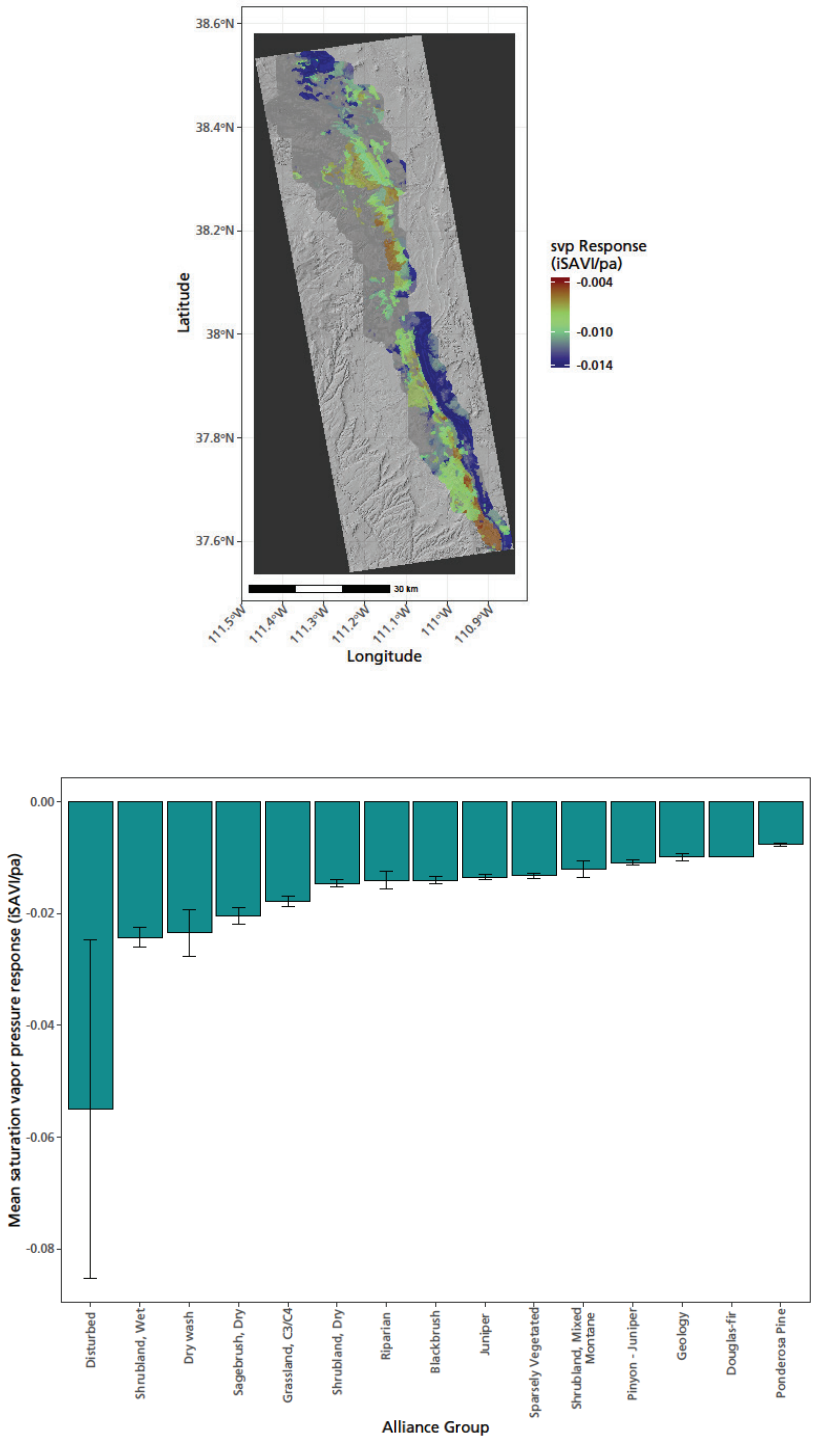


Figure A-3l. Sensitivity of growing season vegetation production to soil moisture where the relationship was significant (p-value < 0.05). Climate data summarized by water year.

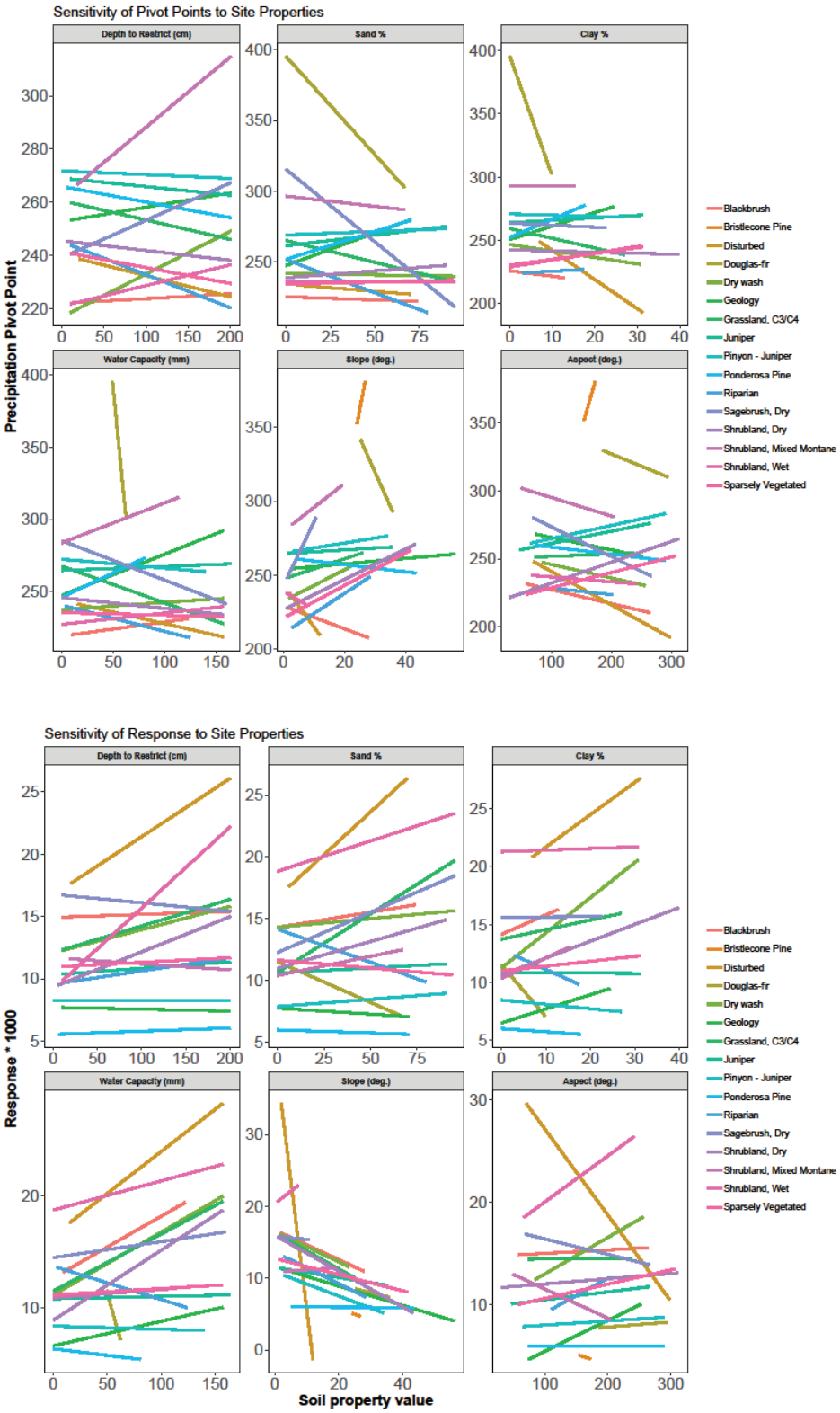


Figure A-4. Variation in a) precipitation pivot points and b) responses to precipitation as related to soil and site characteristics. Steeper slopes indicate greater sensitivity of either pivot points or responses to site properties. These site properties are important modifiers of climate because they control water retention and distribution in the soil profile and in the case of site slope and aspect because they affect the heat load on vegetation.

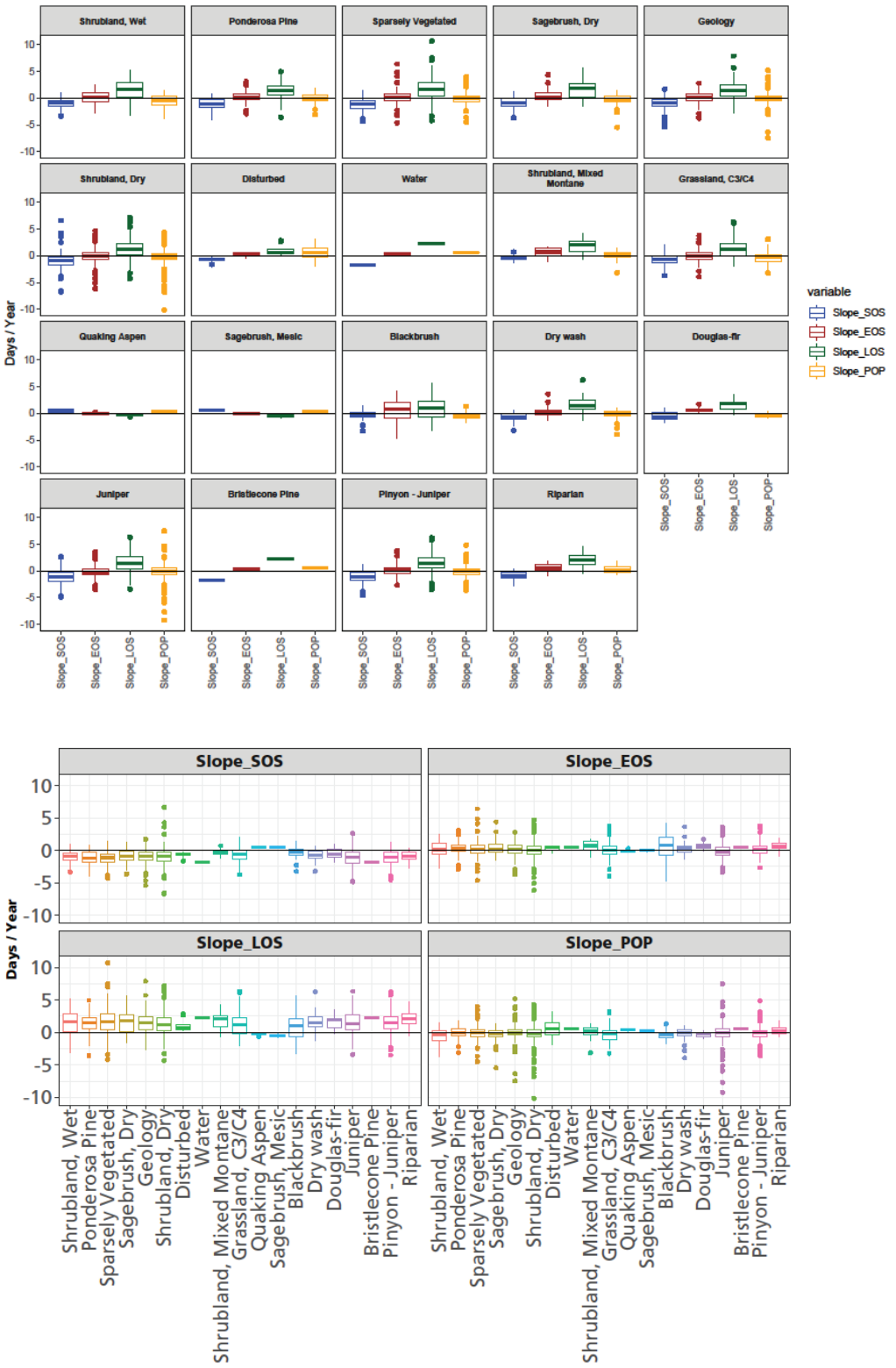


Figure A-5. (a) Direction and magnitude of change rate in land surface phenology metrics determined as +/-days per year for start of season (Slope SOS), end of season (Slope EOS), length of season (Slope LOS) and timing of peak SAVI (Slope POP). (b) rates of change in phenology metrics by alliance group. Slope refers to the slope of linear regression of phenology metric versus year for the period 2000–2019.

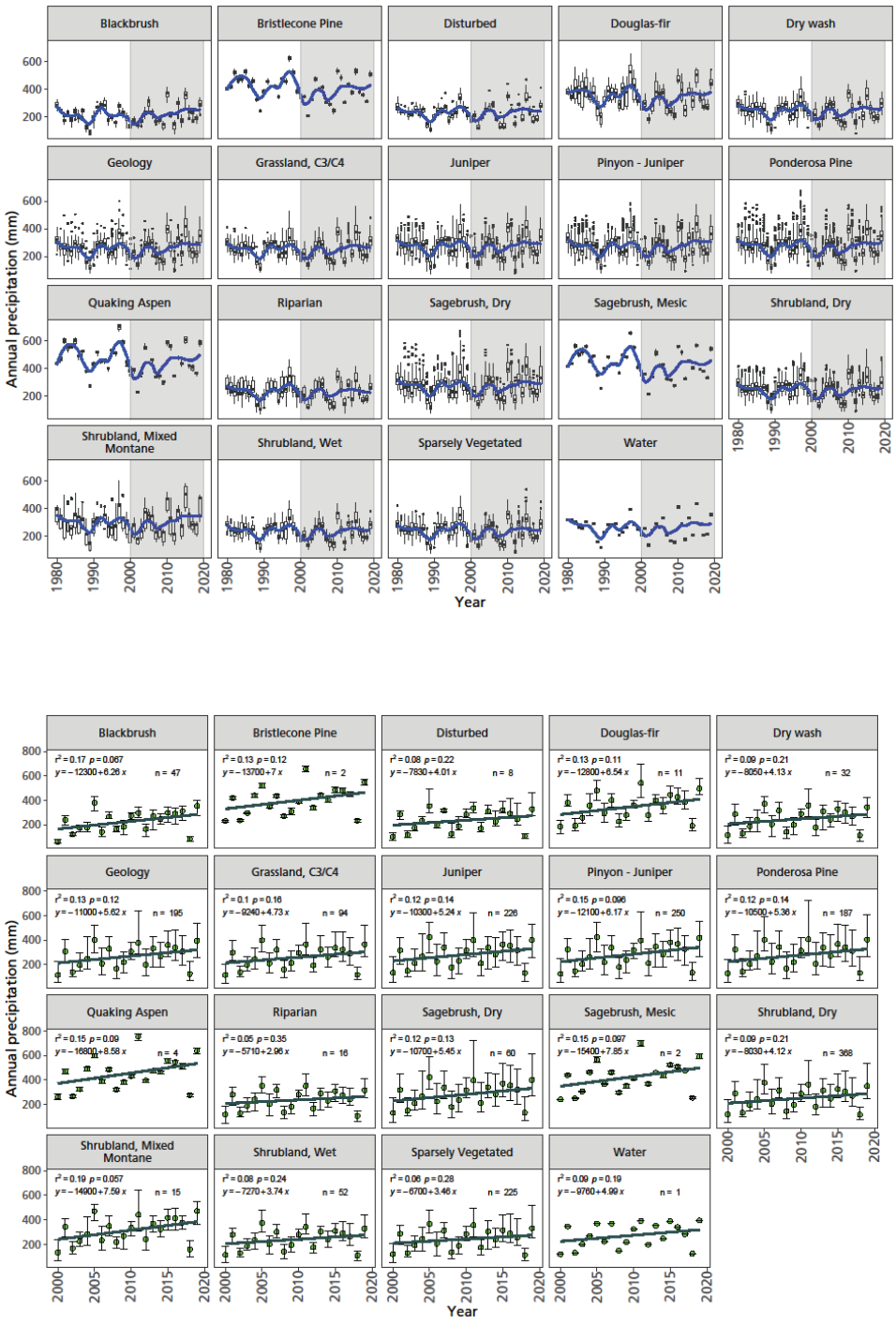


Figure A-6a. Cumulative annual water balance variables over time in vegetation alliance groups. Box plots demonstrate the range of variation within alliance groups by year. The blue line is a loess smooth with a 25 percent span to illustrate multi year patterns. Gray shaded area includes the period investigated for relationships between production and climate when satellite imagery and climate data were both available. In the lower panel, trend over time is shown for the period in gray in the top panel. Bars are standard error.

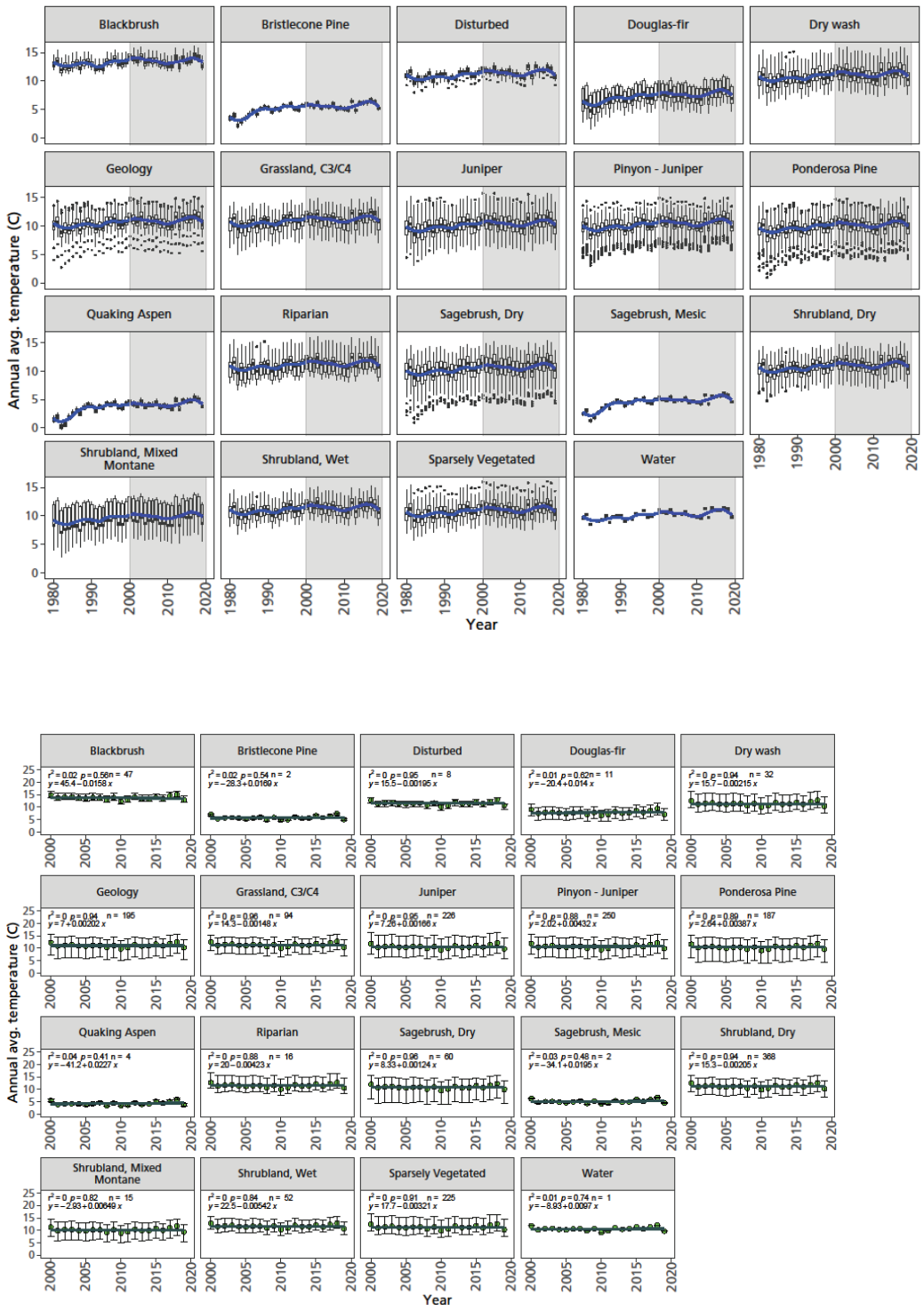


Figure A-6b. Cumulative annual water balance variables over time in vegetation alliance groups. Box plots demonstrate the range of variation within alliance groups by year. The blue line is a loess smooth with a 25 percent span to illustrate multi year patterns. Gray shaded area includes the period investigated for relationships between production and climate when satellite imagery and climate data were both available. In the lower panel, trend over time is shown for the period in gray in the top panel. Bars are standard error.

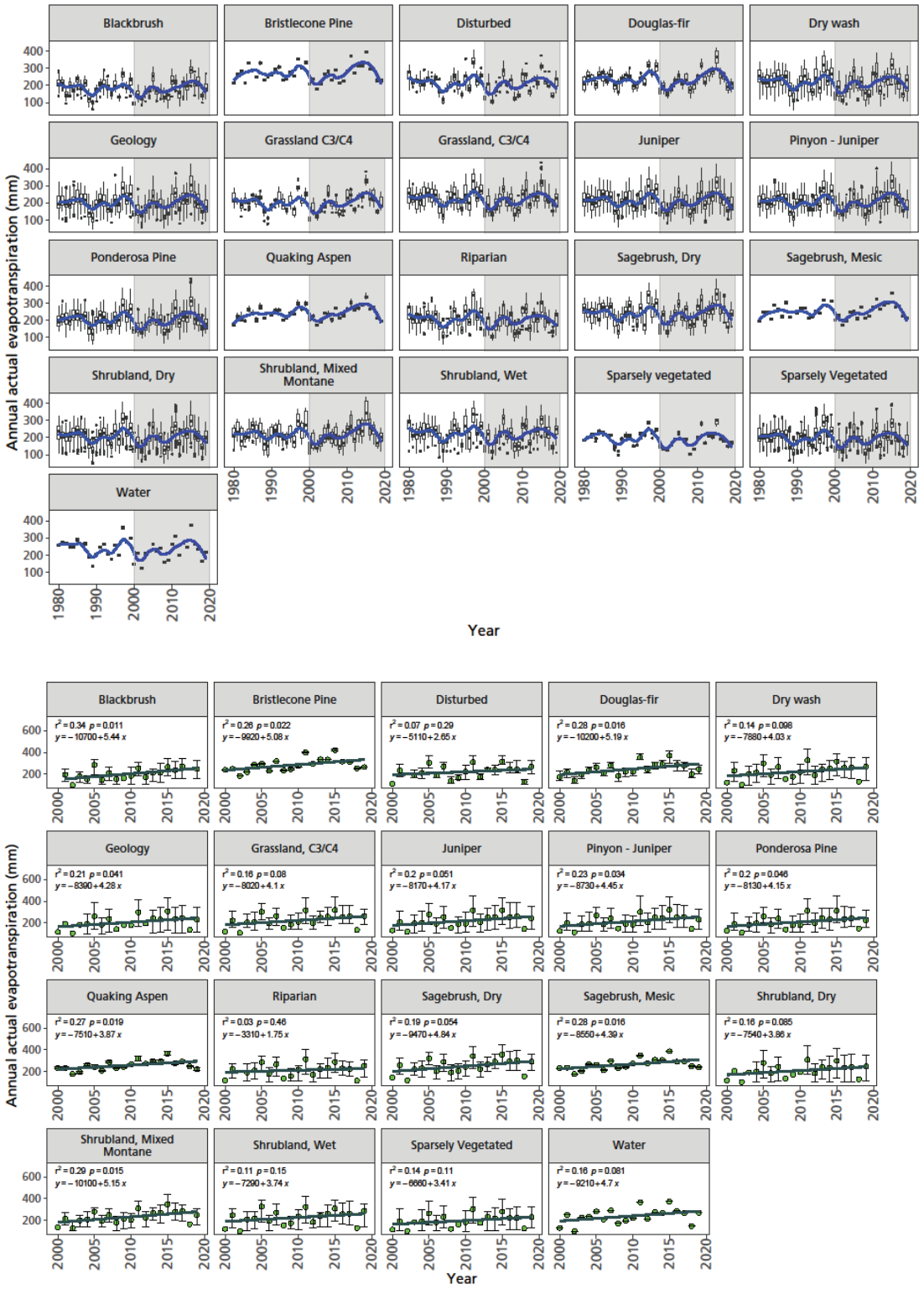


Figure A-6c. Cumulative annual water balance variables over time in vegetation alliance groups. Box plots demonstrate the range of variation within alliance groups by year. The blue line is a loess smooth with a 25 percent span to illustrate multi year patterns. Gray shaded area includes the period investigated for relationships between production and climate when satellite imagery and climate data were both available. In the lower panel, trend over time is shown for the period in gray in the top panel. Bars are standard error.

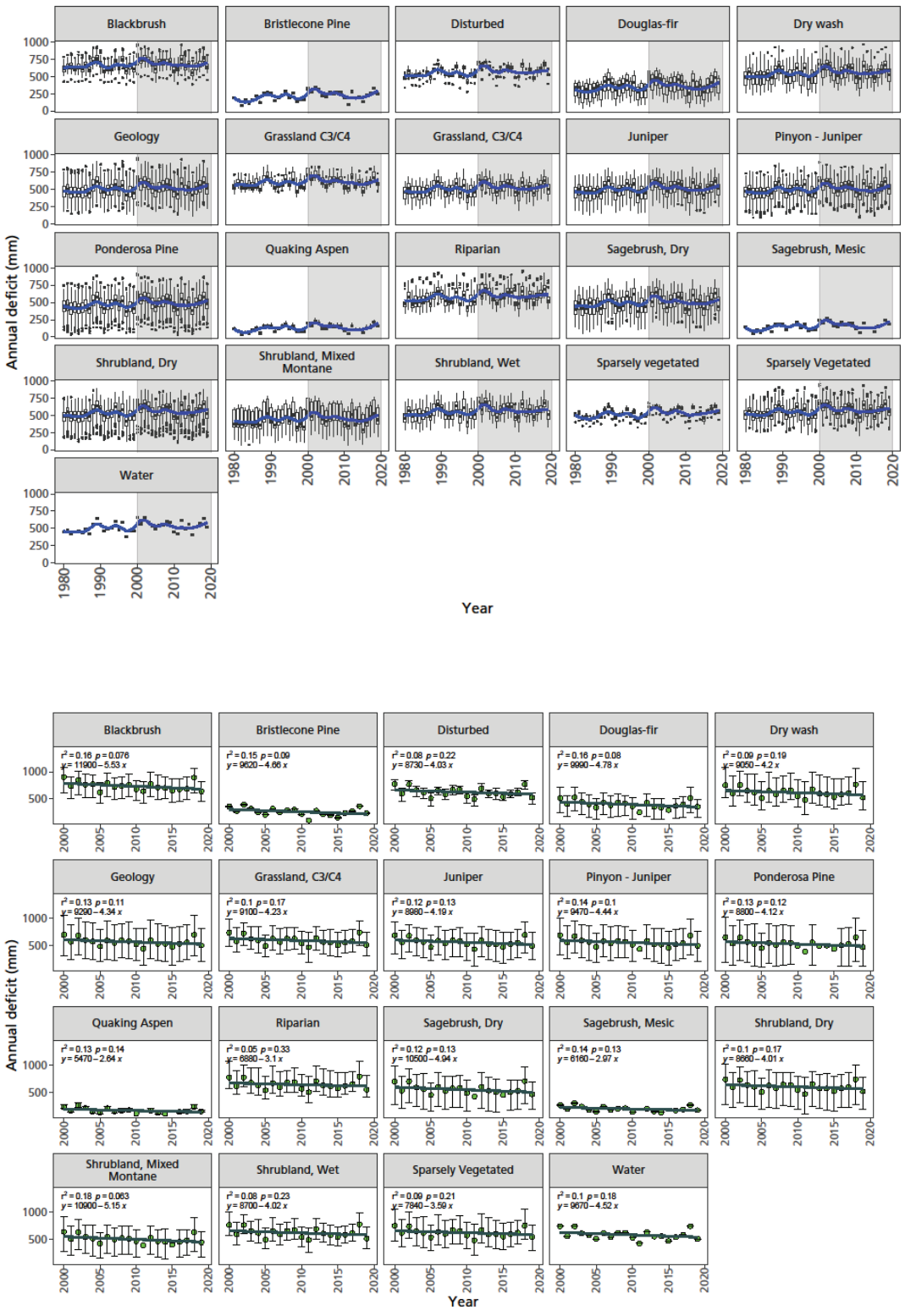


Figure A-6d. Cumulative annual water balance variables over time in vegetation alliance groups. Box plots demonstrate the range of variation within alliance groups by year. The blue line is a loess smooth with a 25 percent span to illustrate multi year patterns. Gray shaded area includes the period investigated for relationships between production and climate when satellite imagery and climate data were both available. In the lower panel, trend over time is shown for the period in gray in the top panel. Bars are standard error.

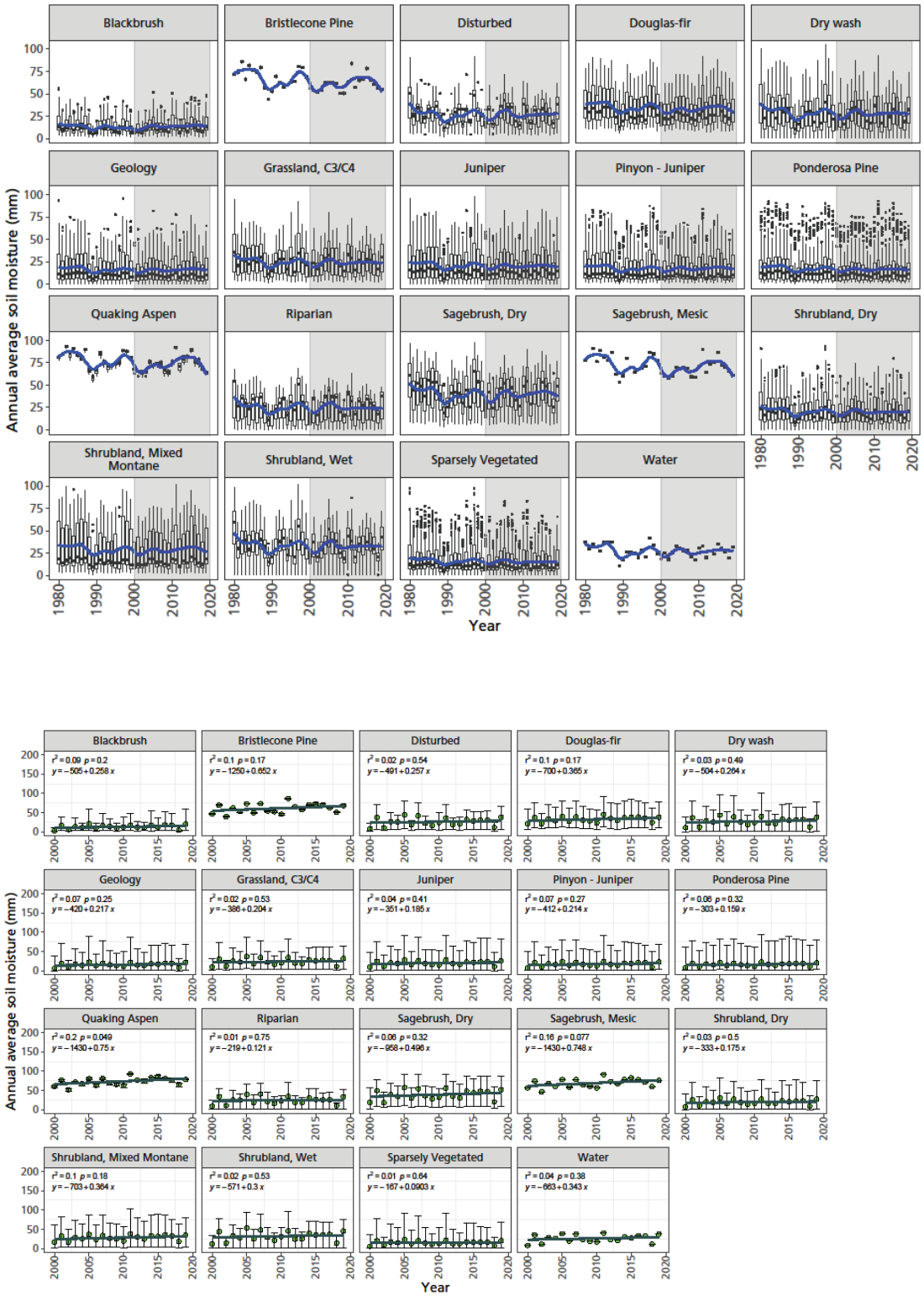


Figure A-6e. Cumulative annual water balance variables over time in vegetation alliance groups. Box plots demonstrate the range of variation within alliance groups by year. The blue line is a loess smooth with a 25 percent span to illustrate multi year patterns. Gray shaded area includes the period investigated for relationships between production and climate when satellite imagery and climate data were both available. In the lower panel, trend over time is shown for the period in gray in the top panel. Bars are standard error.

The Department of the Interior protects and manages the nation's natural resources and cultural heritage; provides scientific and other information about those resources; and honors its special responsibilities to American Indians, Alaska Natives, and affiliated Island Communities.

NPS 158/187720, March 2023

National Park Service
U.S. Department of the Interior

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